

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	TN-2023-043-00
<b>Temporary Application Number</b>	1007-2023-7
<b>Award Name</b>	FFY2021 5311 Rural LAPSING FUNDS
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/30/2031
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	3/30/2031

### Part 1: Recipient Information

**Name: TENNESSEE DEPARTMENT OF TRANSPORTATION**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	ML56NPH3NFF9	878297712

Location Type	Address	City	State	Zip
Headquarters	600 CHARLOTTE AVE	NASHVILLE	TN	372439034
Physical Address	505 DEADERICK ST	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

### Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: FFY2021 5311 Rural LAPSING FUNDS</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
TN-2023-043-00	Final Concurrence / Reservation	Grant	Region 4	8/9/2023	8/9/2023	No

### Application Executive Summary

This application obligates \$7,038,245.00 of FFY2021 5311 Apportionment. The 5311 & Appalachian funds were originally apportioned in TDOT's FFY2020 Apportionment, but were rolled over into the FFY2021 Lapsing Funds Balance when FTA obligated all 2020 funds in other grants.

TDOT did not obligate any of the FFY2021 apportionment to the 5311(f) Intercity Bus program. Following the comprehensive intercity bus consultation completed in June 2021, the attached Governor's Certification Letter notified FTA that intercity bus needs are being adequately met.

This grant will provide funds to nine (9) rural public transportation systems for transportation in non-urban areas and two (2) rural transit-intensive agencies. The Appalachian funds are allocated to agencies serving Appalachian areas based on the 2010 Census. Funds were distributed based on director's discretion.

The Operational Expenses requested in this application were determined by subrecipient budgeting processes. TDOT and its subrecipients understand that the expenses requested in this application are subject to review for their reasonableness as part of TDOT's State Management Review

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

TDOT will ensure that all vehicles purchased are accessible, purchased from an FTA approved TVM, and reported to FTA within 30 days after contract is signed.

TDOT will check the current listing of Transit Vehicle Manufacturers (TVM) to ensure each is listed as a certified TVM in compliance with FTA regulations.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

All subrecipients will follow useful life standards as defined in the TDOT State Management Plan.

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Recipients receiving grant awards over \$25,000 from FTA or any other Federal agency after October 1, 2010, must comply with the Federal Financial Accountability and Transparency Act (FFATA) sub-award reporting requirements.

The FFY2021 5311 Lapsing Funds Program of Projects (POP) is attached. Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, Operating, and RTAP.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## **Award Description**

**Purpose**

This application obligates \$7,038,245.00 of FFY2021 5311 Apportionment. The 5311 funds were originally apportioned in TDOT's FFY2020 Apportionment, but were rolled over into the FFY2021 Lapsing Funds Balance when FTA obligated all 2020 funds in other grants.

**Activities to be performed:**

This project includes federal and state funding in support of public transportation Project Administration, Operating, Capital, and State Administration.

**Expected outcomes:**

Continued safe and effective operation of transit services for rural areas of Tennessee, including Appalachian areas.

**Intended beneficiaries:**

State transit agencies and citizens in the rural and non-urbanized areas of the state.

**Subrecipient Activities:**

Funds are allocated to 11 public agencies in the following categories: Project Administration, Capital, Operating, and RTAP.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,038,245
Local			\$2,144,982
Local/In-Kind			\$0
State			\$2,144,982
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,328,209</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2023-043-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,600,000.00	\$400,000.00	\$2,000,000.00	5
TN-2023-043-01-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$800,000.00	\$200,000.00	\$1,000,000.00	2
TN-2023-043-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$800,000.00	\$200,000.00	\$1,000,000.00	3
TN-2023-043-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$488,000.00	\$122,000.00	\$610,000.00	15
TN-2023-043-01-00	11.42.20 ACQUIRE - MISC SUPPORT	\$488,000.00	\$122,000.00	\$610,000.00	15

EQUIPMENT						
TN-2023-043-01-00	117-00 (117-A3)	OTHER CAPITAL ITEMS (BUS)	\$49,600.00	\$12,400.00	\$62,000.00	0
TN-2023-043-01-00	11.7A.00	PREVENTIVE MAINTENANCE	\$49,600.00	\$12,400.00	\$62,000.00	0
TN-2023-043-01-00	300-00 (300-A4)	OPERATING ASSISTANCE	\$3,154,698.00	\$3,154,698.00	\$6,309,396.00	0
TN-2023-043-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$3,154,698.00	\$3,154,698.00	\$6,309,396.00	0
TN-2023-043-01-00	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$455,080.00	\$0.00	\$455,080.00	0
TN-2023-043-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$455,080.00	\$0.00	\$455,080.00	0
TN-2023-043-01-00	620-00 (620-A5)	PROJECT ADMINISTRATION	\$920,000.00	\$230,000.00	\$1,150,000.00	0
TN-2023-043-01-00	11.79.00	PROJECT ADMINISTRATION	\$920,000.00	\$230,000.00	\$1,150,000.00	0
TN-2023-043-01-00	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$370,867.00	\$370,866.00	\$741,733.00	0
TN-2023-043-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$370,867.00	\$370,866.00	\$741,733.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FFY2021 5311 Rural LAPSING FUNDS

Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2023-043-01-00	1007-2023-7-P1	8/10/2023	7/1/2021	12/31/2025

#### Project Description

This application obligates \$7,038,245.00 of FFY2021 5311 Apportionment of lapsing funds. The 5311 funds were originally apportioned in TDOT's FFY2020 Apportionment, but were rolled over into the FFY2021 Lapsing Funds Balance when FTA obligated all 2020 funds in other grants. This project includes federal and state funding in support of public transportation Project Administration, Operating, Capital, and

State Administration.

**Project Benefits**

The project will benefit a total of eleven (11) rural public transit agencies across the state of Tennessee

**Additional Information**

*None provided.*

**Location Description**

Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
470000	Tennessee

**Congressional District Information**

State	District	Representative
Tennessee	1	Diana Harshbarger
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Andrew Ogles
Tennessee	9	Steve Cohen
Tennessee	2	Tim Burchett
Tennessee	6	John Rose
Tennessee	7	Mark Green
Tennessee	8	David Kustoff

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,038,245

Local		\$2,144,982
Local/In-Kind		\$0
State		\$2,144,982
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$11,328,209</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2023-043-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,600,000.00	\$400,000.00	\$2,000,000.00	5
TN-2023-043-01-00	11.12.01 BUY REPLACEMENT 40-FT BUS	\$800,000.00	\$200,000.00	\$1,000,000.00	2
TN-2023-043-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$800,000.00	\$200,000.00	\$1,000,000.00	3
TN-2023-043-01-00	114-00 (114-A2) BUS: SUPPORT EQUIP AND FACILITIES	\$488,000.00	\$122,000.00	\$610,000.00	15
TN-2023-043-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$488,000.00	\$122,000.00	\$610,000.00	15
TN-2023-043-01-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$49,600.00	\$12,400.00	\$62,000.00	0
TN-2023-043-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$49,600.00	\$12,400.00	\$62,000.00	0
TN-2023-043-01-00	300-00 (300-A4) OPERATING ASSISTANCE	\$3,154,698.00	\$3,154,698.00	\$6,309,396.00	0
TN-	30.09.01 UP TO 50%	\$3,154,698.00	\$3,154,698.00	\$6,309,396.00	0

2023-043-01-00		FEDERAL SHARE				
TN-2023-043-01-00	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$455,080.00	\$0.00	\$455,080.00	0
TN-2023-043-01-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$455,080.00	\$0.00	\$455,080.00	0
TN-2023-043-01-00	620-00 (620-A5)	PROJECT ADMINISTRATION	\$920,000.00	\$230,000.00	\$1,150,000.00	0
TN-2023-043-01-00	11.79.00	PROJECT ADMINISTRATION	\$920,000.00	\$230,000.00	\$1,150,000.00	0
TN-2023-043-01-00	648-00 (648-A7)	APPALACHIAN DEV PUB TRANSIT ASSIST	\$370,867.00	\$370,866.00	\$741,733.00	0
TN-2023-043-01-00	30.09.01	UP TO 50% FEDERAL SHARE	\$370,867.00	\$370,866.00	\$741,733.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.01 - BUY REPLACEMENT 40-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2

#### Extended Budget Description

Pigeon Forge Mass Transit will purchase 2 replacement 40FT rubber-tire replica trolley. The ALI is for a 40FT bus because this is a rubber tire replica trolley that measures more than 30FT long because of the decorative cow catcher in the front and other decorative details. The vehicle is a medium-duty bus, which has a UL of 7 years, 200,000 miles in TN's State Management Plan. This ALI will utilize the 80/10/10 match level. Useful life will be 7 years or 200,000 miles.

#### Replacement vehicles:

2010 Double K, Inc. Mainstreet, VIN 4UZAB0DT7ACAR1205, Mileage: 200,554  
 2010 Double K, Inc. Mainstreet, VIN 4UZAB0DT0ACAR1207, Mileage: 188,447

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity,



? will maintain satisfactory continuing control by requesting and keeping on file all title records,? proof of insurance,? and vehicle registration.? Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory.? Also,? the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs.? In addition,? TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-?approval of asset specifications,? independent cost estimates,? RFP,? and selected bidder.? The vehicle purchased on this ALI will be ADA accessible.?

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	40FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$800,000
Local			\$100,000
Local/In-Kind			\$0
State			\$100,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	7/1/2021	RFP released for purchase of vehicles with options to purchase additional vehicles over a specified period.?
Contract Award Date	9/30/2021	Vehicle contract awarded to Hometown Trolley
Purchase Order	1/31/2024	Issue Purchase Order
Final Delivery Date	12/31/2024	Vehicle delivered
Contract Completion Date	3/31/2025	Contract Complete

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	3

**Extended Budget Description**

Gatlinburg Mass Transit System will purchase a replacement <30FT rubber-tire replica trolley. This ALI will utilize the 80/10/10 match level. Useful life will be 7 years or 200,000 miles.

2008 Freightliner Trolley XSB, VIN 4UZAB0DT28CZ94966, Mileage: 177,147

2009 DoubleK Freight Trolley XSB, VIN 4UZAB0DT09CAN3309, Mileage: 229,129

2011 Chevrolet Low Floor Van, VIN 1GB6G5BG2B1183433, Mileage: 153,382

Subrecipient will purchase the vehicles through an FTA-compliant procurement process. The subrecipient will own, operate, and procure vehicles under this grant. TDOT, the pass-through entity, will maintain satisfactory continuing control by requesting and keeping on file all title records, proof of insurance, and vehicle registration. Vehicle information and records will be maintained and updated through the TDOT Perpetual Vehicle Inventory. Also, the subrecipient will be required to: have an approved Maintenance Plan and submit annual inspection sheets as well as maintenance logs. In addition, TDOT will ensure that specific procurement steps and policies are followed as required in the State Management Plan including pre-approval of asset specifications, independent cost estimates, RFP, and selected bidder. The vehicle purchased on this ALI will be ADA accessible.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	<30FT

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$800,000
Local			\$100,000
Local/In-Kind			\$0
State			\$100,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,000,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	12/31/2023	Agency issues RFP/ITB for vehicles
Contract Award Date	6/30/2024	Contract awarded to vendor & Purchase Order issued
Initial Delivery Date	12/31/2024	First vehicle delivered.
Final Delivery Date	6/30/2025	Final Vehicle Delivered
Contract Completion Date	12/31/2025	Contract complete.

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	15

**Extended Budget Description**

NWTHRA will purchase wheelchair lift safety barriers and cameras for vehicles in their fleet. NWTHRA will

also convert 10 passenger vans in their fleet to ADA Accessible vehicles by adding wheelchair lifts. DHRA will purchase wheelchair lift safety barriers for their fleet of ADA accessible vehicles. ETHRA will purchase software to allow for micro-transit, fixed route bus service, and customized reporting management tools within the rural areas of East Tennessee to better compete with the private sector.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$488,000
Local			\$61,000
Local/In-Kind			\$0
State			\$61,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$610,000</b>

Milestone Name	Est. Completion Date	Description
ITB/RFPs Issued	12/31/2023	Agencies issue ITB/RFPs for capital items.
Contracts Awarded	3/31/2024	Contracts awarded to vendors
Delivery & Installation	12/31/2024	All capital items delivered and installed/implemented.
Contracts Complete	3/31/2025	Contracts complete.

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

Funds will be used by grantees for eligible Preventive Maintenance activities in State Fiscal Year 2024 ( July 1, 2023 - June 30, 2024). Preventive Maintenance activities include activities, supplies, materials, labor, services, and associated costs for the maintenance of transit vehicles and equipment. Grantees do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$49,600
Local			\$6,200
Local/In-Kind			\$0

State		\$6,200
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$62,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Preventive Maintenance activities begin
End Date	6/30/2024	Preventive Maintenance activities complete

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating funds for 8 rural public agencies for the period of July 1, 2023 through June 30, 2024. Funds will be used by grantees for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,154,698
Local			\$1,577,349
Local/In-Kind			\$0
State			\$1,577,349
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,309,396</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Operating activities begin.
End Date	6/30/2024	Operating activities complete.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Funds will be used by grantees for salary, wages, benefits, and payroll taxes for administrative staff, administrative leave for administrative staff, travel and training, professional fees, licenses and insurance, administrative expenses, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, advertising, other direct costs, indirect costs, and administrative expenses including incidental costs associated with operating and maintaining administrative premises. The ALI is for activities that pertain to the administration of the transit program ( versus the operation of programs, which are covered by similar language in the Operating ALI).

Grantees with sales tax exemptions will attempt to remove all sales tax from reimbursement requests; however, the reimbursement of sales tax when exempted is allowed.

Grantees will attempt to remove all late fees from reimbursement requests; however, the reimbursement of late fees is allowed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$920,000
Local			\$115,000
Local/In-Kind			\$0
State			\$115,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,150,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Project Administration activities begin
End Date	9/30/2024	Project Administration activities complete.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Funds will be utilized by TDOT for technical assistance to grantees, staff salaries, and work on rural program compliance.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$455,080
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$455,080</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	State administration activities begin.
End Date	12/31/2025	State administration activities complete.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

**Extended Budget Description**

Operating funds to be used by an Appalachian area grantee from July 1, 2023 through June 30, 2024. Funds will be used for salary, wages, benefits, payroll taxes, travel and training, professional fees, licenses and insurance, administrative expenses, postage and shipping, equipment rental and maintenance, printing and publications, conferences and meetings, telephone, occupancy, rent, utilities, supplies, administrative expenses, fuel and oil, maintenance and repairs, uniforms, D&A testing, other direct costs, indirect costs, and other non-personnel expenses.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$370,867
Local			\$185,433
Local/In-Kind			\$0
State			\$185,433
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$741,733</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Appalachian Operating activities begin
End Date	6/30/2024	Appalachian Operating activities complete

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	2	\$800,000.00	\$1,000,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that

can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	3	\$800,000.00	\$1,000,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	15	\$488,000.00	\$610,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.



Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$49,600.00	\$62,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$3,154,698.00	\$6,309,396.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$920,000.00	\$1,150,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$455,080.00	\$455,080.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$370,867.00	\$741,733.00
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## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Sherman

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Comment Type	DOL Review for Information
Date	9/1/2023
Comment	Sent to DOL for Information 9/1/2023.

### Application Review Comments

**Comment By** Michael Sherman

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Comment Type	Pre-Award Manager Returns Application
Date	8/28/2023
Comment	<p>The minimum useful life provided in the EBDs for the vehicles needs to meet the minimum requirement set by FTA Circular 5010.1E.</p> <p>For the project administration ALI, please provide additional information to determine the eligibility of the items.</p> <p>Some types of insurance are eligible, but under the operating ALI, please provide additional information.</p> <p>Equipment rental and maintenance: Please provide more information on how they relate to administration.</p>