

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2017-010-03
Temporary Application Number	1564-2016-8
Award Name	FY17 5311TAP-FLEX CAPITAL PROJECT
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	6/29/2017		
Original Period of Performance End Date	N/A		
Current Period of Performance End Date	12/31/2026	Revision #: 2	Approved?: No

Part 1: Recipient Information

Name: Transportation, New Mexico Department Of

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	KW1EKL33X3H9	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 Cerrillos Rd	Santa Fe	NM	875051842
Physical Address	1120 CERRILLOS RD	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	

City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: FY17 5311TAP-FLEX CAPITAL PROJECT

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NM-2017-010-03	Final Concurrence / Reservation	Grant	Region 6	7/31/2023	7/31/2023	No

Application Executive Summary

7/31/2023 Amendment 3: NMDOT, as the assigned designee to administer the TAP-FLEX funds for the NCRTD ADA Transition Plan project, is applying for additional TAP-FLEX funds for FY21 in the amount of \$42,720. NCRTD requested on 10/1/2020 in a letter to NMDOT that the FY21 funds be transferred from FHWA to FTA. These funds were requested to be transferred from FHWA to FTA in a letter from NMDOT to FTA on 4/5/2021. The project is a continuation of NCRTD's Bus Shelter ADA Transitional Plan which includes the planning, engineering/design and rehab/renovation of ADA compliant bus stops/shelters. Project funds will be spent as follows:

SCOPE

113-00 BUS - STATION/STOPS/TERMINALS

Activity

11.31.10 Engineering & Design \$42,720

Since the funds were transferred from FHWA, the federal/local match for the TAP-FLEX for this project is 85.44/14.56 based on a sliding scale rate. NCRTD is responsible for providing the local matching funds in the amount of \$7,280. The 5311 sub-grantees use the following allowed local match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 4/20/2015.

11/8/19 Amendment 02: NMDOT as the assigned designee to administer the TAP-FLEX funds for the NCRTD ADA Transition Plan project, is applying for additional TAP-FLEX funds for FY19 in the amount of \$768,960. NCRTD requested on 4/8/2019, in a letter to NMDOT, that the funds be transferred from FHWA to FTA. These funds were requested to be transferred from FHWA to FTA in a letter from NMDOT to FTA

on 4/29/19. The project is a continuation of NCRTD's Bus Shelter ADA Transitional Plan which includes the planning, engineering/design and rehab/renovation of a minimum of 8 ADA compliant bus stops/shelters. Project funds will be spent as follows:

SCOPE

113-00 BUS - STATION/STOPS/TERMINALS

Activity

11.34.10 Rehab/Renovation Bus Shelters \$768,960

Since the funds were transferred from FHWA, the federal/local match for the TAP-FLEX for this project is 85.44/14.56 based on a sliding scale rate. NCRTD is responsible for providing the local matching funds in the amount of \$131,040. The 5311 sub-grantees use the following allowed local match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 4/20/2015.

9/5/2018 Amendment 1

NMDOT as the assigned designee to administer the TAP-FLEX funds for the NCRTD ADA Transition Plan project, is applying for additional TAP-FLEX funds for FY18 in the amount of \$102,528. These funds were transferred from FHWA to FTA. NCRTD requested that the funds be transferred on 2/21/18. The funds were awarded to NCRTD to implement an ADA Transition Plan to include additional Planning, Design, Project Management and Renovation of (ADA compliant) Bus Shelters within the Northern New Mexico area. The purpose of this project is to bring existing bus stops and shelters into ADA compliance through the implementation and ultimate construction of new/renovated bus stops in accordance with the prioritization of an ADA Transition Plan. The ADA Transition Plan will identify and prioritize bus stops and/or shelters within the NCRTD system that are not ADA compliant. Currently, in the four county service area of the Rio Arriba, Taos, Los Alamos and Santa Fe. NCRTD has 32 rural and urban area bus stops with shelters that are not compliant with ADA regulations, and multiple additional rural bus stops that need modification and/or relocation to bring the stops into ADA compliance. An ADA Transition Plan will be created and used to formally identify ADA noncompliant bus stops and shelters, and determine and design the necessary modifications to bring the bus stops into ADA compliance. The ADA Transition Plan prioritization determinations will be implemented to bring existing ADA noncompliant bus stops and shelters into compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway) currently located in a public ROW, to allow for barrier-free access to all riders of public transportation. The project will plan, engineer/design a minimum of 8 bus stops/shelters. Project funds will be spent as follows:

SCOPE

113-00 BUS - STATION/STOPS/TERMINALS

Activity

11.31.10 Engineer/ Design \$102,528

Since the funds were transferred from FHWA, the federal/local match for the TAP-FLEX for this project is 85.44/14.56 based on a sliding scale rate. NCRTD is responsible for providing the local matching funds in the amount of \$17,472. The 5311 sub-grantees use the following allowed local match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 4/20/2015.

NMDOT is applying for TAP-FLEX funds in the amount of \$307,584. These funds were transferred from FHWA to FTA. NCRTD requested that the funds be transferred on 12/16/15. NMDOT was assigned as the designee to administer the TAP-FLEX funds. The funds were awarded to NCRTD to implement an ADA Transition Plan to include Design, Project Management and Renovation of (ADA compliant) Bus Shelters within the Northern New Mexico area. The purpose of this project is to bring existing bus stops and shelters into ADA compliance through the implementation and ultimate construction of new/renovated bus stops in accordance with the prioritization of an ADA Transition Plan. The ADA Transition Plan will identify and prioritize bus stops and/or shelters within the NCRTD system that are not ADA compliant. Currently, in the four county service area of the Rio Arriba, Taos, Los Alamos and Santa Fe. NCRTD has 32 rural and urban area bus stops with shelters that are not compliant with ADA regulations, and multiple additional rural bus stops that need modification and/or relocation to bring the stops into ADA compliance. An ADA Transition Plan will be created and used to formally identify ADA noncompliant bus stops and shelters, and determine and design the necessary modifications to bring the bus stops into ADA compliance. The ADA Transition Plan prioritization determinations will be implemented to bring existing ADA noncompliant bus stops and shelters into compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway) currently located in a public ROW, to allow for barrier-free access to all riders of public transportation. The project will design a minimum of 4 bus stops/shelters and completely construct/renovate a minimum of 1 bus stop/shelter. Project funds will be spent as follows:

SCOPE

113-00 BUS - STATION/STOPS/TERMINALS

Activity

11.31.10 Engineer/ Design \$25,632

11.34.10 Rehab/Renovation \$281,952

Since the funds were transferred from FHWA, the federal/local match for the TAP-FLEX for this project is 85.44/14.56 based on a sliding scale rate. NCRTD is responsible for providing the local matching funds. The 5311 sub-grantees use the following allowed local match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

Period of Performance: Award Start Date: According to the new required information, the start date is "the date of the award" Award End Date: 12/31/2018

Research and Development: This Application does NOT include funds for Research and/or Development Activities.

Indirect Cost Rate: Indirect Costs Will NOT be applied to this application and its scope of work.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this project is to bring existing bus stops and shelters into ADA compliance through the implementation and ultimate construction of new/renovated bus stops in accordance with the prioritization of an ADA Transition Plan.

Activities to be performed:

The project will plan and engineer/design bus stops and shelters for stops that are currently ADA noncompliant.

Expected outcomes:

The ADA Transition Plan prioritization determinations will be implemented to bring existing ADA noncompliant bus stops and shelters into compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway) currently located in a public ROW, to allow for barrier-free access to all riders of public transportation.

Intended beneficiaries:

State of New Mexico and communities in Los Alamos, Rio Arriba, Santa Fe and Taos counties.

Subrecipient Activities:

NCRTD will engineer/design ADA compliant bus stops and shelters.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Alexis	Corning-Padilla	Rural Transit Program Manager	alexiscorning.padil@state.nm.us	(505) 819-9112
Robert	Sharpe	Transportation Program Specialist	robert.sharpe@dot.gov	(817) 978-0577

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,221,792
Local			\$208,208
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,430,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2017-010-01-03	113-00 (113-A1) BUS - STATION/STOPS/TERMINALS	\$1,221,792.00	\$208,208.00	\$1,430,000.00	0
NM-2017-010-01-03	11.31.10 ENG/DESIGN - BUS PASSENGER SHELTERS	\$170,880.00	\$29,120.00	\$200,000.00	0
NM-2017-010-01-03	11.34.10 REHAB/RENOVATE - BUS PASSENGER SHELTERS	\$1,050,912.00	\$179,088.00	\$1,230,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: ADA Compliant Bus Shelter Design and Renovation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2017-010-01-03	1564-2016-8-P1	7/31/2023	10/1/2016	12/31/2018

Project Description

The purpose of this project is to bring existing bus stops and shelters into ADA compliance through the implementation and ultimate construction of new/renovated bus stops in accordance with the prioritization of an ADA Transition Plan. The ADA Transition Plan will identify and prioritize bus stops and/or shelters within the NCRD system that are not ADA compliant. Currently, in the four county service area of the Rio Arriba, Taos, Los Alamos and Santa Fe, the NCRD has 32 rural and urban area bus stops with shelters that are not compliant with ADA regulations, and multiple additional rural bus stops that need modification and/or relocation to bring the stops into ADA compliance. An ADA Transition Plan will be used to formally identify ADA noncompliant bus stops and shelters, and determine and design the necessary modifications to bring the bus stops into ADA compliance. The ADA Transition Plan prioritization determinations will be implemented to bring existing ADA noncompliant bus stops and shelters into compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway) to allow for barrier-free access to all riders of public transportation.

Project Benefits

The ADA Transition Plan will bring existing bus stops and shelters into ADA compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway), currently located within a public ROW, and will allow for barrier-free access to all public transportation riders, including those with limited and/or alternative mobility. As a regional transit provider, the NCRD would increase access to all of its four county service area ADA constituents by providing barrier-free access to the NCRD service, which connects throughout Rio Arriba, Santa Fe, Taos, and Los Alamos counties with other transit agencies.

Additional Information

None provided.

Location Description

The project location will be in the four county service area of Rio Arriba, Taos, Los Alamos and Santa Fe counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

District	State
3	New Mexico

Program Plan Information

STIP/TIP

Date: 9/4/2018

Description: Not Provided

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,221,792
Local			\$208,208
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,430,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2017-010-01-03	113-00 BUS - (113-A1) STATION/STOPS/TERMINALS	\$1,221,792.00	\$208,208.00	\$1,430,000.00	0
NM-2017-010-01-03	11.31.10 ENG/DESIGN - BUS PASSENGER SHELTERS	\$170,880.00	\$29,120.00	\$200,000.00	0
NM-2017-010-01-03	11.34.10 REHAB/ RENOVATE - BUS PASSENGER SHELTERS	\$1,050,912.00	\$179,088.00	\$1,230,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.31.10 - ENG/DESIGN - BUS PASSENGER SHELTERS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - STATION/STOPS/TERMINALS (113-00)	11.31.10	ENG/DESIGN - BUS PASSENGER SHELTERS	ENGINEERING & DESIGN - BUS STATION STOPS/TERMINALS	0

Extended Budget Description

7/31/2023 Amendment 3 - Adding \$42,720

The project will engineer/design 17 additional bus stops/shelters. The total cost of the engineering and design of the stops will be \$170,880 federal and \$29,120 local. The project is located in UZA 353790 (Santa Fe).

9/5/2018 Amendment 1 Adding \$102,528

The project will plan, engineer/design a minimum of 8 additional bus stops/shelters. The cost of the engineering and design of the stops will be \$128,160 federal and \$21,840 local. The project is located in UZA 353790 (Santa Fe).

This project will evaluate non ADA compliant bus stops using information gathered during an ADA Transition Plan, and design necessary improvements to bring the stops into compliance. The cost of the engineering and design of the stops will be \$25,632 federal and \$4,368 local. The project is located in UZA 353790 (Santa Fe).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$170,880
Local			\$29,120
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2016
End Date	12/31/2018

Budget Activity Line Item: 11.34.10 - REHAB/RENOVATE - BUS PASSENGER SHELTERS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - STATION/STOPS/TERMINALS (113-00)	11.34.10	REHAB/RENOVATE - BUS PASSENGER SHELTERS	REHAB/RENOVATE BUS STATIONS	0

Extended Budget Description

11/8/19 Amendment 02: NMDOT as the assigned designee to administer the TAP-FLEX funds for the NCRTD ADA Transition Plan project, is applying for additional TAP-FLEX funds for FY19 in the amount of \$768,960. NCRTD requested on 4/8/2019, in a letter to NMDOT, that the funds be transferred from FHWA to FTA. These funds were requested to be transferred from FHWA to FTA in a letter from NMDOT to FTA on 4/29/19. The project is a continuation of NCRTD's Bus Shelter ADA Transitional Plan which includes the planning, engineering/design and rehab/renovaton of a minimum of 8 ADA compliant bus stops/ shelters. Project funds will be spent as follows:

SCOPE

113-00 BUS - STATION/STOPS/TERMINALS

Activity

Amendment 02 adding 11.34.10 Rehab/Renovation Bus Shelters \$768,960. ADA Transition Plan prioritization determinations will be implemented through renovations to bring existing ADA noncompliant bus stops and shelters into compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway) to allow for barrier-free access to all riders of public transportation.

Since the funds were transferred from FHWA, the federal/local match for the TAP-FLEX for this project is 85.44/14.56 based on a sliding scale rate. NCRTD is responsible for providing the local matching funds in the amount of \$131,040. The 5311 sub-grantees use the following allowed local match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 4/20/2015.

Currently, in the four county service areas of Rio Arriba, Taos, Los Alamos and Santa Fe, NCRTD has 32 rural and urban area bus stops with shelters that are not compliant with ADA regulations. ADA Transition Plan prioritization determinations will be implemented through renovations to bring existing ADA noncompliant bus stops and shelters into compliance through modifications to facilities such as existing shelters, concrete pads and sidewalks (connecting the bus stop to sidewalk or roadway) to allow for barrier-free access to all riders of public transportation. The cost of the rehab/renovation is \$281,952 federal and \$48,048 local. The project is located in UZA 353790 (Santa Fe).

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - Nonurbanized Area Programs (FHWA xfer FY2007 fwd)	5311-2	20509	\$1,050,912
Local			\$179,088
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,230,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	12/31/2018	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - STATION/STOPS/TERMINALS (113-00)	11.31.10	ENG/DESIGN - BUS PASSENGER SHELTERS	0	\$170,880.00	\$200,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment and an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - STATION/STOPS/TERMINALS (113-00)	11.34.10	REHAB/RENOVATE - BUS PASSENGER SHELTERS	0	\$1,050,912.00	\$1,230,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Robert Sharpe

Comment Type DOL Review for Information

Date 1/24/2024

Comment Per FTA program requirements, this grant application is being sent to DOL for information purposes only.

Application Review Comments

Comment By Troy Thomas

Comment Type Application Details

Date 12/28/2023

Comment • Recipient must comply with the statutory requirements in FTA Circular 4220.1F, Third

Party Contracting Guidance, as they apply to A&E procurements and contracts.

- The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.
- If property acquisition is required, recipient must consult with FTA.
- ADA improvements must be within 2,640 feet of bus shelters.
- Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance, as they apply to acquiring bus shelters.
- Recipient must comply with the Recipient's procurement policies and procedures as they apply to FTA-funded projects.
- The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.