

## Application

<b>Federal Award Identification Number (FAIN)</b>	ME-2023-045-00
<b>Temporary Application Number</b>	6722-2023-4
<b>Award Name</b>	HBMI Vehicle Procurement and Preventative Maintenance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	7/7/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	7/7/2025

### Part 1: Recipient Information

**Name: HOULTON BAND OF MALISEET INDIANS**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6722	Indian Tribe	HOULTON BAND OF MALISEET INDIANS	MEE7DX1FGAM5	118090216

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	88 BELL RD	LITTLETON	ME	04730
Mailing Address	88 BELL ROAD	LITTLETON	ME	04730

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: HBMI Vehicle Procurement and Preventative Maintenance</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
ME-2023-045-00	Final Concurrence / Reservation	Grant	Region 1	7/20/2023	7/20/2023	No

### Application Executive Summary

The Houlton Band of Maliseet Indians (HBMI) are requesting Section 5311(c) Public Transportation on Indian Reservations Apportionment to the Maine Statewide UZA for FY21 funds in the amount of \$46,497.

Activities to be performed include:

- Preventive maintenance for HBMI's FY24 and FY25.
- Assist with the procurement of service vehicle(s) previously funded in FTA award ME-XO55-00.

FTA planning requirements tribes are not subject to federal planning requirements, and the FTA will not require tribes to attach tribal transportation plans to a STIP (Statewide Transportation Improvement Program).

Local share requirement the FTA does not require a non-federal matching share for Tribal Transit Program grants.

Federal labor protections because direct grants from the FTA to tribes do not involve a state-recipient relationship, the labor protective provisions of 49 U.S.C. 5333 (b) do not apply to this program.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* 38.61%

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The Maliseet Tribe will utilize this award to maintain existing transit services on the reservation.

**Activities to be performed:**

Funds will be used to cover eligible preventive maintenance activities and assist with the procurement of service vehicle(s).

**Expected outcomes:**

Funding will permit the Maliseet Tribe to continue to provide service to tribal area.

**Intended beneficiaries:**

HBMI Tribe transit riders.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	202-366-6319
Brian	Stewart	Tribal Administrative Planner	bstewart@maliseets.com	(207) 532-4273

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$46,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$46,497</b>
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## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2023-045-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$5,378.00	\$0.00	\$5,378.00	0
ME-2023-045-01-00	11.13.15 BUY VAN FOR SVC EXPANSION	\$5,378.00	\$0.00	\$5,378.00	0
ME-2023-045-01-00	117-00 (117-A2) OTHER CAPITAL ITEMS (BUS)	\$41,119.00	\$0.00	\$41,119.00	0
ME-2023-045-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$41,119.00	\$0.00	\$41,119.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: HBMI Vehicle Procurement and Preventative Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2023-045-01-00	6722-2023-4-P1	7/20/2023	1/30/2023	9/30/2025

#### Project Description

This project funds the Houlton Band of Maliseet Indians procurement of transit vehicles and preventive maintenance expenses incurred for fiscal years FY24 and FY25.

#### Project Benefits

This funding will assist the Houlton Band of Maliseet Indian tribe in continuing to provide transit services in Aroostook county, ME and maintain HBMI's fleet in a state of good repair.

#### Additional Information

*None provided.*

#### Location Description

Project will be located on HBMI Reservation in the Town of Houlton, Maine and through Aroostook County.

## Project Location (Urbanized Areas)

UZA Code	Area Name
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230000	Maine
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## Congressional District Information

State	District	Representative
Maine	2	Bruce Poliquin

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: N/A

### UPWP

Date: Not Provided

Description: N/A

### Long Range Plan

Date: Not Provided

Description: n/a

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$46,497
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$46,497</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2023-045-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$5,378.00	\$0.00	\$5,378.00	0
ME-2023-045-01-	11.13.15 BUY VAN FOR	\$5,378.00	\$0.00	\$5,378.00	0

00			SVC EXPANSION				
ME-2023-045-01-00	117-00 (117-A2)	OTHER CAPITAL ITEMS (BUS)		\$41,119.00	\$0.00	\$41,119.00	0
ME-2023-045-01-00		11.7A.00 PREVENTIVE MAINTENANCE		\$41,119.00	\$0.00	\$41,119.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

#### Extended Budget Description

HBMI will use these funds for preventive maintenance of its vehicle fleet, equipment and facilities as listed in Appendix E in Circular 9030.1E. Preventative maintenance will be performed by HBMI mechanics and contracted to 3rd party as needed for FY24 and FY25.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$41,119
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$41,119</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Preventive maintenance start date.
End Date	9/30/2025	Preventive maintenance end date.

### Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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BUS - ROLLING STOCK (111-00) 11.13.15 BUY VAN FOR SVC EXPANSION BUY EXPANSION - CAPITAL BUS 0

**Extended Budget Description**

The HBMI is utilizing federal financial assistance for the procurement of an expansion 2023 Chevy Traverse. HBMI solicited for the vehicle(s) through an RFP and had two responses. The vehicle(s) comply with all ADA and Buy America requirements. HBMI paid \$135,307 for three vehicles that will have a useful life of 4 years and/or 100,000 miles. HBMI will be using the \$5,378 from this award to assist with previous funding from active award ME-XO55-00.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	SUV

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,378
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,378</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	1/30/2023	RFP release date.
Contract Award Date	3/20/2023	Contract award date.
Initial Delivery Date	4/27/2023	Vehicle delivery date.
Contract Completion Date	12/31/2023	Contract completion date.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or

equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC EXPANSION	0	\$5,378.00	\$5,378.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$41,119.00	\$41,119.00

## Part 4: Fleet Details

**Fleet Type:** Fixed Route

**Fleet Comments**

Existing rolling stock is beyond it's useful life expectancy 4 years and or 100,000 miles. New inventory will replace old rolling stock as well as provide support vehicles for para-transit maintenance and support.

		Current Value
I.	Active Fleet	



	A. Peak Requirement	10
	B. Spares	1
	C. Total (A+B)	11
	D. Spare Ratio (B/A)	10%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	2
	B. Pending Disposal	2
	C. Total (A+B)	4
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>15</b>

**Fleet Type:** Paratransit

**Fleet Comments**

Existing Fleet is beyond it's life expectancy of 4 years and or 100,000 miles. New replacement vehicles will replace existing inventory to provide para-transit vehicles to provide transports as well as needed support vehicles to provide maintenance and route improvements.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	10
	B. Spares	1
	C. Total (A+B)	11
	D. Spare Ratio (B/A)	10%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	2
	B. Pending Disposal	2
	C. Total (A+B)	4
<b>III.</b>	<b>Total (I.C and II.C)</b>	<b>15</b>

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Burns

**Comment Type** DOL Review for Information

**Date** 9/18/2023

**Comment** The Houlton Band of Maliseet Indians (HBMI) are requesting Section 5311(c) Public Transportation on Indian Reservations Apportionment to the Maine Statewide UZA for FY21 funds in the amount of \$46,497.

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