

## Application

<b>Federal Award Identification Number (FAIN)</b>	SD-2023-014-00
<b>Temporary Application Number</b>	7331-2023-3
<b>Award Name</b>	FFY2021 5311 Tribal Formula - Capital
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	11/30/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	11/30/2025

### Part 1: Recipient Information

**Name: SISSETON WAHPETON OYATE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7331	Indian Tribe	SISSETON-WAHPETON OYATE	EGWDM51RW7	050750470

Location Type	Address	City	State	Zip
Headquarters	12554 BIA HIGHWAY 711	AGENCY VILLAGE	SD	57262
Physical Address	12554 BIA HIGHWAY 711	AGENCY VILLAGE	SD	57262
Mailing Address	12554 BIA HWY 711	AGENCY VILLAGE	SD	57262

### Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: FFY2021 5311 Tribal Formula - Capital**

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
SD-2023-014-00	Final Concurrence / Reservation	Grant	Region 8	8/1/2023	8/1/2023	No

### Application Executive Summary

This application is to request FY2021 Section 5311 Tribal Transit Formula Funds (Lapsing) in the amount of \$100,700 (full apportionment) to fund the capital purchase of one bus and vehicle repair costs. 100% Federal Share

Pre-Award will be used beginning July 1, 2023 through the date of this award and is estimated to be \$11,000.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

### Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

### Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The Sisseton-Wahpeton Oyate will utilize this award to maintain existing transit services on the Lake Traverse reservation.

**Activities to be performed:**

Grant scope of work is for capital purchase of a bus and vehicle repair costs.

**Expected outcomes:**

Continued provision of rural transit services to the residents of the Sisseton Wahpeton Oyate Tribe.

**Intended beneficiaries:**

Transit users of the Sisseton Wahpeton Oyate Tribe

**Subrecipient Activities:**

N/A

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Terry	Gonzales	Program Management Specialist	terry.gonzales@dot.gov	(303) 362-2401
Cliff	Eberhardt	SWO Dot Director	clifforde@swo-nsn.gov	(605) 698-8355

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100,700</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2023-014-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$100,700.00	\$0.00	\$100,700.00	2

SD-2023-014-01-00	11.12.15	BUY REPLACEMENT VAN	\$89,910.00	\$0.00	\$89,910.00	1
SD-2023-014-01-00	11.14.04	REHAB/ REBUILD <30- FT BUS	\$10,790.00	\$0.00	\$10,790.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2023-014-01-00	7331-2023-3-P1	8/1/2023	7/1/2023	8/15/2024

#### Project Description

The Sisseton-Wahpeton Oyate Tribe will use TTP funds to replace one transit vehicle that has met its useful life and overhaul the engine for another vehicle. The federal share is 100% and both activities qualify for a Categorical Exclusion C-list type 7.

#### Project Benefits

The replacement of buses will help the tribe to maintain mobility for a number of residents and fill an enormous gap in services, as it is currently the sole source of public transportation on the reservation. The purchase of this replacement bus will allow the Tribe to maintain access to destinations and services, including maintenance of all current transit service contracts with human services, employment services, educational systems, and government entities. It ensures residents have critical access to healthcare, employment, educational and training opportunities that expand ladders of opportunity. Additionally, as seen by the ridership numbers provided, the transit program is utilized by a significant number of elderly and disabled adults as well as children, ensuring not just access to services and goods, but also increased safety for vulnerable populations.

The Lake Traverse Reservation covers a vast rural area. The Lake Traverse Reservation is an impoverished rural area, with 21.3 percent of all individuals living below poverty level, so access to reliable, safe transportation is critical to individuals and families living in the area. According to 2012-2016 American Community Survey 5-Year Estimates, the unemployment rate of the Reservation was 8 percent, double that of the United States. Due to these high levels of poverty and unemployment, very few individuals have private cars, limiting their access to essential services and goods. The transit system is utilized frequently and by a vast number of reservation residents, increasing transportation efficiency and safety and improving mobility.

#### Additional Information

*None provided.*

#### Location Description

The Lake Traverse Reservation is in the northeastern corner of South Dakota, stretching into North Dakota and Minnesota. The Lake Traverse Reservation is an impoverished rural area, with 21.3 percent of all individuals living below poverty level, so access to reliable, safe transportation is critical to individuals and families living in the area. According to 2012-2016 American Community Survey 5-Year Estimates, the unemployment rate of the Reservation was 8 percent, double that of the United States.

## Project Location (Urbanized Areas)

<b>UZA Code</b>	<b>Area Name</b>
460000	South Dakota

## Congressional District Information

<b>State</b>	<b>District</b>	<b>Representative</b>
South Dakota	1	Dusty Johnson

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$100,700</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2023-014-01-00	600-00 OTHER PROGRAM COSTS	\$100,700.00	\$0.00	\$100,700.00	2

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SD-2023-014-01-00		11.12.15	BUY REPLACEMENT VAN	\$89,910.00	\$0.00	\$89,910.00	1
SD-2023-014-01-00		11.14.04	REHAB/ REBUILD <30- FT BUS	\$10,790.00	\$0.00	\$10,790.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

#### Extended Budget Description

The purchase of one replacement transit van that has met its useful life. The new and existing van both have a useful life of 4 years/ 100,000 miles. The vehicle being replaced is a 2018 Ford Transit Mobility Trans and was purchased March 2018. The vehicle currently has 136,134 estimated miles as of August 2023. VIN number 1FDVU4XM9JKA08027. It will remain in service until the new vehicle arrives.

The federal share is 100%.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$89,910
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$89,910</b>

Milestone Name	Est. Completion Date	Description
RFP	1/30/2024	Publish RFP
Contract	3/31/2024	Contract with Vendor
Vehicle Delivery	6/30/2024	Vehicle delivery and testing
Vehicle acceptance	7/31/2024	Finalize additions to vehicle and initiate operations

Close Contract	8/15/2024	Close contract
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**Budget Activity Line Item: 11.14.04 - REHAB/REBUILD <30-FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.14.04	REHAB/REBUILD <30-FT BUS	REHAB/REBUILD - CAPITAL BUS	1

**Extended Budget Description**

The Sisseton-Wahpeton Oyate Tribe will pay for the replacement of a vehicle engine for a Model 2018 Ford Transit Mobility Tran. The vehicle currently has 129,244 miles. The cost to replace the vehicle engine was \$10790, completed July 2023. SWO has an existing contract with Community Transit for the operation and maintenance of transit and vehicles.

The new engine will extend the useful life of the vehicle another 4 to 5 years per industry standard (original useful life of the vehicle is 4 years/100,000 miles).

The federal share is 100%.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,790
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,790</b>

Milestone Name	Est. Completion Date	Description
start of capitol repair expense	7/1/2023	began repairs to transit vehicle.
completed vehicle maintenance repairs	7/31/2023	repairs completed

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/29/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	1	\$89,910.00	\$89,910.00
OTHER PROGRAM COSTS (600-00)	11.14.04	REHAB/REBUILD <30-FT BUS	1	\$10,790.00	\$10,790.00

**Part 4: Fleet Details**

No fleet data exists for this application.

**Part 5: FTA Review Comments**

**FTA Comments for DOL**

**Comment By Terry Gonzales**

Comment Type	DOL Review for Information
Date	9/7/2023
Comment	<p>This is a capital grant for the repair of a current vehicle and the purchase of a vehicle.            Contact Information:            Clifford Eberhardt            clifforde@swo-nsn.gov            12554 BIA Hwy 711            Agency Village, SD 57262            605-698-8355</p>

**Application Review Comments**

**Comment By Eboni Younger-Riehl**

Comment Type	Application Details
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Date	8/30/2023
Comment	<p>FOR GRANTS THAT INCLUDE FTA-ASSISTED VEHICLES ONLY Transit Vehicle Manufacturers (TVM) must have an approved FTA DBE Goal prior to bidding on contract. For a current listing, see <a href="https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list">https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list</a>. Recipient must ensure that all vehicles purchased are accessible and purchased from an FTA approved TVM.</p> <p>IF DEMAND-RESPONSIVE VEHICLES INCLUDED IN APPLICATION : In accordance with 49 CFR 37.77, public funded entities operating demand-responsive service which receive financial assistance from the Federal Transit Act must file a certificate of equivalent service before procuring any inaccessible demand-responsive vehicle. Please contact <a href="mailto:FTACivilRightsSupport@dot.gov">FTACivilRightsSupport@dot.gov</a> for more information.</p>