

## Application

<b>Federal Award Identification Number (FAIN)</b>	WI-2021-053-01
<b>Temporary Application Number</b>	6741-2021-1
<b>Award Name</b>	5311(c) Formula for Transit Service Building
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	7/30/2021
<b>Original Period of Performance End Date</b>	3/30/2025
<b>Current Period of Performance End Date</b>	3/30/2025 Revision #: 0

### Part 1: Recipient Information

**Name: Lac Du Flambeau Band Of Lake Superior Chippewa Indians**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6741	Indian Tribe	LAC DU FLAMBEAU, BAND OF LAKE SUPERIOR CHIPPEWA INDIANS	Q425K7BJUDG6	074781584

Location Type	Address	City	State	Zip
Headquarters	418 LITTLE PINES RD	LAC DU FLAMBEAU	WI	54538
Physical Address	418 LITTLE PINE RD	LAC DU FLAMBEAU	WI	54538
Mailing Address	418 LITTLE PINES ROAD	LAC DU FLAMBEAU	WI	54538

### Union Information

There are no union contacts for this application

### Part 2: Application Information

## Title: 5311(c) Formula for Transit Service Building

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
WI-2021-053-01	Final Concurrence / Reservation	Grant	Region 5	11/30/2023	11/30/2023	No

### Application Executive Summary

#### Amendment #1:

The Lac du Flambeau Tribe is looking to add \$140,081 in FY2022 5311(c) formula funds (lapsing) to support operating assistance while the Tribe continues to design and construct our Tribal Transit Services building. There is no local share required for the additional formula funds. The building construction contract has been delayed due to continuing effects from the pandemic on supply chains and labor, and an extremely limited contractor availability environment in rural Northern Wisconsin. The timeline has been updated to account for delays, but there is no material change to the scope of work and budget for the facility. Operating assistance will commence 1/1/2024 and continue through the second quarter of FFY2024. This amendment extends the period of performance for the overall grant to 3/30/2026. The formula apportionment table is attached to this amendment.

#### Original:

The Lac du Flambeau Tribe is looking to utilize \$162,924, of FY 2020 5311(c) Formula funds, and \$121,070, of FY 2021, 5311(c) Formula funds, to design and construct an additional Tribal Transit Services building. The total amount of grant funds, \$283,994, will include the addition of a foundation and "shell" (exterior walls/roof) of the building. The purpose and need for this project is to increase the amount of available space, addressing the current lack of operational space for existing transit operations. The new building would expand this space, "ten-fold", and allow for extra training and storage space for staff, dispatch and administration. This expansion will also improve the capacity for social-distancing, protecting the health and well-being of staff and customers. The available grant funds will be combined with a separate CARES Act grant, and a separate CRRSAA grant, in order to complete the expansion project. There are no subrecipient activities. No local match is required for Tribal Transit formula funds, and this grant will not include any local match. No STIP is required.

NEPA documentation and proof of funds are attached.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

Amendment #1

This amendment adds \$140,081 in FY22 5311 Tribal Transit Program Formula funds to the Lac du Flambeau Transit Service for operating assistance.

**Activities to be performed:**

Amendment #1

This amendment will add funding to support and sustain transit operations in the first part of Calendar Year 2024

**Expected outcomes:**

Amendment #1

Lac du Flambeau Transit services will continue operations in the area in 2024 while the transit facility project is still underway

**Intended beneficiaries:**

Amendment #1

Transit staff, riders, and community members will benefit from access to safe, affordable, and reliable transit services.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Emerson	Coy	Director, Planning & Dev	ecoy@ldftribe.com	715-588-4252
Evan	Gross	Transportation Program Specialist	evan.gross@dot.gov	(312) 886-1619

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$424,075
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$424,075</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-053-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$283,994.00	\$0.00	\$283,994.00	1
WI-2021-053-01-01	12.43.01 CONSTRUCT ADMIN BUILDING	\$283,994.00	\$0.00	\$283,994.00	1
WI-2021-053-02-01	600-00 (600-A2) OTHER PROGRAM COSTS	\$140,081.00	\$0.00	\$140,081.00	1
WI-2021-053-02-01	30.09.01 UP TO 50% FEDERAL SHARE	\$140,081.00	\$0.00	\$140,081.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY20 and FY21 5311(c) for Transit Services Building Construction

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2021-053-01-01	6741-2021-1-P1	11/30/2023	8/31/2021	6/30/2022

#### Project Description

The \$283,994 of combined FY20 and FY21 5311(c) formula funding for this project will cover a portion of the costs associated with the construction of an additional Transit Services building, including the foundation and "shell" (exterior walls and roof) additions. The project location will be on the same parcel as the existing Transit Services facility, at 280 Industrial Park Lane, in Lac du Flambeau. This grant will be combined with a separate CARES Act funding allocation, and a separate CRRSAA funding allocation, to complete the project.

#### Project Benefits

The purpose and need for this project is to increase the amount of available space, addressing the current lack of operational space for existing transit operations. The new building would expand this space, "ten-fold", and allow for extra training and storage space for staff, dispatch and administration. This expansion will also improve the capacity for social-distancing, protecting the health and well-being of staff and customers.

**Additional Information**

*None provided.*

**Location Description**

This project will take place at 280 Industrial Park Lane, Lac du Flambeau, Wisconsin.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
550000	Wisconsin

**Congressional District Information**

District	State
7	Wisconsin

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$283,994
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$283,994</b>
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### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-053-01-01	600-00 (600-A1) OTHER PROGRAM COSTS	\$283,994.00	\$0.00	\$283,994.00	1
WI-2021-053-01-01	12.43.01 CONSTRUCT ADMIN BUILDING	\$283,994.00	\$0.00	\$283,994.00	1

### Project Budget Activity Line Items

**Budget Activity Line Item: 12.43.01 - CONSTRUCT ADMIN BUILDING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	12.43.01	CONSTRUCT ADMIN BUILDING	CONSTRUCTION	1

**Extended Budget Description**

Amendment #1:

The Timeline is being extended to 12/31/2024 for completion of construction. There are no other changes associated with this amendment. Several RFPs have been released and closed with no bidders and adjusted to account for more flexibility keeping within federal requirements. The rural location and lack of contractor availability continues to delay the project. No material change.

Original:

The combined \$283,994 of FY20 and FY21 5311(c) formula funding in this line item of the Transit Services Expansion Construction project will cover: excavation of site, foundation, basement, exterior utilities, shell ( exterior walls of building) , for the building of a 2,000 square feet one-story building with basement. The proposed use for this expansion project will create more work-space for dispatch staff, training opportunities, storage space, and administrative office space for continued operations. No STIP required.

The estimated useful life of this building expansion is 40 years.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$283,994
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$283,994</b>

Milestone Name	Est. Completion Date	Description
Develop RFP for General Contractor	8/31/2021	The Tribal Planning Department will put together an RFP for General Contractor services, in cooperation with Tribal Transit.
Contract Award Date	9/30/2021	The Planning Department, in compliance with Tribal Property and Procurement procedures, will select and award a general contractor.
Construction Completion	6/30/2022	We anticipate completing the construction of the foundation (basement) and shell (exterior walls), which are the items included in this line item, by the proposed milestone date.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 09: Assembly or construction of facilities that is consistent with existing land use and zoning requirements (including floodplain regulations) and uses primarily land disturbed for transportation use, such as: buildings and associated structures; bus transfer stations or intermodal centers; busways and streetcar lines or other transit investments within areas of the right-of-way occupied by the physical footprint of the existing facility or otherwise maintained or used for transportation operations; and parking facilities.

Date Description	Date
Class IIc CE Approved	7/7/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	12.43.01	CONSTRUCT ADMIN BUILDING	1	\$283,994.00	\$283,994.00

## Project Title: FY22 5311 Tribal Transit Formula Operating Assistance Project

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WI-2021-053-02-01	WI-2021-053-01-P2	11/30/2023	1/1/2024	3/31/2024

### Project Description

AMENDMENT #1

New Project Added

This project utilizes \$140,081 in FY22 5311 Tribal Transit Program formula funds for operating assistance. There is no local share required under the program. The Project supports transit operations in FY 2024.

### Project Benefits

Project benefits will be to transit riders in the Lac du Flambeau area to get to work, school, medical, and retail. The Community benefits from safe, reliable, and affordable public transportation services.

### Additional Information

*None provided.*

### Location Description

Lac du Flambeau Reservation

## Project Location (Urbanized Areas)

UZA Code	Area Name
550000	Wisconsin

## Congressional District Information

District	State
7	Wisconsin

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: Tribal Transit Formula Program- No STIP/TIP required

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$140,081



Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$140,081</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WI-2021-053-02-01	600-00 (600-A2) OTHER PROGRAM COSTS	\$140,081.00	\$0.00	\$140,081.00	1
WI-2021-053-02-01	30.09.01 UP TO 50% FEDERAL SHARE	\$140,081.00	\$0.00	\$140,081.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

AMENDMENT #1

New Project Added:

Operating assistance for the Lac Du Flambeau Public Transit Service. Funds to be used in the 2nd quarter of FFY 2024 (January 1 through March 31, 2024). Operating assistance activities consist of transit staff salaries, fringe, and other eligible operating activities in C9040.1G, page III-11.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$140,081
Local			\$0
Local/In-Kind			\$0

State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$140,081</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2024	
End Date	3/31/2024	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$140,081.00	\$140,081.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Evan Gross**

Comment Type	DOL Review for Information
Date	12/13/2023
Comment	Tribal Transit Program funds - DOL for Information