

Application

Federal Award Identification Number (FAIN)	IA-2023-001-01
Temporary Application Number	1812-2022-9
Award Name	Formula 5311 Operating/RTAP/Planning/Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	12/28/2022		
Original Period of Performance End Date	9/30/2027		
Current Period of Performance End Date	9/30/2027	Revision #: 0	Approved?: No

Part 1: Recipient Information

Name: TRANSPORTATION, IOWA DEPT OF

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	VAL7N8VS7L99	120527275

Location Type	Address	City	State	Zip
Headquarters	800 Lincoln Way	Ames	IA	500106915
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Formula 5311 Operating/RTAP/Planning/Capital

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
IA-2023-001-01	Final Concurrence / Reservation	Grant	Region 7	9/21/2023	9/21/2023	No

Application Executive Summary

Executive Summary

This is the Iowa DOT FFY23 5311 Non-Urbanized Area Formula Program grant application for \$15,567,941 in federal funding. This amount includes \$14,866,977 in 5311 formula funds, \$400,000 in RPA Transportation Planning funds, and \$300,964 for RTAP activities. Intercity Bus 5311 funding will be applied for in a separate grant. Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

FFY2023 Section 5311 Funding Summary:

\$15,567,941 Total amount of FTA FFY23 5311 application
\$14,966,977 Total Local Match
\$30,534,918 Total Grant Amount

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo.

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

This is the Iowa DOT FFY23 5311 Non-Urbanized Area Formula Program grant application for \$15,567,941 in federal funding. This amount includes \$14,866,977 in 5311 formula funds, \$400,000 in RPA Transportation Planning funds, and \$300,964 for RTAP activities. Intercity Bus 5311 funding will be applied for in a separate grant. Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

FFY2023 Section 5311 Funding Summary:

\$15,567,941 Total amount of FTA FFY23 5311 application

\$14,966,977 Total Local Match

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Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo.

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Activities to be performed:

The application scope of work includes operating assistance for eligible 5311 subrecipients.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo.

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

Expected outcomes:

The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa, training and conference opportunities for transit systems, and short term planning activities.

Intended beneficiaries:

The intended beneficiaries are citizens across rural counties in the state of Iowa.

Subrecipient Activities:

The application scope of work includes service operations for eligible 5311 subrecipients to provide

services. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Brent	Paulsen	Program Manager	brent.paulsen@iowadot.us	(515) 239-1132

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,567,941
Local			\$14,966,977
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$30,534,918

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2023-001-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$14,866,977.00	\$14,866,977.00	\$29,733,954.00	0
IA-2023-001-01-01	30.09.01 Amendment #1 UP TO 50% FEDERAL SHARE	\$14,866,977.00	\$14,866,977.00	\$29,733,954.00	0
IA-2023-001-01-01	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$300,964.00	\$0.00	\$300,964.00	0
IA-2023-001-01-01	43.50.01 Amendment #1 TRAINING	\$300,964.00	\$0.00	\$300,964.00	0
IA-2023-001-01-01	441-00 STATE PLANNING & RESEARCH	\$400,000.00	\$100,000.00	\$500,000.00	0

	(441-A3)					
IA-2023-001-01-01	44.24.00	Amendment #1 SHORT RANGE TRANSIT PLANNING	\$400,000.00	\$100,000.00	\$500,000.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Formula 5311 Operating Assistance, Planning and RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2023-001-01-01	1812-2022-9-P1	9/21/2023	7/1/2022	9/30/2026

Project Description

Amendment 1

This is the Iowa DOT FFY23 5311 Non-Urbanized Area Formula Program grant application for \$15,567,941

FUNDING SUMMARY:

\$14,866,977 FFY23 5311 Operating Amount

\$400,000 FFY23 Statewide Planning Project

\$300,964 FFY23 RTAP Apportionment

\$15,567,941 Total amount of FTA FFY23 5311 application

\$14,966,977 Total Local Match Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

\$30,534,918 Total Grant Amount

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo.

Planning funds in this amendment will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning. This amendment will add the FFY 2023 funds for planning to the grant.

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

This is the FFY22 5311 Non-Urbanized Area Formula Program grant application is for \$15,292,378

FUNDING SUMMARY:

\$14,543,971 FY22 5311 Operating Amount
\$400,000 FY22 Statewide Planning Project
\$296.202 FY22 RTAP Apportionment
\$15,240,173 Total amount of FTA FY22 5311 application

ACTIVITIES TO BE PERFORMED: The application scope of work includes operating assistance for eligible 5311 subrecipients.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo.

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

EXPECTED OUTCOMES: The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa, training and conference opportunities for transit systems, and

INTENDED BENEFICIARIES: The intended beneficiaries are citizens across rural counties in the state of Iowa.

SUBRECIPIENT ACTIVITIES: The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver rodeo

The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Local funding comes from general fund levies and sometimes from "Trust and Agency" levies. Each transit system has certified that local shares for their projects are available.

Project Benefits

The 23 subrecipient agencies will receive the benefit of reliable source of operating funding. All statewide transit systems will receive the benefit of a travel training program under RTAP (and STA funding for larger urban areas), and statewide planning resources.

Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of State-wide training seminars, and a Statewide annual driver rodeo,

Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning.

Additional Information

None provided.

Location Description

This application is for operating assistance for rural transit agencies throughout Iowa as well as statewide regional planning and RTAP

Project Location (Urbanized Areas)

UZA Code	Area Name
190000	Iowa
190001	Iowa (Discontinued)

Congressional District Information

District	State
1	Iowa
2	Iowa
3	Iowa
4	Iowa

Program Plan Information**STIP/TIP**

Date: 9/23/2022

Description: The 2023-2026 STIP approved projects on this application can be found on the online STIP document <https://www.tpms.org> under FY23 approved 5311 projects.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,567,941
Local			\$14,966,977
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$30,534,918

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2023-001-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$14,866,977.00	\$14,866,977.00	\$29,733,954.00	0
IA-2023-001-01-01	Amendment #1 UP TO 30.09.01 50% FEDERAL SHARE	\$14,866,977.00	\$14,866,977.00	\$29,733,954.00	0
IA-2023-001-01-01	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$300,964.00	\$0.00	\$300,964.00	0
IA-2023-001-01-01	43.50.01 Amendment #1 TRAINING	\$300,964.00	\$0.00	\$300,964.00	0
IA-2023-001-01-01	441-00 (441-A3) STATE PLANNING & RESEARCH	\$400,000.00	\$100,000.00	\$500,000.00	0
IA-2023-001-01-01	Amendment #1 SHORT RANGE TRANSIT PLANNING 44.24.00	\$400,000.00	\$100,000.00	\$500,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - Amendment #1 UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating assistance for rural transit providers --The twenty-three (23) subrecipients in this application include seven (7) private not for profits and sixteen (16) public bodies. These subrecipients cover fifteen (15) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area. Operating assistance will be used for fuel, maintenance, salaries, and other general operating

expenses.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,866,977
Local			\$14,866,977
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$29,733,954

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	9/30/2026	

Budget Activity Line Item: 43.50.01 - Amendment #1TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Training assistance for rural systems. Iowa's RTAP funds are mainly used to provide local transit agencies training fellowships. The fellowships pay 100 percent of the cost for Iowa's small urban and regional transit systems and their planners to attend Iowa DOT sponsored seminars, as well as transit-related courses, conferences or in-house training, and also include a portion of statewide training seminars, and a statewide annual driver roadeo

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,964
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$300,964
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	9/30/2025	

Budget Activity Line Item: 44.24.00 - Amendment #1 SHORT RANGE TRANSIT PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE PLANNING & RESEARCH (441-00)	44.24.00	SHORT RANGE TRANSIT PLANNING	STATE PLANNING & RESEARCH	0

Extended Budget Description

Rural transit planning assistance. Funds will be used for general planning activities conducted by the RPAs. Planning funds in this application will be used to support regional transportation planning activities that are listed in each of the Transportation Planning Work Programs (TPWPs) that each agency is required to prepare and is approved by Iowa DOT. Some of these activities include Passenger Transportation Development Planning (PTDP-Coordinated Human Service Planning) and general transit/transportation planning

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$400,000
Local			\$100,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	
End Date	9/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/20/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	Amendment #1 UP TO 50% FEDERAL SHARE	0	\$14,866,977.00	\$29,733,954.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/20/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	Amendment #1 TRAINING	0	\$300,964.00	\$300,964.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/20/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE PLANNING & RESEARCH (441-00)	44.24.00	Amendment #1 SHORT RANGE TRANSIT PLANNING	0	\$400,000.00	\$500,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Jeremiah Shuler

Comment Type DOL Review for Information

Date 12/20/2023

Comment

Application Review Comments

Comment By Jeremiah Shuler

Comment Type Pre-Award Manager Returns Application

Date 9/22/2023

Comment

Comment By Jeremiah Shuler

Comment Type Pre-Award Manager Returns Application

Date 11/7/2023

Comment Ex summary describes FY 23 funds in one area and FY 22 in the funding summary. Please reconcile throughout.

Comment By Jeremiah Shuler

Comment Type Pre-Award Manager Returns Application

Date 11/21/2023

Comment Please update the award description info and indicate the amount of 5311 set aside for inter-city bus.

Comment By Jeremiah Shuler

Comment Type Pre-Award Manager Returns Application

Date 12/7/2023

Comment

Application Review Comments

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 12/20/2023

Project Title Formula 5311 Operating Assistance, Planning and RTAP

Project Number IA-2023-001-01-01

Comment The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 12/20/2023

Project Title Formula 5311 Operating Assistance, Planning and RTAP

Project Number IA-2023-001-01-01

Comment The proposed program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 12/20/2023

Project Title	Formula 5311 Operating Assistance, Planning and RTAP
Project Number	IA-2023-001-01-01
Comment	The proposed planning activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).