

Application

Federal Award Identification Number (FAIN)	ND-2023-016-00
Temporary Application Number	5842-2023-1
Award Name	FFY21 5311 Tribal Formula Funds - Operating Assistance and Preventive Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	3/31/2024

Part 1: Recipient Information

Name: SPIRIT LAKE TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
5842	Indian Tribe	SPIRIT LAKE TRIBE OF FORT TOTTEN	SZYAEFV7UKK9	060487915

Location Type	Address	City	State	Zip
Headquarters	816 3RD AVE N	FORT TOTTEN	ND	58335
Physical Address	816 3RD AVE N	FORT TOTTEN	ND	58335
Mailing Address	PO BOX 359	FORT TOTTEN	ND	58335

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY21 5311 Tribal Formula Funds - Operating Assistance and Preventive Maintenance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
ND-2023-016-00	Final Concurrence / Reservation	Grant	Region 8	7/12/2023	7/12/2023	No

Application Executive Summary

Spirit Lake Tribe is requesting Section 5311 FFY 2021 (Lapsing) TTP Funds in the amount of \$184,813 (full apportionment) (North Dakota, UZA 380000). The federal share is 100% of the total eligible amount.

Pre-Award has been selected and is for the period beginning July 1, 2023, through the execution of this award, for an approximate total of \$61,604.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award includes research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Indirect Cost Approval letter is attached to Recipient Documents. Indirect costs may only be applied to the approved base, which is total direct salaries and wages, including fringe benefits. All other program costs should be eliminated from the calculation.

Current letter covers 10/01/2022 through 09/30/2024 with a Fixed Carryover Rate Type.

10/1/22 - 9/30/23 IDC Rate is 22.05% and 10/1/23 - 9/30/24 IDC Rate is 20.20%.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The FFY 2021 (Lapsing) 5311 TTP Formula Funds are to provide transit services on the Spirit Lake Reservation and surrounding areas. This funds will be used for extended evening and weekend transit services, operating expenses and preventive maintenance of vehicles and equipment in support of the Spirit Lake Tribal Transit Program.

Activities to be performed:

Funding will be used for operating and preventive maintenance expenses commencing July 1, 2023 for the Spirit Lake Public Transit Program.

Expected outcomes:

Funding will assist Spirit Lake to continue operating their Tribal Transit program and provide necessary transportation to the reservation communities.

Intended beneficiaries:

The tribal transit program provides needed transportation services for public, elderly and individuals with disabilities for shopping, employment, medical, education, and social appointments on and near the Spirit Lake Reservation.

Subrecipient Activities:

NA

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Terry	Gonzales	Program Management Specialist	terry.gonzales@dot.gov	(303) 362-2401
Jolene	Crosswhite	Transit Director	jolene1@gondtc.com	(701) 351-7766

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$184,813
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$184,813

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$184,813.00	\$0.00	\$184,813.00	2
ND-2023-016-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$7,500.00	\$0.00	\$7,500.00	1
ND-2023-016-01-00	30.09.01 Operating - 100% FEDERAL SHARE	\$177,313.00	\$0.00	\$177,313.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance and Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-016-01-00	5842-2023-1-P1	7/12/2023	7/1/2023	12/31/2023

Project Description

FFY 2021 5311 Tribal Formula Funds will be used for operating assistance and preventive maintenance for the Spirit Lake Public Transit Program. Federal Share 100%.

The Spirit Lake Public Transportation currently operates a Demand Response and a Dial a Ride service seven (7) days a week 6:00 am to 12:30 am., Sunday through Saturday. Transportation is provided by the means of 5 buses at the fare rate of \$1.00 per ride. The Spirit Lake Reservation encompasses all of Benson County and the communities of Fort Totten, Crow Hill, St. Michaels and Tokio. Transportation services are provided to these communities and the off-reservation community of Devils Lake.

Project Benefits

The funds are essential for the Spirit Lake Public Transportation Program to continue to operate transit services. The tribal transit program provides needed transportation services for the general public, elderly, and individuals with disabilities for shopping, employment, medical and social appointments on and near the Spirit Lake Reservation.

Additional Information

None provided.

Location Description

The Spirit Lake Reservation is located in North Dakota and encompasses all of Benson County and the communities of Fort Totten, St. Michaels, Tokio and Crow Hill.

Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$184,813
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$184,813

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
----------------	-------------	------------	----------------	-----------------------	----------

ND-2023-016-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$184,813.00	\$0.00	\$184,813.00	2
ND-2023-016-01-00		11.7A.00 PREVENTIVE MAINTENANCE	\$7,500.00	\$0.00	\$7,500.00	1
ND-2023-016-01-00		30.09.01 Operating - 100% FEDERAL SHARE	\$177,313.00	\$0.00	\$177,313.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FFY2021 5311 TTP Lapsing Formula Funds will be used for preventive maintenance and repairs of vehicles and equipment for the Spirit Lake Public Transportation Program. Federal Share is 100%. The timeframe for preventive maintenance expenses will begin July 1, 2023, through December 31, 2023. Pre-Award has been selected to cover the period beginning July 1, 2023, through the execution of this award.

Spirit Lake Public Transit does not anticipate the purchase of items over \$5,000 in value at this time. Any items with value over \$5,000 identified during the execution of the activities under this ALI will be included after award (actual value and useful life).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$7,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$7,500

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Start of Preventive Maintenance Expenses

End Date	12/31/2023	End of Preventive Maintenance Expenses
----------	------------	--

Budget Activity Line Item: 30.09.01 - Operating - 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

FFY 2021 Lapsing 5311 Tribal Formula Funds will be used to provide operating assistance for the continued operations of the Tribal Transit Program. Federal Share is 100%. This will cover operating expenses starting July 1, 2023, through approximately December 31, 2023.

Operating funds include drivers' salaries and fringe benefits fuel, oil, dispatcher salaries and fringe benefits and licenses. Operating expenses are based on an approved fiscal year operating budget and monthly expenditure reports are provided from the tribal finance office showing actual expenditures that were incurred to operate the tribal transit program.

Indirect cost is allowable based on salaries and wages, including fringe benefits, in this activity.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$177,313
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$177,313

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Start of Operating Expenses.
End Date	12/31/2023	End of Operating Expenses.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/30/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating - 100% FEDERAL SHARE	1	\$177,313.00	\$177,313.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/30/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$7,500.00	\$7,500.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Terry Gonzales

Comment Type DOL Review for Information

Date 9/1/2023

Comment