

Application

Federal Award Identification Number (FAIN)	PR-2023-053-00
Temporary Application Number	5489-2023-23
Award Name	Section 5311 Funds - Preventive Maintenance of assets - Drydock Activities for the Maritime Transportation System
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2030
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	3/30/2030

Part 1: Recipient Information

Name: PUERTO RICO HIGHWAY & TRANSPORTATION AUTHORITY

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
5489	State Agency	PUERTO RICO HIGHWAY AND TRANSPORTATION AUTHORITY	LLHHFKQLNAL4	088541391

Location Type	Address	City	State	Zip
Headquarters	SAN JOSE ST CRN SAN FRNCS	SAN JUAN	PR	00901
Physical Address	CENTRO GUBERNAMENTAL MINILLAS	SAN JUAN	PR	00940
Mailing Address	PO BOX 42007	SAN JUAN	PR	00940

Union Information

Union Name	HERMANDAD DE EMPLEADOS DE OFICINA, COMERCIO Y RAMAS ANEXAS
Address 1	PO BOX 8599
Address 2	
City	SANTURCE
State	Puerto Rico
Zipcode	00910
Contact Name	ASTRID ROSARIO
Telephone	
Fax	
E-mail	
Website	
Union Name	UNION DE TRONQUISTAS DE PUERTO RICO (UTPR)
Address 1	352 Calle del Parque
Address 2	Parada 23
City	San Juan
State	Puerto Rico
Zipcode	00912
Contact Name	Lcdo. Alexis Rodriguez
Telephone	7877218980
Fax	7877242190
E-mail	unitron@onelinkpr.net
Website	
Union Name	PROSOL- UTIER CAPÍTULO: AUTORIDAD DE CARRETERAS
Address 1	PO Box 9063
Address 2	
City	San Juan
State	PR
Zipcode	00908
Contact Name	Angel Pinto
Telephone	(787) 724-6118
Fax	
E-mail	angelpintoopm@gmail.com
Website	

Part 2: Application Information

Title: Section 5311 Funds - Preventive Maintenance of assets - Drydock Activities for the Maritime Transportation System

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
PR-2023-053-00	Final Concurrence / Reservation	Grant	Region 4	8/7/2023	8/7/2023	No

Application Executive Summary

This is a FY 2023 Section 5311 project for the preventive maintenance (drydocking) activities in the amount of \$7,600,000 (\$7,600,000 Federal Share and \$1,520,000 Toll Development Credits - TDCs) for the Puerto Rico Maritime Transportation System. This application includes lapsing funds transferred from Section 5307 UZA (\$5,075,240), lapsing funds from Section 5311 (\$2,273,562), and funds from the Bipartisan Infrastructure Law (BIL) (\$251,198).

This grant includes the following projects:

*Project #1 - Drydock Activities (June 1, 2023 - January 30, 2025) - Lapsing Funds transferred from PR UZA 5307 to Rural Section 5311 - \$5,075,240 (Federal) and \$1,015,048 (TDCs)

*Project #2 - Drydock Activities (July 1, 2023 - January 30, 2025) - Section 5311 FY21 Lapsing Funds - \$2,273,562 (Federal) and \$454,712 (TDCs)

*Project #3 - Drydock Activities (July 1, 2023 - January 30, 2025) - Section 5311 BIL Funds - \$251,198 (Federal) and \$50,240 (TDC)

The Maritime Transportation System under the Puerto Rico Integrated Transportation Authority (PRITA), provides the only public transportation method, that connects the Municipal Islands of Vieques and Culebra, with the mainland (Island Service).

As of December 15, 2021, the Authority commenced its transition process with the private operator, who was selected through a competitive process under the Puerto Rico Public Partnership (P3) laws. As of today, the Authority under its private operator, HMS Ferries, operates scheduled ferry services for cargo and passengers, running seven (7) days a week. As part of the transition process the operator is responsible for the operation and maintenance of all the Authority's' assets, including FTA funded vehicles and terminals. Currently, the operator is responsible for the maintenance and operations of all the Island and Metro Service terminals and of three FTA funded vessels (Cayo Largo, Isla Bonita and Santa Maria). The operator along with the Authority is also responsible for the repairs and maintenance of the following FTA funded vessels for the Island Service: Isleno (will commence drydock activities), and Cayo Blanco (currently in drydock).

The Island Service under the Maritime Transportation Program operates a scheduled service from Ceiba - Vieques and from Ceiba - Culebra using the following terminals:

- o Vieques - Isabel II Terminal
- o Culebra - Sardinias Terminal
- o Ceiba - Roosevelt Roads Terminal

STIP/TIP INFORMATION: STIP Amendment #1 (FY 2023-2026), Section 5311 - Non-Urbanized Area Formula Program, Page FTA-97, Line Num.5.

TAM PLAN: This project which consist of section 5311 funds can be found in the ATM TAM Plan under Investment Prioritization under Section 9.1 Major fleet maintenance. Section 5311 assets are included in

the inventory of the TAM Plan of PRHTA. This TAM Plan will follow FTAs final implementing rule. 49 U.S. C. 5337(a)(4)(B)

COST ESTIMATES: Estimates were provided by an assessment and ICE completed by a Subject Matter Expert (a retired US Coast Guard member) along with the Authority. These costs were reviewed and approved by PRITA and PRHTA.

Attached Documents:

1. DD SOW ICE
2. TDC Letter
4. Drydock Schedule
5. ICE Rationale
6. STIP Information

All third-party procurement policies will be following at a minimum FTA C 4220.1F. PRHTA will ensure all procured contractors are not on the FTA Suspension and Debarment list.

In addition to FTAs Buy America Act, which requires that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States (49 U.S.C. 5323(j)(1)), the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirement applies to this grant, in addition to the Buy America Act, except to the extent a waiver of either requirements may apply.

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this grant is to provide funding for preventive maintenance (Dry Docking) and vessel repairs to the vessels used to operate the Puerto Rico Island Ferry Service.

Activities to be performed:

Funds will be used to cover eligible preventive maintenance (including but not limited to heavy preventive maintenance) and vessel repair expenses to bring and maintain assets in a state of good repair.

Expected outcomes:

Funding will allow the Authority to meet preventive maintenance standards, continue to provide service operations, and keep assets in a state of good repair.

Intended beneficiaries:

The Authority and the passengers that rely on a daily basis on the Island Service Ferry System.

Subrecipient Activities:

Preventive maintenance activities and vessel repairs will be carried out by the Maritime Transportation Program under the Puerto Rico Integrated Transportation Authority a subrecipient of the Puerto Rico Highway and Transportation Authority

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Maribell	Perez	Supervisory Transportation Program Specialist	maribell.perez@dot.gov	787-773-8454
Monica	Menendez	Consultant	mmenendez@ati.pr.gov	(787) 721-8787

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,600,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,520,000
Adjustment			\$0
Total Eligible Cost			\$7,600,000

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2023-053-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$5,075,240.00	\$0.00	\$5,075,240.00	0
PR-2023-053-01-00	11.71.11 Drydock Activities - (June 1, 2023 - Sept. 30, 2023)	\$3,103,077.00	\$0.00	\$3,103,077.00	0
PR-2023-053-01-00	11.71.11 Drydock Activities - (July 1, 2023 - January 30, 2025)	\$1,972,163.00	\$0.00	\$1,972,163.00	0
PR-2023-053-02-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$2,273,562.00	\$0.00	\$2,273,562.00	0
PR-2023-053-02-00	11.71.11 Drydock activities (July 1, 2023 - January 30, 2025) - Section 5311 FY21 Lapsing Funds	\$2,273,562.00	\$0.00	\$2,273,562.00	0
PR-2023-053-03-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$251,198.00	\$0.00	\$251,198.00	0
PR-2023-053-03-00	11.71.11 OTHER 3RD PARTY CONTRACTUAL SERVICES - Drydock Activities (July 1, 2023 - January 30, 2025)	\$251,198.00	\$0.00	\$251,198.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Drydock Activities (June 1, 2023 - January 30, 2025) - Lapsing Funds transferred from PR UZA 5307 to Rural 5311

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PR-2023-053-01-00	5489-2023-23-P1	8/7/2023	6/1/2023	1/30/2025

Project Description

This is a FY 2023 Section 5311 project which includes lapsing funds transferred from PR UZA 5307 for the

preventive maintenance (drydocking) activities in the amount of \$5,075,240 (\$5,075,240 Federal Share and \$1,015,048 Toll Development Credits - TDCs).

This project may consist of the following scope of work (this scope could be updated during the course of the project):

1. Includes all drydock activities as required by USCG (46CFR 176.600(c)(1)), supplies, material, labor, services, and associated costs required to preserve the functionality of the vessels. Detail of the scope of work is provided the Extended Budget Description.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

STIP/TIP INFORMATION: STIP Amendment #1 (FY 2023-2026), Section 5311 - Non-Urbanized Area Formula Program, Page FTA-97, Line Num.5

Project Benefits

This project funds are necessary to continue the operation of the Island services (Ceiba, Vieques, Culebra) and to keep assets in a state-of-good-repair. Also, this project has a positive impact on the community and will allow the users of Vieques and Culebra to have reliable transportation.

Additional Information

None provided.

Location Description

Municipalities of Ceiba, Culebra and Vieques (Ferry Terminals)

Project Location (Urbanized Areas)

UZA Code	Area Name
720000	Puerto Rico

Congressional District Information

State	District	Representative
Puerto Rico	1	Jennifer González

Program Plan Information

STIP/TIP

Date: 5/12/2023

Description: STIP 2023-2026 Amendment #1 - May 12, 2023 was approved by the FTA on May 12, 2023. Email confirmation was sent by FTA on June 9, 2023. For this project, please refer to STIP 2023-2026 Section 5311 funds, FTA page 97, line #5.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,075,240
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$1,015,048
Adjustment			\$0
Total Eligible Cost			\$5,075,240

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2023-053-01-00	117-00 (117-A1) OTHER CAPITAL ITEMS (BUS)	\$5,075,240.00	\$0.00	\$5,075,240.00	0
PR-2023-053-01-00	11.71.11 Drydock Activities - (June 1, 2023 - Sept. 30, 2023)	\$3,103,077.00	\$0.00	\$3,103,077.00	0
PR-2023-053-01-00	11.71.11 Drydock Activities - (July 1, 2023 - January 30, 2025)	\$1,972,163.00	\$0.00	\$1,972,163.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.71.11 - Drydock Activities - (July 1, 2023 - January 30, 2025)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	0

Extended Budget Description

Section 5311 funds which includes lapsing funds from PR UZA 5307 are requested for the drydocking activities in the amount of \$1,972,163 for the maritime transportation vessels - Island Service. The period of performance for this activity is from 7/15/2023 to 1/30/2025.

This project will include the following categories in its Scope of Work:

1. Drydocking and Berthage
2. Engine Room
3. Propulsion
4. Cargo Deck
5. Passenger Area
6. Pilot House
7. Steering
8. Muffler Room
9. Aft Ballast Tank
10. Fwd Ballast Tank
11. Pump room void
12. Forepeak
13. Hull
14. Fire Fighting
15. Rust Remediation
16. Sewage Tank
17. Upper Deck
18. Miscellaneous
19. Lifesaving

*For a probable scope of work please refer to attachment titled DD SOW ICE. This scope of work will be updated during the course of the project.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

COST ESTIMATES: Estimates were provided by an assessment and ICE completed by a Subject Matter Expert (a retired US Cost Guard member) along with the Authority. These costs were reviewed and approved by PRITA and PRHTA. Please refer to attachment titled DD SOW ICE for cost estimates.

PRHTA and PRITA do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Attachments:

- *DD SOW ICE
- *ICE Rationale
- *Drydock Schedule

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,972,163
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$394,433
Adjustment		\$0
Total Eligible Cost		\$1,972,163

Milestone Name	Est. Completion Date	Description
Commencement of the procurement process	7/15/2023	Date for the commencement of the procurement process
Contract Execution	10/30/2023	Estimated date for the contract completion
Delivery of Vessel to PR	1/2/2025	Estimated Delivery of Vessel to PR
Contract Completion	1/30/2025	Estimated date for contract completion

Budget Activity Line Item: 11.71.11 - Drydock Activities - (June 1, 2023 - Sept. 30, 2023)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	0

Extended Budget Description

Section 5311 funds which includes lapsing funds from PR UZA 5307 are requested for the drydocking activities in the amount of \$3,103,077 for the maritime transportation vessels - Island Service. The period of performance for this activity is from 6/1/2023 to 9/30/2023.

This project will include the following categories in its Scope of Work:

1. Drydocking and Berthage
2. Engine Room
3. Propulsion
4. Cargo Deck
5. Passenger Area
6. Pilot House
7. Steering
8. Muffler Room
9. Aft Ballast Tank
10. Fwd Ballast Tank
11. Pump room void
12. Forepeak
13. Hull
14. Fire Fighting
15. Rust Remediation
16. Sewage Tank
17. Upper Deck
18. Miscellaneous
19. Lifesaving

*For a probable scope of work please refer to attachment titled DD SOW ICE. This scope of work will be

updated during the course of the project.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

COST ESTIMATES: Estimates were provided by an assessment and ICE completed by a Subject Matter Expert (a retired US Coast Guard member) along with the Authority. These costs were reviewed and approved by PRITA and PRHTA. Please refer to attachment titled DD SOW ICE for cost estimates.

PRHTA and PRITA do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

- Attachments:
 *DD SOW ICE
 *ICE Rationale
 *Drydock Schedule

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,103,077
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$620,615
Adjustment			\$0
Total Eligible Cost			\$3,103,077

Milestone Name	Est. Completion Date	Description
Commencement of Procurement Process	6/1/2023	Date for when the procurement process began
Contract Execution	7/17/2023	Date for contract execution
Delivery of vessel to PR	8/31/2023	Estimated date for the delivery of the vessel to PR
Contract Completion	9/30/2023	Estimated date of contract completion

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	Drydock Activities - (July 1, 2023 - January 30, 2025)	0	\$1,972,163.00	\$1,972,163.00
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	Drydock Activities - (June 1, 2023 - Sept. 30, 2023)	0	\$3,103,077.00	\$3,103,077.00

Project Title: Drydock activities (July 1, 2023 - January 30, 2025) - Section 5311 FY21 Lapsing Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PR-2023-053-02-00	5489-2023-23-P2	8/9/2023	7/15/2023	1/30/2025

Project Description

This is a section 5311 project which includes Section 5311 FY21 Lapsing Funds for the preventive maintenance (drydocking) activities in the amount of \$2,273,562 (\$2,273,562 Federal Share and \$454,712 Toll Development Credits - TDCs).

This project may consist of the following scope of work (this scope could be updated during the course of the project):

1. Includes all drydock activities as required by USCG (46CFR 176.600(c)(1)), supplies, material, labor, services, and associated costs required to preserve the functionality of the vessels. Detail of the scope of work is provided the Extended Budget Description.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

STIP/TIP INFORMATION: STIP Amendment #1 (FY 2023-2026), Section 5311 - Non-Urbanized Area Formula Program, Page FTA-97, Line Num.5

Project Benefits

This project funds are necessary to continue the operation of the Island services (Ceiba, Vieques, Culebra) and to keep assets in a state-of-good-repair. Also, this project has a positive impact on the community and will allow the users of Vieques and Culebra to have reliable transportation.

Additional Information

None provided.

Location Description

Ceiba Ferry Terminal Roosevelt Roads, Ceiba, PR 00735

Project Location (Urbanized Areas)

UZA Code	Area Name
720000	Puerto Rico
720290	San Juan, PR

Congressional District Information

State	District	Representative
Puerto Rico	1	Jennifer González

Program Plan Information**STIP/TIP**

Date: 5/12/2023

Description: STIP 2023-2026 Amendment #1 - May 12, 2023 was approved by the FTA on May 12, 2023. Email confirmation was sent by FTA on June 9, 2023. For this project, please refer to STIP 2023-2026 Section 5311 funds, FTA page 97, line #5.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,273,562
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$454,712
Adjustment			\$0
Total Eligible Cost			\$2,273,562

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2023-053-02-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$2,273,562.00	\$0.00	\$2,273,562.00	0
PR-2023-053-02-00	11.71.11 Drydock activities (July 1, 2023 - January 30, 2025) - Section 5311 FY21 Lapsing Funds	\$2,273,562.00	\$0.00	\$2,273,562.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.71.11 - Drydock activities (July 1, 2023 - January 30, 2025) - Section 5311 FY21 Lapsing Funds

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	0

Extended Budget Description

Section 5311 FY21 Lapsing Funds are requested for the drydocking activities in the amount of \$2,273,562 for the maritime transportation vessels - Island Service. The period of performance for this activity is from 7/15/2023 to 1/30/2025.

This project will include the following categories in its Scope of Work:

1. Drydocking and Berthage
2. Engine Room
3. Propulsion
4. Cargo Deck
5. Passenger Area
6. Pilot House
7. Steering
8. Muffler Room
9. Aft Ballast Tank
10. Fwd Ballast Tank
11. Pump room void
12. Forepeak
13. Hull
14. Fire Fighting

- 15. Rust Remediation
- 16. Sewage Tank
- 17. Upper Deck
- 18. Miscellaneous
- 19. Lifesaving

*For a probable scope of work please refer to attachment titled DD SOW ICE. This scope of work will be updated during the course of the project.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

COST ESTIMATES: Estimates were provided by an assessment and ICE completed by a Subject Matter Expert (a retired US Coast Guard member) along with the Authority. These costs were reviewed and approved by PRITA and PRHTA. Please refer to attachment titled DD SOW ICE for cost estimates.

PRHTA and PRITA do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

Attachments:

- *DD SOW ICE
- *ICE Rationale
- *Drydock Schedule

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,273,562
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$454,712
Adjustment			\$0
Total Eligible Cost			\$2,273,562

Milestone Name	Est. Completion Date	Description
Commencement of Procurement Process	7/15/2023	Date for the commencement of the procurement process
Contract Execution	10/30/2023	Estimated date for contract execution
Delivery of vessel to PR	1/2/2025	Estimated date for the delivery of the vessel to PR
Contract Completion	1/30/2025	Estimated date for contract completion

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	Drydock activities (July 1, 2023 - January 30, 2025) - Section 5311 FY21 Lapsing Funds	0	\$2,273,562.00	\$2,273,562.00

Project Title: Drydock Activities (July 1, 2023 - January 30, 2025) - BIL Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
PR-2023-053-03-00	5489-2023-23-P3	9/7/2023	7/15/2023	1/30/2025

Project Description

This is a section 5311 project (BIL Funds) for the preventive maintenance (drydocking) activities in the amount of \$251,198 (\$251,198 Federal Share and \$50,240 Toll Development Credits - TDCs).

This project may consist of the following scope of work (this scope could be updated during the course of the project):

1. Includes all drydock activities as required by USCG (46CFR 176.600(c)(1)), supplies, material, labor, services, and associated costs required to preserve the functionality of the vessels. Detail of the scope of work is provided the Extended Budget Description.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

STIP/TIP INFORMATION: STIP Amendment #1 (FY 2023-2026), Section 5311 - Non-Urbanized Area Formula Program, Page FTA-97, Line Num.5

Project Benefits

This project funds are necessary to continue the operation of the Island services (Ceiba, Vieques, Culebra) and to keep assets in a state-of-good-repair. Also, this project has a positive impact on the community and will allow the users of Vieques and Culebra to have reliable transportation.

Additional Information

None provided.

Location Description

Municipalities of Ceiba, Culebra and Vieques (Ferry Terminals)

Project Location (Urbanized Areas)

UZA Code	Area Name
720000	Puerto Rico

Congressional District Information

State	District	Representative
Puerto Rico	1	Jennifer González

Program Plan Information

STIP/TIP

Date: 5/12/2023

Description: STIP 2023-2026 Amendment #1 - May 12, 2023 was approved by the FTA on May 12, 2023. Email confirmation was sent by FTA on June 9, 2023. For this project, please refer to STIP 2023-2026 Section 5311 funds, FTA page 97, line #5.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$251,198
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$50,240
Adjustment			\$0

Total Eligible Cost	\$251,198
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
PR-2023-053-03-00	117-00 (117-A3) OTHER CAPITAL ITEMS (BUS)	\$251,198.00	\$0.00	\$251,198.00	0
PR-2023-053-03-00	11.71.11 OTHER 3RD PARTY CONTRACTUAL SERVICES - Drydock Activities (July 1, 2023 - January 30, 2025)	\$251,198.00	\$0.00	\$251,198.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.71.11 - OTHER 3RD PARTY CONTRACTUAL SERVICES - Drydock Activities (July 1, 2023 - January 30, 2025)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES	3RD PARTY CONTRACTS	0

Extended Budget Description

Section 5311 BIL Funds are requested for the drydocking activities in the amount of \$251,198 for the maritime transportation vessels - Island Service. The period of performance for this activity is from 7/15/2023 to 1/30/2025.

This project will include the following categories in its Scope of Work:

1. Drydocking and Berthage
2. Engine Room
3. Propulsion
4. Cargo Deck
5. Passenger Area
6. Pilot House
7. Steering
8. Muffler Room
9. Aft Ballast Tank
10. Fwd Ballast Tank
11. Pump room void
12. Forepeak
13. Hull
14. Fire Fighting

- 15. Rust Remediation
- 16. Sewage Tank
- 17. Upper Deck
- 18. Miscellaneous
- 19. Lifesaving

*For a probable scope of work please refer to attachment titled DD SOW ICE. This scope of work will be updated during the course of the project.

*The Authority will follow all Third-Party Procurement policies as defined in FTA C.4220. PRITA/PRHTA will ensure contractors procured will not be on the FTA Suspension and Debarment List.

COST ESTIMATES: Estimates were provided by an assessment and ICE completed by a Subject Matter Expert (a retired US Coast Guard member) along with the Authority. These costs were reviewed and approved by PRITA and PRHTA. Please refer to attachment titled DD SOW ICE for cost estimates.

PRHTA and PRITA do not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included via budget revision (actual value and useful life).

- Attachments:
- *DD SOW ICE
 - *ICE Rationale
 - *Drydock Schedule

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$251,198
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$50,240
Adjustment			\$0
Total Eligible Cost			\$251,198

Milestone Name	Est. Completion Date	Description
Commencement of Procurement Process	7/15/2023	Date for the commencement of Procurement Process
Contract Execution	10/30/2023	Estimated date for contract execution
Delivery of Vessel to PR	1/2/2025	Estimated date for delivery of vessel to PR
Contract completion	1/30/2025	Estimated date for contract completion

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.71.11	OTHER 3RD PARTY CONTRACTUAL SERVICES - Drydock Activities (July 1, 2023 - January 30, 2025)	0	\$251,198.00	\$251,198.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Maribell Perez

Comment Type DOL Review for Information

Date 9/20/2023

Comment Sent to DOL for information on 9-20-2023

Application Review Comments

Comment By Maribell Perez

Comment Type Pre-Award Manager Returns Application

Date 9/6/2023

Dear Recipient:

Comment

We completed the review of your application. There are some minor comments that need to be resolved before we can move it forward in the approval process. The comments were included in the view/print of the application that was uploaded to the application document section.