

## Application

<b>Federal Award Identification Number (FAIN)</b>	CT-2023-027-00
<b>Temporary Application Number</b>	7191-2023-3
<b>Award Name</b>	MPTN FY23 Capital Award for Facility Maintenance, Operating Expenses
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	10/1/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	10/1/2026

### Part 1: Recipient Information

**Name: MASHANTUCKET PEQUOT TRIBAL NATION**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7191	Indian Tribe	MASHANTUCKET PEQUOT TRIBAL NATION DBA FOXWOODS RESORT & CASINO	VKELG69WZM38	791793318

Location Type	Address	City	State	Zip
Headquarters	2 MATTS PATH	MASHANTUCKET	CT	06338
Physical Address	2 MATTS PATH	MASHANTUCKET	CT	06338
Mailing Address	2 MATT'S PATH	MASHANTUCKET	CT	06338

## Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: MPTN FY23 Capital Award for Facility Maintenance, Operating Expenses</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
CT-2023-027-00	Final Concurrence / Reservation	Grant	Region 1	7/28/2023	7/28/2023	No

### Application Executive Summary

This application is to request Section 5311 Tribal Transit formula funds apportioned to Connecticut Statewide UZA in the amount of \$128,705.

Activities to be performed in this award include:

1. Repairs to the Mashantucket Pequot Maintenance Facility to replace garage doors - \$112,077
  - a. There are continued repairs that are required to maintain the Tribe's primary maintenance facility in a state of good repair. The funding will be used to purchase and install 5 garage doors and operators at MPTN's fleet maintenance facility located at 103 Pequot Trail Mashantucket, CT 06338.
2. Acquisition of shop equipment including lubricating oil tanks for the fleet maintenance facility - \$11,858
  - a. The activity includes the acquisition and installation of new tanks and removal of existing tanks.
3. Operating Service - \$4,770
  - a. These funds will allow the MPTN to continue providing vital transit service throughout the reservation. This service provides transportation for the elderly Tribal members who need to reach medical appointments at the Tribal Health Services clinic or need to reach their jobs at the Grand Pequot Tower, this is especially important for residents without privately owned vehicles. The federal funding goes towards salaries for a Mobility Manager, Driver Salaries, fringe benefits and fuel. This funding will cover FY23 operating activities.

In addition to FTAs Buy America requirements under 49 U.S.C. 5323(j) and 49 CFR 661, which require that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States, the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirements for construction materials applies to this grant, in addition to the Buy America requirements, except to the extent a waiver of either requirements may apply.

Indirect Costs will be applied at approved rate of 40.73% per the attached documentation.

FTA planning requirements tribes are not subject to federal planning requirements, and the FTA will not require tribes to attach tribal transportation plans to a STIP (Statewide Transportation Improvement Program).

Local share requirement the FTA does not require a non-federal matching share for Tribal Transit Program grants.

Federal labor protections because direct grants from the FTA to tribes do not involve a state-recipient relationship, the labor protective provisions of 49 U.S.C. 5333 (b) do not apply to this program.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details: 40.73%*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The MPTN will utilize section 5311 Tribal Transit Formula Funding to maintain its maintenance facility in state of good repair and operating assistance.

**Activities to be performed:**

Funds will be used to cover the following activities:

- Replacement of garage doors and motors.
- Replacement of lubricant tanks.
- Operating Assistance.

**Expected outcomes:**

Funding will permit MPTN to keep its facilities in a state of good repair and continue service in the tribal area.

**Intended beneficiaries:**

MPTN tribal transit riders.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Christopher	Gardner	Transportation Mobility Manager	cagardner@mptn-nsn.gov	860-396-7541
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	202-366-6319

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$128,705
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$128,705</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2023-027-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$4,770.00	\$0.00	\$4,770.00	0
CT-2023-027-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$4,770.00	\$0.00	\$4,770.00	0
CT-2023-027-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$123,935.00	\$0.00	\$123,935.00	10
CT-2023-027-01-00	11.42.02 ACQUIRE - MAINTENANCE FACILITY	\$112,077.00	\$0.00	\$112,077.00	5
CT-2023-027-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$11,858.00	\$0.00	\$11,858.00	5

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: MPTN Capital and Operating Project</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
CT-2023-027-01-00	7191-2023-3-P1	7/28/2023	5/22/2023	7/31/2025

### Project Description

This project includes funds to replace MPTN's maintenance facility garage doors and lubricant tanks. The award will also support MPTN operating expenses.

### Project Benefits

This will keep MPTN maintenance facility in a state of good repair, and allow the Tribe to continue providing service to the local community.

### Additional Information

*None provided.*

### Location Description

Mashantucket, CT.

## Project Location (Urbanized Areas)

UZA Code	Area Name
090000	Connecticut
091450	Norwich--New London, CT--RI

## Congressional District Information

State	District	Representative
Connecticut	2	Joe Courtney

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$128,705
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$128,705</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2023-027-01-00	300-00 (300-A2) OPERATING ASSISTANCE	\$4,770.00	\$0.00	\$4,770.00	0
CT-2023-027-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$4,770.00	\$0.00	\$4,770.00	0
CT-2023-027-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$123,935.00	\$0.00	\$123,935.00	10
CT-2023-027-01-00	11.42.02 ACQUIRE - MAINTENANCE FACILITY	\$112,077.00	\$0.00	\$112,077.00	5
CT-2023-027-01-00	11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$11,858.00	\$0.00	\$11,858.00	5

## Project Budget Activity Line Items

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT					
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity	
OTHER PROGRAM	11.42.20	ACQUIRE - MISC	ACQUISITION OF BUS	5	

COSTS (600-00)

SUPPORT EQUIPMENT SUPPORT EQUIP/FACILITIES

**Extended Budget Description**

The MPTN is requesting \$11,858 in federal financial assistance to procure 5 lubricating oil tanks for the fleet maintenance facility located at 103 Pequot Trail Mashantucket, CT. The project includes the installation and removal of existing lubricating tanks. MPTN utilized a simplified acquisition to procure the equipment.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$11,858
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,858</b>

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2023	Estimated start date.
End Date	12/31/2023	Estimated end date.

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

**Extended Budget Description**

These funds will assist with continued support of the MPTN's operating activities (net fare revenues) for FY24. Tribal Transit funding is used to support payroll for the Mobility Manager, driver salaries and fuel cost. The funds will be used to operate service that will provide tribal members with transportation options that presently are not available paying for Mobility Manager and Driver salaries in addition to fuel. Local transit company does not come on the reservation. As a rural community, roads leading to the reservation are not constructed to add bus stops that are safe for both rider and equipment. No shoulders or sidewalks are available for patrons to stand and wait. This project will improve the mobility of our community and offer access to important services within our community.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$4,770

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$4,770</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Operating activities start date.
End Date	7/31/2025	Operating activities end date.

**Budget Activity Line Item: 11.42.02 - ACQUIRE - MAINTENANCE FACILITY**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.02	ACQUIRE - MAINTENANCE FACILITY	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	5

**Extended Budget Description**

The MPTN will utilize \$112,077 in federal financial assistance to purchase and install 5 garage doors and operators at MPTN's fleet maintenance facility located at 103 Pequot Trail Mashantucket, CT 06338. Work will also include the removal of existing doors, electrical install for door operators, including low voltage associated with safety devices, and operator controls. MPTN will procure doors that meet FTA simplified acquisition requirements.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$112,077
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$112,077</b>

Milestone Name	Est. Completion Date	Description
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Garage Door Solicitation Date	5/22/2023	Solicitation date for garage doors.
Award Date	8/16/2023	Contract award date.
End Date	12/31/2023	Project completion date.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.02	ACQUIRE - MAINTENANCE FACILITY	5	\$112,077.00	\$112,077.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	5	\$11,858.00	\$11,858.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$4,770.00	\$4,770.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Burns

**Comment Type** DOL Review for Information

**Date** 9/22/2023

This application is to request Section 5311 Tribal Transit formula funds apportioned to Connecticut Statewide UZA in the amount of \$128,705.

**Comment**

Activities to be performed in this award include:

1. Repairs to the Mashantucket Pequot Maintenance Facility to replace garage doors - \$112,077

a. There are continued repairs that are required to maintain the Tribe's primary maintenance facility in a state of good repair. The funding will be used to purchase and install 5 garage doors and operators at MPTN's fleet maintenance facility located at 103 Pequot Trail Mashantucket, CT 06338.

2. Acquisition of shop equipment including lubricating oil tanks for the fleet maintenance facility - \$11,858

a. The activity includes the acquisition and installation of new tanks and removal of existing tanks.

3. Operating Service - \$4,770

a. These funds will allow the MPTN to continue providing vital transit service throughout the reservation. This service provides transportation for the elderly Tribal members who need to reach medical appointments at the Tribal Health Services clinic or need to reach their jobs at the Grand Pequot Tower, this is especially important for residents without privately owned vehicles. The federal funding goes towards salaries for a Mobility Manager, Driver Salaries, fringe benefits and fuel. This funding will cover FY23 operating activities.

## Application Review Comments

**Comment By** Michael Burns

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**Comment Type** Pre-Award Manager Returns Application

**Date** 9/18/2023

**Comment** Returning to update concurrence.