

## Application

<b>Federal Award Identification Number (FAIN)</b>	HI-2023-015-00
<b>Temporary Application Number</b>	1623-2023-10
<b>Award Name</b>	FFY 2021 Sec 5311-Rural Formula Operating (Kauai), State Admin, and Section 5311(b)(3) RTAP
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	6/30/2025

### Part 1: Recipient Information

**Name: TRANSPORTATION, HAWAII DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1623	State Agency	HDOT Statewide Transportation Planning Office	LVAZQ1G1U391	824671465

Location Type	Address	City	State	Zip
Headquarters	415 S Beretania St FL 5	Honolulu	HI	968132407
Physical Address	869 PUNCHBOWL ST RM 509	HONOLULU	HI	96813
Mailing Address	869 PUNCHBOWLSTREET	HONOLULU	HI	96813

## Union Information

<b>Union Name</b>	<b>AMERICAN FEDERATION OF STATE, COUNTY &amp; MUNICIPAL EMPLOYEES</b>
Address 1	c/o William Wilkinson
Address 2	1625 L. Street, NW
City	Washington
State	District of Columbia
Zipcode	20036
Contact Name	Lee Saunders
Telephone	2024291000
Fax	2024291293
E-mail	wwilkinson@afscme.org
Website	webmaster@afscme.org
<b>Union Name</b>	<b>HAWAII GOVERNMENT EMPLOYEES ASSOCIATION</b>
Address 1	888 Mililani Street, Suite 601
Address 2	
City	Honolulu
State	Hawaii
Zipcode	96813
Contact Name	Randy Perreira
Telephone	8085430011
Fax	8085284059
E-mail	rperreir@hgea.org
Website	www.hgea.org
<b>Union Name</b>	<b>UPW LOCAL 646</b>
Address 1	1426 North School Street
Address 2	
City	Honolulu
State	HI
Zipcode	96817
Contact Name	Kalani Werner
Telephone	8088472631
Fax	8088481987
E-mail	statedirector@upwhawaii.org
Website	www.upwhawaii.org

## Part 2: Application Information

**Title: FFY 2021 Sec 5311-Rural Formula Operating (Kauai), State Admin, and Section 5311(b)(3) RTAP**

<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
HI-2023-015-00	Final Concurrence / Reservation	Grant	Region 9	6/20/2023	6/20/2023	No

**Application Executive Summary**

This application is to request FY 2021 Section 5311 Rural Formula and Section 5311 (b)(3) RTAP funds apportioned to the State of Hawaii (UZA 150000) in the amount totaling \$1,411,850. These funds will be used for Kauai operating expenses, State Admin and training. A breakdown is provided below.

Overall grant funding

Total Federal Funds: \$1,308,620 (5311 formula) and \$103,230 (5311(b)(3) RTAP)

Total Local Match: \$1,088,066 (county of Kauai Highway and GET Fund)

Total Eligible Project Cost: \$2,499,916

Additional Notes:

1) Intercity bus service needs are adequately met (refer to paragraph regarding the archipelagic make-up of Hawaii on the letter signed by Gov. Josh Green, attached in TrAMS).

2) The period of performance for operating assistance funding for kauai overlaps with the grant HI-2022-009 (FFY 2020) Federal Share \$1,071,873/Local Match (CKTA) \$1,071,873/Eligible Total Cost \$2,143,746. Expenses incurred after award will be tracked to ensure funding on this application will not overlap with other active FTA grants that include operating assistance (HI-2019-012, HI-2021-006, HI-2022-003, HI-2022-009).

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is not using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

Purpose: This application utilizes FY 2021 Section 5311 Rural formula and Section 5311(b)(3) RTAP to support the County of Kauai transit agency by funding operations, State administrative costs, and the rural areas of Kauai, Hawaii, and Maui counties through the Rural Transit Assistance Program (RTAP).

**Activities to be performed:**

Activities to be Performed:

-Operating assistance will be provided to the County of Kauai Transportation Agency (CKTA) to continue providing service to rural areas of Hawaii on the island of Kauai.

-Hawaii DOT will administer the grant, provide oversight and technical assistance, and manage funding for RTAP activities to the counties.

**Expected outcomes:**

Expected Outcomes:

\*Federal assistance will enable the County of Kauai to sustain the services they provide to the local communities

\*Provide training opportunities for HDOT and the rural transit agencies of Kauai, Hawaii, and Maui to better equip employees,

\*Enable the HDOT to perform oversight responsibilities of the grant.

**Intended beneficiaries:**

Intended Beneficiaries: CKTA serves residents on the island of Kauai. Riders on Kauai Island relying on the bus to travel for medical, employment, education, and other needs, will benefit from the continued service the transit agency provides. RTAP funds will benefit the County transit agencies that serve rural areas and HDOT.

**Subrecipient Activities:**

Subrecipient Activities: CKTA will receive operating assistance to continue providing service to rural areas of Hawaii on the island of Kauai through the period of performance.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	(202) 748-0677
Stefanie	Weaver	Engineer	stefanie.r.weaver@hawaii.gov	(808) 831-7987

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,411,850
Local			\$1,088,066
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,499,916</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2023-015-01-00 600-00 (600-A3)	OTHER PROGRAM COSTS	\$1,088,066.00	\$1,088,066.00	\$2,176,132.00	1
HI-2023-015-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,088,066.00	\$1,088,066.00	\$2,176,132.00	1
HI-2023-015-02-00 635-00 (635-A2)	RURAL TRANSIT ASSISTANCE PROGRAM	\$103,230.00	\$0.00	\$103,230.00	1
HI-2023-015-02-00	43.50.01 TRAINING	\$103,230.00	\$0.00	\$103,230.00	1
HI-2023-015-03-00 610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$220,554.00	\$0.00	\$220,554.00	1
HI-2023-015-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$220,554.00	\$0.00	\$220,554.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FFY 2021 Section 5311 Operating Assistance (Kauai)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2023-015-01-00	1623-2023-10-P1	6/29/2023	9/25/2023	6/30/2025

#### Project Description

This project involves funding for the County of Kauai

NOTE: FUNDS ARE LAPSING  
FFY 2021 Section 5311 Rural Formula (UZA 150000)

Federal share (50%): \$1,088,066  
 Local share (50%): \$1,088,066 (county of Kauai Highway and GET Fund)  
 Total Eligible Amount: \$ 2,176,132

The project includes operating expenses for the County of Kauai Transit Agency (CKTA) that includes transit related payroll and operating expenses for the County Fiscal year 2024-2026 (July 1 to June 30), to include collective bargaining agreements, fuel, vehicle repair and maintenance, etc. The estimated number of trips is 530,000.

**Project Benefits**

The kauai Bus supports community transportation needs that do not have other transportation options ( youth, elderly, low socioeconomic range, etc.) It alleviates traffic and reduces the carbon footprint. All routes enhance the community access to healthcare, employment, education, shopping, recreation, etc. this project will provide assistance for CKTA to continue providing its services.

**Additional Information**

Using LAPSING funds (FFY2021)

**Location Description**

County of Kauai

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Jill Tokuda

**Program Plan Information**

**STIP/TIP**

Date: 5/2/2023

Description: Project KC18 Rural Transportation Program Page 35

Approved (Effective 5/2/2023)

Total Project Cost - \$28,626,000 -- Planning, capital, operating, job access and reverse commute projects, and the acquisition of public transportation services. FTA SECTION 5311/FHWA CMAQ

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,088,066
Local			\$1,088,066
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,176,132</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2023-015-01-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$1,088,066.00	\$1,088,066.00	\$2,176,132.00	1
HI-2023-015-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$1,088,066.00	\$1,088,066.00	\$2,176,132.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

NOTE: FUNDS ARE LAPSING

FFY 2021 Section 5311 Rural Formula (UZA 150000)

Federal share (50%): \$1,088,066

Local share (50%): \$1,088,066 (county of Kauai Highway and GET Fund)

Total Eligible Amount: \$ 2,176,132

The project includes operating expenses for the County of Kauai Transit Agency (CKTA) that includes transit related payroll and operating expenses for the County Fiscal year 2024-2026 (July 1 to June 30), to include collective bargaining agreements, fuel, vehicle repair and maintenance, etc. The estimated number of trips is 530,000.

**Will 3rd Party contractors be used to fulfill this activity line item?**  
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,088,066
Local			\$1,088,066
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,176,132</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/25/2023	Start Date
End Date	6/30/2025	End Date

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/2/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$1,088,066.00	\$2,176,132.00



<b>Project Title: FFY 2021 Section 5311 Rural Transit Assistance Program (RTAP)</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2023-015-02-00	1623-2023-10-P2	6/29/2023	9/25/2023	6/30/2025

**Project Description**

This project will use FFY 2021 Section 5311 RTAP funding to cover training expenses.

FFY2021 RTAP expenses  
43.50.01 Rural Transit Assistance Program  
Federal share: \$103,230  
No Local Match Required  
Eligible Cost \$103,230

**Project Benefits**

Training and technical assistance. RTAP funding provides for continual training for subrecipients and State program managers to effectively perform their duties.

**Additional Information**

LAPSING funds (FFY 2021)

**Location Description**

County of Hawaii, County of Kauai, County of Maui

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Jill Tokuda

**Program Plan Information****STIP/TIP**

Date: 5/2/2023

Description: RTAP page 4, Project S 25. Estimated Total Project Cost - \$833,000 over 6 years -- FTA Section 5311(b)(2) Rural Transportation Assistance Program (RTAP). Funds from the RTAP program will be utilized to provide technical assistance to the Rural Transportation providers. FTA SECTION 5311 (b)(3). Approved (Effective date 5/2/2023)

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$103,230
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$103,230</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2023-015-02-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$103,230.00	\$0.00	\$103,230.00	1
HI-2023-015-02-00	43.50.01 TRAINING	\$103,230.00	\$0.00	\$103,230.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

### Extended Budget Description

This activity line item will fund training for the State and its Subrecipients. Anticipated activities include transit-related training, seminars, and workshops, as well as travel to events courses, conferences, conventions, seminars and workshops) related to the transportation industry. Funds will be dispersed as reimbursement for approved training.

FFY2021 RTAP expenses  
 43.50.01 Rural Transit Assistance Program  
 Federal share: \$103,230 (100%)

No Local Match Required  
 Eligible Cost \$103,230

STIP : page 4, Project S 25 RTAP. Approved (Effective date 5/2/2023)

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$103,230
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$103,230</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/25/2023	Start Date
End Date	6/30/2025	End Date

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/2/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT	43.50.01	TRAINING	1	\$103,230.00	\$103,230.00

ASSISTANCE PROGRAM  
(635-00)

<b>Project Title: FFY 2021 Section 5311-Rural Formula State Admin</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
HI-2023-015-03-00	1623-2023-10-P3	6/30/2023	9/1/2023	6/30/2025

**Project Description**

This activity will cover State Administration expenses, which are capped at 10% and do not require a local match. Federal share is 100%.

HDOT Administrative  
Federal Share: \$220,554  
No Local Match Required  
Total Eligible Administration Costs: \$220,554

**Project Benefits**

Funding will allow the State of Hawaii to perform the grant management and program oversight duties as required by FTA.

**Additional Information**

Using LAPSING FUNDS FFY 2021

**Location Description**

Hawaii

**Project Location (Urbanized Areas)**

UZA Code	Area Name
150000	Hawaii

**Congressional District Information**

State	District	Representative
Hawaii	2	Jill Tokuda

**Program Plan Information**

**STIP/TIP**

Date: 5/2/2023

Description: Statewide Transportation Improvement Plan (STIP) State Administration page 4, Project S 26. Approved (Effective date 5/2/2023)

**UPWP**

Date: N/A

Description: N/A

## Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$220,554
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$220,554</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
HI-2023-015-03-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A1)	\$220,554.00	\$0.00	\$220,554.00	1
HI-2023-015-03-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$220,554.00	\$0.00	\$220,554.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

### Extended Budget Description

This project includes \$220,554 in FFY 2021 Section 5311 Rural Formula funds for HDOT (UZA 150000, Hawaii). The funds will compensate the State of Hawaii for performing grant management and program oversight duties required by FTA. Program Administration expenses are capped at 10% of the annual allocation amount. This amount does not require a match.

Federal Share: \$220,554  
 No Local Match Required  
 Total Eligible Administration Costs: \$220,554

(STIP) State Administration page 4, Project S 26. Approved (Effective date 5/2/2023)

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$220,554
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$220,554</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2023	Start Date
End Date	6/30/2025	End Date

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/2/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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STATE / PROGRAMS ADMINISTRATION (610- 00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$220,554.00	\$220,554.00
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## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Ryan Fujii

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Comment Type DOL Review for Information

Date 9/19/2023

Comment This application is for operating, state admin and RTAP

### Application Review Comments

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 8/7/2023

Comment Please se email sent 8/7/23 for comments

**Comment By** Ryan Fujii

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Comment Type Pre-Award Manager Returns Application

Date 9/9/2023

Comment RTAP funding can not use the 5311 formula funding. Please look through the full listing of selections