

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	HI-2020-006-01
<b>Temporary Application Number</b>	1623-2020-3
<b>Award Name</b>	Hawaii DOT FFY20 CARES Act Section 5311 Rural Grant - Operating and Replacement Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	6/29/2020			
<b>Original Period of Performance End Date</b>	9/30/2023			
<b>Current Period of Performance End Date</b>	3/31/2024	Revision #: 0	Approved?: No	

### Part 1: Recipient Information

**Name: TRANSPORTATION, HAWAII DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	LVAZQ1G1U391	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S Beretania St FL 5	Honolulu	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

### Union Information

<b>Union Name</b>	<b>AMERICAN FEDERATION OF STATE, COUNTY &amp; MUNICIPAL EMPLOYEES</b>
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Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
<b>Union Name</b>	<b>HAWAII GOVERNMENT EMPLOYEES ASSOCIATION</b>
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
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E-mail	rperreir@hgea.org
Website	www.hgea.org
<b>Union Name</b>	<b>UPW LOCAL 646</b>
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	HI
Zipcode	96817
Contact Name	Kalani Werner
Telephone	8088472631
Fax	8088481987
E-mail	statedirector@upwhawaii.org
Website	www.upwhawaii.org

## Part 2: Application Information

**Title: Hawaii DOT FFY20 CARES Act Section 5311 Rural Grant - Operating and Replacement Assistance**

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
HI-2020-006-01	Final Concurrence / Reservation	Grant	Region 9	6/8/2022	6/8/2022	No

### Application Executive Summary

Amendment 01:

REVISION DETAILS: Reallocate funding for the County of Kauai: \$200,000 from 11.12.03 (Buy Replacement 30-ft Bus) to 30.09.08 (Operating Assistance). The total amount of Federal funding remains the same and money will be shifted between existing ALIs. The local match required remains the same since CARES provides assistance at 100%. A 3rd party contractor will be used to provide a grant management system under the ALI for State Administration to assist HDOT and the county subrecipients with managing grant funds (the funding amount for the State Administration ALI will not change). The Period of Performance will also be extended to 03/31/2024.

REASON FOR REVISION: Operating assistance on this grant covered expenses until 11/30/2021 and CRRSAA funding is anticipated to cover operating expenses until March 2022. Due to a lack of County funds for payroll expenses in FY2022, Kauai decided operating assistance is more necessary at this time and will not proceed with the 30-ft bus replacement on this grant (ALI 11.12.03). The 30-ft bus replacement was originally planned for FY2023, and a review of their vehicle replacement plan identified other funding that is available to cover the cost to replace the vehicles originally included in this grant. Therefore, if Kauai does not proceed with the 30-ft bus replacement on this grant, there will be no adverse impact on their ridership, routes, operations, or level of service. The ALI for State Administration previously did not indicate use of a 3rd party contractor.

STATUS: Since the 30-ft bus replacement was planned for FY2023, there is currently no procurement activity. The County of Kauai will be able to expend all funds by the Period of Performance end date.

The total federal funding breakdown for the sub-recipients and State of Hawaii will remain the same (see original submission below).

Revision No. 1  
9-15-20

This revision is for the County of Kauai (Kauai Bus). Due to recently growing systematic failure of the Intelligent Transit System (controls and integrates the Computer Aided Dispatch and Automated Vehicle Locator functions), the operational capacity is constrained. In addition, revised operational impacts due to COVID-19 now projects shortfalls in the operational budget. It is because of these reasons the revision transfers monies from the purchase of replacement rolling stock to the ADP hardware and operations line items. All ALIs are currently existing in the grant. Kauai Bus does have a plan to make up for the 12 buses by using other grants.

See budget line items for further details.

Original submission

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$8,906,600. Per the CARES Act, the grant requests 100% federal share. This application utilizes CARES Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$8,906,600 in Section 5311 CARES Act funding for the State of Hawaii to support the operating and replacement costs of the rural transit providers identified as sub-recipients for the period of January 20, 2020 through September 30, 2023.

Total federal funds apportioned to sub-recipients:  
Hawaii County \$ 4,319,700

Kauai County \$ 4,319,700

Total federal funds apportioned to State of Hawaii (State Administration):  
State of Hawaii \$ 267,200

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under the Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CARES Act, the projects in the application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## **Award Description**

**Purpose**

This application utilizes Section 5311 CARES Act funds apportioned to the State of Hawaii (UZA 150000) to support the County transit agencies that serve rural areas within the State of Hawaii. The total amount of Federal funds requested is \$8,906,600. Per the CARES Act, the grant requests 100% federal share.

**Activities to be performed:**

Operating assistance and support for replacement costs will be provided to the County of Hawaii and County of Kauai transit agencies. HDOT will administer the grant, provide oversight and technical assistance, and manage funding for RTAP activities.

**Expected outcomes:**

Federal assistance will enable the county transit agencies to sustain the services they provide to the local communities.

**Intended beneficiaries:**

HMTA serves the island of Hawaii, CKTA serves residents of the island of Kauai. Riders in each county that rely on the bus to travel for medical, employment, education and other needs will benefit from the continued service the transit agencies provide.

**Subrecipient Activities:**

The County transit agencies will receive operating assistance to continue providing service to rural areas Hawaii throughout the period of performance.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677
Stefanie	Weaver	Engineer	stefanie.r.weaver@hawaii.gov	(808) 831-7987

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$8,906,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,906,600</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2020-006-01-01	111-00 (111- BUS - ROLLING STOCK	\$0.00	\$0.00	\$0.00	0

	A2)						
HI-2020-006-01-01		11.12.03	BUY REPLACEMENT 30-FT BUS	\$0.00	\$0.00	\$0.00	0
HI-2020-006-01-01	114-00 (114-A3)		BUS: SUPPORT EQUIP AND FACILITIES	\$1,375,000.00	\$0.00	\$1,375,000.00	6
HI-2020-006-01-01		11.42.07	ACQUIRE - ADP HARDWARE	\$840,000.00	\$0.00	\$840,000.00	1
HI-2020-006-01-01		11.42.11	ACQUIRE - SUPPORT VEHICLES	\$295,000.00	\$0.00	\$295,000.00	3
HI-2020-006-01-01		11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	\$40,000.00	\$0.00	\$40,000.00	1
HI-2020-006-01-01		11.44.03	REHAB/ RENOVATE - ADMIN/MAINT FACILITY	\$200,000.00	\$0.00	\$200,000.00	1
HI-2020-006-01-01	300-00 (300-A1)		OPERATING ASSISTANCE	\$2,944,700.00	\$0.00	\$2,944,700.00	1
HI-2020-006-01-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,944,700.00	\$0.00	\$2,944,700.00	1
HI-2020-006-01-01	610-00 (610-A4)		STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-02-01	300-00 (300-A5)		OPERATING ASSISTANCE	\$4,319,700.00	\$0.00	\$4,319,700.00	1
HI-2020-006-02-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,319,700.00	\$0.00	\$4,319,700.00	1
HI-2020-006-02-01	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-02-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: Kauai County Transportation Agency (KTA)</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2020-006-01-01	1623-2020-3-P1	6/9/2022	7/1/2020	6/30/2023

### Project Description

Amendment 1 (01/06/2023):

REVISION DETAILS: Reallocate funding for the County of Kauai: \$200,000 from 11.12.03 (Buy Replacement 30-ft Bus) to 30.09.08 (Operating Assistance). The total amount of Federal funding remains the same and money will be shifted between existing ALIs. The local match required remains the same since CARES provides assistance at 100%. A 3rd party contractor will be used to provide a grant management system under the ALI for State Administration to assist HDOT and the county subrecipients with managing grant funds (the funding amount for the State Administration ALI will not change).

REASON FOR REVISION: Operating assistance on this grant covered expenses until 11/30/2021 and CRRSAA funding is anticipated to cover operating expenses until March 2022. Due to a lack of County funds for payroll expenses in FY2022, Kauai decided operating assistance is more necessary at this time and will not proceed with the 30-ft bus replacement on this grant (ALI 11.12.03). The 30-ft bus replacement was originally planned for FY2023, and a review of their vehicle replacement plan identified other funding that is available to cover the cost to replace the vehicles originally included in this grant. Therefore, if Kauai does not proceed with the 30-ft bus replacement on this grant, there will be no adverse impact on their ridership, routes, operations, or level of service. The ALI for State Administration previously did not indicate use of a 3rd party contractor.

STATUS: Since the 30-ft bus replacement was planned for FY2023, there is currently no procurement activity. The County of Kauai will be able to expend all funds by the Period of Performance end date.

Total federal funds on this project apportioned to Kauai County: \$ 4,319,700

Total federal funds on this project apportioned to HDOT for State Admin: \$ 133,600

Total federal funds on this project: \$ 4,453,300

Local match is not required for CARES funding.

Original Project Description:

This project encompasses all work items for the County of Kauai Transportation Agency (KTA).

### Project Benefits

Amendment 1 (01/06/2023):

No changes to the original project benefit statement.

Original Project Benefits:

This project describes eligible work under the CARES Act 2020. It will assist the KTA in continuing to provide safe and effective transportation for the island of Kauai.

### Additional Information

The operational cost amount was determined based on current and projected expenses in Fixed Route and on demand Operations including the hourly rate for operations (from FY2021 operational support salary and fringe), fuel costs, annual dues and subscriptions, and vehicle repair and maintenance.

Anticipated fare revenues were subtracted from this amount. In addition, COVID-19 effects on operations

such as cleaning and disinfection have been incorporated.

**Location Description**

KTA services the Island of Kauai in the State of Hawaii.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

District	State
2	Hawaii

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,453,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,453,300</b>

**Project Budget**



Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2020-006-01-01	111-00 (111-A2) BUS - ROLLING STOCK	\$0.00	\$0.00	\$0.00	0
HI-2020-006-01-01	BUY 11.12.03 REPLACEMENT 30-FT BUS	\$0.00	\$0.00	\$0.00	0
HI-2020-006-01-01	114-00 (114-A3) BUS: SUPPORT EQUIP AND FACILITIES	\$1,375,000.00	\$0.00	\$1,375,000.00	6
HI-2020-006-01-01	11.42.07 ACQUIRE - ADP HARDWARE	\$840,000.00	\$0.00	\$840,000.00	1
HI-2020-006-01-01	11.42.11 ACQUIRE - SUPPORT VEHICLES	\$295,000.00	\$0.00	\$295,000.00	3
HI-2020-006-01-01	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$40,000.00	\$0.00	\$40,000.00	1
HI-2020-006-01-01	11.44.03 REHAB/ RENOVATE - ADMIN/MAINT FACILITY	\$200,000.00	\$0.00	\$200,000.00	1
HI-2020-006-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$2,944,700.00	\$0.00	\$2,944,700.00	1
HI-2020-006-01-01	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$2,944,700.00	\$0.00	\$2,944,700.00	1
HI-2020-006-01-01	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1

## Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OPERATING ASSISTANCE (300-00) 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share OPERATING ASSISTANCE 1

**Extended Budget Description**

Amendment 1 (06/08/2022):

REVISION DETAILS: This amendment will increase the amount of Federal funding on this ALI by \$200,000. The local match required remains the same since CARES provides assistance at 100%.

REASON FOR REVISION: Operating assistance on this grant covered expenses until 11/30/2021 and CRRSAA funding is anticipated to cover operating expenses until March 2022. Due to a lack of County funds for payroll expenses in FY2022, Kauai decided operating assistance is more necessary at this time and will not proceed with the 30-ft bus replacement on this grant (ALI 11.12.03).

STATUS: The County of Kauai will be able to expend the additional \$200,000 by the Period of Performance end date.

Revised Funding Summary:

Federal Funds: \$2,944,700 / Local Match \$0 / Total Eligible: \$2,944,700

Revision 1

9-15-20

Receives \$1,600,000 from ALI 11.12.03 to sustain staffing levels that were unanticipated.

Original submission

Operating funds will cover expenses for existing service incurred beginning 07/01/2020 until 06/30/2023. Transit-related payroll and operations expenses, to include cost of bus and facility disinfection activities, fuel, collective bargaining agreements, annual dues and subscriptions, vehicle repair and maintenance expenses, etc. Cost was determined based on current and past fixed route and on demand service costs less past and anticipated revenue. Salaries based on the annual KTA FY2021 operational support rates.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$2,944,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,944,700</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	
End Date	6/30/2023	

**Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS**

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	0
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**Extended Budget Description**

Amendment 1 (06/08/2022):

REVISION DETAILS: This amendment will reduce the amount of Federal funding on this ALI down to \$0. REASON FOR REVISION: Due to a lack of County funds for payroll expenses in FY2022, Kauai decided operating assistance is more necessary at this time and will not proceed with the 30-ft bus replacement. The 30-ft bus replacement was originally planned for FY2023, and a review of their vehicle replacement plan identified other funding that is available to cover the cost to replace the vehicles originally included in this grant. Therefore, if Kauai does not proceed with the 30-ft bus replacement on this grant, there will be no adverse impact on their ridership, routes, operations, or level of service.

STATUS: Since the 30-ft bus replacement was planned for FY2023, there is currently no procurement activity.

Revised Funding Summary:

Federal Funds: \$0 / Local Match \$0 / Total Eligible: \$0

Revision 1

9-15-20

This revision transfers: \$800,000 (FTA) to ALI 11.42.07 to purchase a new CAD/AVL (dispatch and tracking system) that is failing; and \$1,600,000 (FTA) to ALI 30.09.08) to support sustaining staff levels. Therefore, a total of \$2,400,000 (FTA) will be transferred out of this ALI. The sub-recipient has a plan to make up for the difference in buses by purchasing through other grants. Quantity changes from 13 to 1. Bus to be replaced is CK-2419, VIN 1FDAF5GT6HDA07793, 53,150 miles. Note that vehicle will be replaced in 2022 where anticipated maximum useful life is projected to be exceeded. This is part of the subrecipient's plan to keep the fleet in a state of good repair.

Original submission

Replaces thirteen (13) 21 passenger vehicles (Vehicle ID/mileage): CK-2250 / 145,151; CK-2319 / 140,439; CK-2315 / 133,933; CK-2317 / 125,011; CK-2321 / 96,570; CK-2417 / 90,439; CK-2413 / 83,388; CK-2411 / 79,186; CK-2418 / 67,090; CK-2412 / 66,354; CK-2415 / 60,357; CK-2416 / 55,970; & CK-2419 / 47,224. All vehicles have exceeded maximum useful life.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	New	30' 21 Passenger

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$0
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$0</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	
RFP/IFB Issue Date	10/1/2021	
Contract Award Date	1/1/2022	
First Vehicle Delivered	2/1/2022	
All Vehicles Delivered	9/30/2022	
Contract Completion Date	10/31/2022	
End Date	10/31/2022	

**Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

Revision 1

9-15-20

Receives \$800,000 from ALI 11.12.03 for a replacement Intelligent Transit System which includes a CAD/AVL (dispatch and tracking system) that is failing.

Original submission

To purchase replacement computers, printers, and diagnostic tools within KTA in order to maintain a stable working environment to maintain consistency and quality of transit services, and better support customer service for transit passengers.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$840,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$840,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	

RFP/IFB Issued	8/1/2021	
Contract Award	1/1/2022	
End Date	1/31/2023	
Contract Complete	1/31/2023	

**Budget Activity Line Item: 11.42.11 - ACQUIRE - SUPPORT VEHICLES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3

**Extended Budget Description**

Replace three (3) service trucks: CK-1841 / 94,170; CK-1933 / 55,479; & CK-2054 / 12,900 utilized for vehicle, bus stop, and administrative facility repairs and maintenance, all of which are scheduled for replacement for exceeding useful life of 8 years.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$295,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$295,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	
RFP/IFB Issued	10/1/2021	
Contract Award	1/1/2022	
First Vehicle Delivered	2/1/2022	
All Vehicles Delivered	8/31/2022	
End Date	9/30/2022	
Contract Complete	9/30/2022	

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line	Line Item Name	Activity	Quantity
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**Item #**

BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1
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**Extended Budget Description**

Purchase of vehicle and facility maintenance equipment to support the repair shop to keep the fleet, passenger and administrative facilities in a state of good repair.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$40,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$40,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	
RFP/IFB issued	10/1/2021	
Contract Award	1/1/2022	
End Date	1/31/2023	
Contract Complete	1/31/2023	

**Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114- 00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

**Extended Budget Description**

To cover the cost of the transit repair shop mezzaine construction to support storage of additional cleaning and disinfection supplies and equipment.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$200,000

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$200,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	
RFP/IFB Issued	10/1/2021	
Contract Award	1/31/2022	
End Date	1/31/2023	
Contract Complete	1/31/2023	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

Amendment 1 (01/06/2023):

These funds will be used to support administration of CARES 5311 funding. This ALI does not exceed the maximum of 10% of the state's CARES Act apportionment. A 3rd party contractor will be used to provide a grant management system to assist HDOT and the county subrecipients with managing grant funds.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$133,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$133,600</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2020	
End Date	5/1/2023	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$2,944,700.00	\$2,944,700.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	



Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	0	\$0.00	\$0.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - ADP HARDWARE	1	\$840,000.00	\$840,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.11	ACQUIRE - SUPPORT	3	\$295,000.00	\$295,000.00

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**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	1	\$40,000.00	\$40,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	1	\$200,000.00	\$200,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$133,600.00	\$133,600.00

**Project Title: County of Hawaii Mass Transit Agency (HMTA)**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2020-006-02-01	1623-2020-3-P2	6/9/2022	1/20/2020	5/1/2023

**Project Description**

Amendment 01 Change is to allow third party contracting of state management

Original Project Description:

This project describes eligible work under the CARES Act 2020. It will assist the HMTA in continuing to provide safe and effective transportation for the island of Hawaii.

**Project Benefits**

Amendment 01: No changes to the original project benefit statement.

Original Project Benefits:

This project will show the efforts HMTA is undertaking to address the impacts of COVID-19.

**Additional Information**

The amount of HMTA's operating expense was determined based on current and projected expenses in Fixed Route and on demand contracts including the hourly rate for operations and the fixed monthly management fee plus anticipated fuel costs. Anticipated fare revenues were subtracted from this amount. Further adjustments were made for COVID-19 effects on operations such as cleaning, disinfection and administrative expenses.

**Location Description**

HMTA services the Island of Hawaii, within the State of Hawaii.

**Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
150000	Hawaii

## Congressional District Information

<b>District</b>	<b>State</b>
2	Hawaii

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,453,300
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,453,300</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2020-006-02-01	300-00 OPERATING ASSISTANCE (300-	\$4,319,700.00	\$0.00	\$4,319,700.00	1

	A5)						
HI-2020-006-02-01		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$4,319,700.00	\$0.00	\$4,319,700.00	1
HI-2020-006-02-01	610-00 (610-A6)		STATE / PROGRAMS ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1
HI-2020-006-02-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$133,600.00	\$0.00	\$133,600.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Operating funds will cover expenses for existing service incurred beginning 01/20/2020 until 12/31/2020. Monies to be used to pay for third party contractors for transit operations. Including transit bus operations, drivers, dispatch, cleaning/disinfection services for buses. Also includes HMTA administrative costs to manage and coordinate.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$4,319,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,319,700</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	
End Date	12/31/2020	

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

**Extended Budget Description**

Amendment 1 (01/06/2023):

These funds will be used to support administration of CARES 5311 funding. This ALI does not exceed the maximum of 10% of the state's CARES Act apportionment. A 3rd party contractor will be used to provide a grant management system to assist HDOT and the county subrecipients with managing grant funds.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$133,600
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$133,600</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2020	
End Date	5/1/2023	

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$4,319,700.00	\$4,319,700.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**  
 Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

**Categorical Exclusion Description**  
 Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$133,600.00	\$133,600.00

## Part 4: Fleet Details

**Fleet Type:** Fixed Route

**Fleet Comments**  
*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	24
	B. Spares	4
	C. Total (A+B)	28
	D. Spare Ratio (B/A)	16.67%
II.	<b>Inactive Fleet</b>	

	A. Other	4
	B. Pending Disposal	6
	C. Total (A+B)	6
III.	<b>Total (I.C and II.C)</b>	34

**Fleet Type:** Paratransit

**Fleet Comments**

*None provided.*

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	35
	B. Spares	7
	C. Total (A+B)	42
	D. Spare Ratio (B/A)	20%
II.	<b>Inactive Fleet</b>	
	A. Other	2
	B. Pending Disposal	13
	C. Total (A+B)	13
III.	<b>Total (I.C and II.C)</b>	55

**Fleet Type:** Other

**Fleet Comments**

FTA 5310 Funded Vehicles

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	40
	B. Spares	0
	C. Total (A+B)	40
	D. Spare Ratio (B/A)	0%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	<b>Total (I.C and II.C)</b>	42

## Part 5: FTA Review Comments



## FTA Comments for DOL

**Comment By** Ryan Fujii

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Comment Type DOL Review for Information

Date 1/4/2024

Comment This application is to shift funds from vehicle procurement to operating and to allow contracting for a program oversight management system

## Application Review Comments

**Comment By** Karin Vosgueritchian

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Comment Type Application Details

Date 7/21/2022

Comment HDOT must comply with all applicable Federal laws and regulations related to this project, including the ADA Standards for Transportation Facilities, based on the U.S. Access Board's ADA Accessibility Guidelines. Found here: <http://www.access-board.gov/guidelines-and-standards/transportation/facilities/ada-standards-for-transportation-facilities>. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

For your reference, Transit Vehicle Manufacturers (TVM) must have an approved FTA DBE Goal prior to bidding on contract. For a current listing, see <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>. HDOT must ensure that all vehicles purchased are accessible and purchased from an FTA approved TVM. Questions or concerns should be directed to Karin Vosgueritchian at [Karin.Vosgueritchian@dot.gov](mailto:Karin.Vosgueritchian@dot.gov).

## Application Review Comments

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 8/2/2022

Comment This amendment will require the grantee to do the following:  
- De-obligate: BUS - ROLLING STOCK -- ..111-00 (111-A2) -- ALI 11.12.03 - \$200,000  
o ACC: 2020.29.18.CV.1: -\$200,000  
o submit a de-obligation amendment Once the money is de-obligated, we can Re-obligate/move the money to the new project below.

- Re-obligate: OPERATING ASSISTANCE -- 300-00 (300-A1) -- ALI 30.09.08 - \$200,000  
o ACC: 2020.29.18.CV.1: +\$200,000  
o submit a re-obligate action in TrAMS so we can reserve the funds that were deobligated and then reobligate them into the operating assistance line item

## Application Review Comments

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**Comment By Ryan Fujii**

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Comment Type	Line Item Review
Date	12/22/2023
Project Title	County of Hawaii Mass Transit Agency (HMTA)
Project Number	HI-2020-006-02-01
Comment	Contracting of administration will require the addition of a new ALI and zeroing out of this ALI long with an explanation of change

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**Comment By Ryan Fujii**

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Comment Type	Line Item Review
Date	12/22/2023
Project Title	County of Hawaii Mass Transit Agency (HMTA)
Project Number	HI-2020-006-02-01
Comment	Correction, a new ALI is not needed

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**Comment By Ryan Fujii**

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Comment Type	Line Item Review
Date	12/22/2023
Project Title	Kauai County Transportation Agency (KTA)
Project Number	HI-2020-006-01-01
Comment	Funds (\$200,000) will be shifted to A:LI 30.09.08

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**Comment By Ryan Fujii**

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Comment Type	Line Item Review
Date	12/22/2023
Project Title	Kauai County Transportation Agency (KTA)
Project Number	HI-2020-006-01-01
Comment	Funds (\$200,000) moved from ALI 11.12.03