

Application

Federal Award Identification Number (FAIN)	AZ-2023-046-00
Temporary Application Number	6717-2023-4
Award Name	Hualapai Tribal Council, FY2021 5311 Tribal Transit Formula, Capital/Bus Replacement, PM & Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	9/30/2025

Part 1: Recipient Information

Name: HUALAPAI TRIBAL COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6717	Indian Tribe	Hualapai Tribal Council	QSKUU9K352J1	035883487

Location Type	Address	City	State	Zip
Headquarters	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Physical Address	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Mailing Address	PO BOX 179	PEACH SPRINGS	AZ	86434

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hualapai Tribal Council, FY2021 5311 Tribal Transit Formula, Capital/Bus Replacement, PM & Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-046-00	Final Concurrence / Reservation	Grant	Region 9	3/23/2023	3/23/2023	No

Application Executive Summary

This application is to request FY2021 Section 5311 Tribal Transit Formula funds apportioned to the Hualapai Tribal Council, Arizona, in the amount of \$395,089.

The purpose of this application is to assist with operational funds that will include expenses directly related to system operations: fuel, oil, licenses, vehicle maintenance, supplies, materials, labor, services, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager wages & fringe, marketing expenses, employee uniforms, insurance premiums, or payment to a self insurance reserve, office supplies, employee physicals, and alcohol & drug test administration. Preventative maintenance and capital funds will be used to be purchased one Starcraft Ford E-350, gas or diesel engine, ADA, Rear Braun lift and back camera system. The new vehicle estimated useful life is 6 years. The replace vehicle is 2017 Champion Defender 29 + 2 ADA, VIN# 1FDGF5GY4GEC06839 with mileage of 91,797. The tribe will follow State DOT procurement guideline on disposition of the old vehicle.

18.5% indirect cost rate will apply to Operating Assistance (\$144,556.96) and Preventative Maintenance (\$20,000), total amount is \$164,556.96 with breakdown info listed below.

Operating

\$92,056.96 Salaries & Fringe
\$2,000 Travel
\$500 Employee background checks
\$5,000 Materials & Supplies for operations
\$1,000 Dues & Subscriptions
\$2,000 Uniforms
\$2,000 communication expense
\$40,000 Fuel & Def
total \$144,556.96

Preventive Maintenance

\$20,000 Routine Maintenance

Federal Funds: Operating Assistance, FY2021 Tribal Transit Formula fund apportioned to Hualapai Tribal Council, Arizona, in the amount of \$175,089.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$175,089

Federal Funds: Capital/Preventative Maintenance, FY2021 Tribal Transit Formula fund apportioned to Hualapai Tribal Council, Arizona, in the amount of \$20,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$20,000

Federal Funds: Capital/Bus Replacement, FY2021 Tribal Transit Formula fund apportioned to Hualapai Tribal Council, Arizona, in the amount of \$200,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$200,000

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The FY2023 indirect cost (IDC) rate is 18.5%. For this application, the federal amount is \$395,089. IDC base is \$164,556.96 x 18.5% IDC rate = \$30,443.03 allowable IDC. (estimate indirect cost amount \$30,443) The rate is based on Indirect Cost Agreement issued by the Department of Interior. This rate will apply from 1/1/23 to 12/31/23 & data will update on annual FFR.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this application is to assist with operational funds that will include expenses directly related to system operations: fuel, oil, licenses, vehicle maintenance, supplies, materials, labor, services, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager wages & fringe, marketing expenses, employee uniforms, insurance premiums, or payment to a self insurance reserve, office supplies, employee physicals, and alcohol & drug test administration. Preventative maintenance and capital funds will be used to acquire 1 vehicle to replace a 2017 Champion Defender 29 + 2 ada with mileage of 91,797. The replacement bus will be a Starcraft Ford E-350 7.3> 138" WB 11,500 GVWR 36 passenger, 2 WC, with Transit style seating, Stop Request Sign, Pull Cord, Handrails and Transit Signage, Gasoline or Diesel Engine Acceptable, Braun or Ricon Wheelchair Lift (Braun Preferred), Retractable Lap Belts on Each Seat, Angel Trax 4 Camera System + Hard Drive.

Activities to be performed:

The funds will be used to cover all eligible preventative maintenance and operating expenses such as driver salaries, fuel, items having a useful life of less is to upgrade and reclassify the current transit specialist/dispatcher and transit operator positions within the Tribe.

A bus purchased will be a Starcraft Ford E-350 7.3> 138" WB 11,500 GVWR 36 passenger, 2 WC, with Transit style seating, Stop Request Sign, Pull Cord, Handrails and Transit Signage, Gasoline or Diesel Engine Acceptable, Braun or Ricon Wheelchair Lift (Braun Preferred), Retractable Lap Belts on Each Seat, Angel Trax 4 Camera System + Hard Drive.

Expected outcomes:

The outcomes of having this grant application approved will be to improve the Tribal Transit program by permitting Hualapai Transit to meet preventive maintenance standards, continue to provide operations, keep rolling stock vehicles in a state of good repair and purchasing a replacement low capacity bus.

Intended beneficiaries:

The project will allow for the tribe to continue to offer transportation to low income individuals who would not otherwise have the means to access employment, education, medical, shopping, or entertainment opportunities on and off of the reservation. The nearest full size small city with these amenities is over 50 miles away. Since the transit service began the buses have greatly enhanced the reservation populations quality of life. The demand is increasing as fuel prices increase the lower income households are greatly affected. Without the transit service many would lose access to employment or other amenities not found locally.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darlene	Wakayuta	Transportation Planner	dwakayuta@hualapai-nsn.gov	(928) 769-2216
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$395,089
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$395,089

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-046-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$395,089.00	\$0.00	\$395,089.00	3
AZ-2023-046-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$200,000.00	\$0.00	\$200,000.00	1
AZ-2023-046-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$20,000.00	\$0.00	\$20,000.00	1
AZ-2023-046-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$175,089.00	\$0.00	\$175,089.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY21 5311(c) Tribal Formula Operating Costs, Preventative Maintenance, Replacement Bus

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-046-01-00	6717-2023-4-P1	6/6/2023	6/30/2023	6/30/2025

Project Description

This project will assist with Operating Costs, Preventative Maintenance, Replacement Bus that will cover all eligible preventative maintenance and operating expenses such as driver salaries, fuel, items having a useful life of less is to upgrade and reclassify the current transit specialist/dispatcher and transit operator positions within the Tribe The bus purchased will be a Starcraft For E-350 7.3> 138" WB 11,500 GVWR 36 passenger, 2 WC, with Transit style seating, Stop Request Sign, Pull Cord, Handrails and Transit Signage, Gasoline or Diesel Engine Acceptable, Braun or Ricon Wheelchair Lift (Braun Preferred), Retractable Lap Belts on Each Seat, Angel Trax 4 Camera System + Hard Drive. The new vehicle estimated useful life is 6 years.

Project Benefits

The benefits of this grant award will be to improve the Tribal Transit program by permitting Hualapai Transit to meet preventive maintenance standards, continue to provide operations, keep rolling stock vehicles in a state of good repair and purchasing a replace a 2017 Champion Defender 29 + 2 ada with mileage of 91,797.

Additional Information

None provided.

Location Description

All of the projects within this grant application will be carried out at or near Hualapai Transit's hub, Peach Springs, Arizona. Hualapai Transit operates 10 buses over 7 routes. Hualapai Transit provides a daily commuter, local and tripper services for the communities of Peach Springs, Seligman, Truxton, Valentine, Hackberry, Valle Vista, Butler and Kingman in Northern Mohave County Arizona.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 6/15/2022

Description: TIP document from Federal Highways showing what is approved to be spent on Transit costs. The period of performance is October 1, 2021 through September 30, 2026.

UPWP

Date: 9/23/2019

Description: Document is the plan maintenance plan for Hualapai Transit.

Long Range Plan

Date: 12/1/2014

Description: LRTP was developed and approved by the Hualapai Tribe in 2014

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$395,089
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$395,089

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-046-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$395,089.00	\$0.00	\$395,089.00	3
AZ-2023-046-01-00	11.12.03 BUY REPLACEMENT 30-FT BUS	\$200,000.00	\$0.00	\$200,000.00	1
AZ-2023-046-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$20,000.00	\$0.00	\$20,000.00	1
AZ-2023-046-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$175,089.00	\$0.00	\$175,089.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

Maintenance performed on Transit vehicles to continue safe operation. The cover period is 6/30/2023 - 10/1/2023.

Federal Funds: Capital/Preventative Maintenance, FY2021 Tribal Transit Formula fund apportioned to Hualapai Tribal Council, Arizona, in the amount of \$20,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$20,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$20,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$20,000

Milestone Name	Est. Completion Date	Description
End Date	6/30/2023	end date
Start Date	10/1/2023	Start Date

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

The following is breakdown of costs that will be used with operating assistance to cover all eligible maintenance and operating expenses such as driver salaries, fuel, items having a useful life of less is to upgrade and reclassify the current transit specialist/dispatcher and transit operator positions within the Tribe.

The cover period is 10/1/2023 - 6/30/2025.

Federal Funds: Operating Assistance, FY2021 Tribal Transit Formula fund apportioned to Hualapai Tribal Council, Arizona, in the amount of \$175,089.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$175,089

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$175,089
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$175,089

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2023	Start Date
End Date	6/30/2025	End Date

Budget Activity Line Item: 11.12.03 - BUY REPLACEMENT 30-FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

This application to request FY21 5311(c) Tribal Formula Project Administration, Operating Costs, Replacement Bus appointed to Hualapai Tribe, Arizona, in the amount of \$395,000.

Replacement bus. Buses to be purchased one Starcraft Ford E-350, gas or diesel engine, ADA, Rear Braun lift and back camera system. The new vehicle estimated useful life is 6 years. The vehicle being replaced is 2017 Champion Defender 29 + 2 ADA, VIN# 1FDGF5GY4GEC06839, with mileage of 91,797 that met its useful life. This bus will meet the Americans with Disabilities Act (ADA) requirements. The tribe will follow State DOT procurement guideline on disposition of the old vehicle. The vendor will provide the Buy American certification and will upload on the application document. The bus will meet the Clean Air Act (CAA) standard and the ADA requirements.”

Federal Funds: Capital/Bus Replacement, FY2021 Tribal Transit Formula fund apportioned to Hualapai Tribal Council, Arizona, in the amount of \$200,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$200,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$200,000

Milestone Name	Est. Completion Date	Description
RFP Issue Date	10/2/2023	RFP Issue Date
Contract Award Date	11/1/2023	Contract Award Date
Contract Completion Date	11/20/2023	Contract Completion Date

Initial Delivery Date	12/11/2023	Initial Delivery Date
Final Delivery Date	12/28/2023	Final Delivery Date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/15/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$175,089.00	\$175,089.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/15/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	1	\$200,000.00	\$200,000.00
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Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	6/15/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$20,000.00	\$20,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
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Date	9/20/2023
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Comment	Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.
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