

Application

Federal Award Identification Number (FAIN)	WA-2024-008-00
Temporary Application Number	6684-2023-4
Award Name	FY 2021 Section 5311 TTP Formula Operating Assistance, Preventive Maintenance, and Indirect Costs for the Lummi Indian Business Council
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2026
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	3/31/2026

Part 1: Recipient Information

Name: LUMMI INDIAN BUSINESS COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6684	Indian Tribe	LUMMI TRIBE OF THE LUMMI RESERVATION	TP4UB9N6TSA5	020245247

Location Type	Address	City	State	Zip
Headquarters	2665 KWINA RD	BELLINGHAM	WA	98226
Physical Address	2665 KWINA RD	BELLINGHAM	WA	98226
Mailing Address	2665 KWINA ROAD	BELLINGHAM	WA	98226

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	---
Telephone	
Fax	
E-mail	
Website	

Part 2: Application Information

Title: FY 2021 Section 5311 TTP Formula Operating Assistance, Preventive Maintenance, and Indirect Costs for the Lummi Indian Business Council

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
WA-2024-008-00	Final Concurrence / Reservation	Grant	Region 10	11/17/2022	11/17/2022	No

Application Executive Summary

This project includes \$79,701 in FY 2021 Section 5311 TTP formula funds, which is the full amount allocated to the Lummi Indian Business Council. These funds will be used for operating assistance, preventive maintenance, and project administration costs through the use of a Federally approved indirect cost rate, which includes but is not limited to salaries and benefits, administrative leave, indirect costs, supplies, equipment and services.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Department of Interior (DOI) approved the Lummi Indian Business Council indirect cost rate on 1/27/2022, which covers 1/1/2022 through 12/31/2022. The currently approved indirect cost rate is 18.18% and will be applied to this grant. Once the 2023 rate and all future rates are approved, they will then be applied to this grant. The 2023 rate is currently being negotiated.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide operating assistance, preventive maintenance, and project administration (indirect costs) .

Activities to be performed:

Activities to be performed include operating assistance, preventive maintenance, and project administration through the use of a federally approved indirect cost rate.

Expected outcomes:

Expected outcomes include maintaining safe and reliable transit service which includes access to medical services, social services, education, employment, recreation, and cultural activities.

Intended beneficiaries:

Intended beneficiaries include Tribal members and other members of the community (general public) in and around the Lummi Reservation including the cities of Bellingham and Ferndale in unincorporated Whatcom County.

Subrecipient Activities:

There are no subrecipients to this award.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Annette	McKelvey	Transportation Program Specialist	Annette.McKelvey@dot.gov	(206) 220-4461
Connie	Alvarez	Lead Transit Operator	conniea@lummi-nsn.gov	(360) 312-2342

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,701
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$79,701

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2024-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$79,701.00	\$0.00	\$79,701.00	0
WA-2024-008-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (FY 2021 Section 5311 TTP Formula 100:0)(00)	\$14,490.00	\$0.00	\$14,490.00	0
WA-2024-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)	\$32,605.00	\$0.00	\$32,605.00	0
WA-2024-008-01-00	30.09.01 OPERATING ASSISTANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)	\$32,606.00	\$0.00	\$32,606.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2021 Section 5311 TTP Formula Funding - Operating Assistance, Preventive Maintenance, and Indirect Costs for the Lummi Indian Business Council

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2024-008-01-00	6684-2023-4-P1	11/17/2022	1/1/2023	12/31/2025

Project Description

This project includes \$79,701 in FY 2021 in Section 5311 TTP formula funds, which is the full amount allocated to the Lummi Indian Business Council. These funds will be used for operating assistance, preventive maintenance, and project administration costs through the use of a Federally approved indirect cost rate, which includes but is not limited to salaries and benefits, administrative leave, indirect costs, supplies, equipment and services.

Project Benefits

Tribal members and other members of the community (general public) in and around the Lummi Reservation including the cities of Bellingham and Ferndale in unincorporated Whatcom County.

Additional Information

Operating assistance, preventive maintenance, and project administration through the use of a federally approved indirect cost rate.

Maintain safe and reliable transit service which includes access to medical services, social services, education, employment, recreation, and cultural activities.

Location Description

Tribal members and other members of the community (general public) in and around the Lummi Reservation including the cities of Bellingham and Ferndale in unincorporated Whatcom County.

Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

Congressional District Information

State	District	Representative
Washington	2	Rick Larsen

Program Plan Information

STIP/TIP

Date: Not Provided

Description: There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,701
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$79,701

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2024-008-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$79,701.00	\$0.00	\$79,701.00	0
WA-2024-008-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect (FY 2021 Section 5311 TTP Formula 100:0)(00)	\$14,490.00	\$0.00	\$14,490.00	0
WA-2024-008-01-00	11.7A.00 PREVENTIVE MAINTENANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)	\$32,605.00	\$0.00	\$32,605.00	0
WA-2024-008-01-00	30.09.01 OPERATING ASSISTANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)	\$32,606.00	\$0.00	\$32,606.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect (FY 2021 Section 5311 TTP Formula 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$14,490 in FY 2021 Section 5311 TTP formula funds to be used for project administration indirect expenses through the use of a Federally approved indirect cost rate. The Lummi Indian Business Council indirect cost rate federal approval letter is attached. FY 2023 indirect cost negotiations are in progress.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$14,490
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,490

Milestone Name	Est. Completion Date	Description
Start Indirect Expenses	1/1/2023	
End Indirect Expenses	12/31/2025	

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This activity includes \$32,605 in FY 2021 Section 5311 TTP formula funds to be used for preventive maintenance activities.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$32,605
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$32,605

Milestone Name	Est. Completion Date	Description
Start Preventive Maintenance	1/1/2023	
End Preventive Maintenance	12/31/2025	

Budget Activity Line Item: 30.09.01 - OPERATING ASSISTANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

This activity includes \$32,606 in FY 2021 Section 5311 TTP formula funds to be used for operating assistance activities.

There is no STIP requirement for 5311 TTP Funds, 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$32,606
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$32,606

Milestone Name	Est. Completion Date	Description
Start Operating Assistance	1/1/2023	
End Operating Assistance	12/31/2025	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	OPERATING ASSISTANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)	0	\$32,606.00	\$32,606.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional

use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE (FY 2021 Section 5311 TTP Formula 100:0)(00)	0	\$32,605.00	\$32,605.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - Indirect (FY 2021 Section 5311 TTP Formula 100:0)(00)	0	\$14,490.00	\$14,490.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Annette McKelvey

Comment Type	DOL Review for Information
Date	12/1/2023
Comment	The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review. Please advise us of any 40 U.S. C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Annette McKelvey

Comment Type	Environmental Findings
Date	11/6/2023
Project Title	FY 2021 Section 5311 TTP Formula Funding - Operating Assistance, Preventive Maintenance, and Indirect Costs for the Lummi Indian Business Council
Project Number	WA-2024-008-01-00
Comment	Preventive maintenance line item pertains to vehicle preventive maintenance activities.