

Application

Federal Award Identification Number (FAIN)	AZ-2023-045-00
Temporary Application Number	6717-2023-1
Award Name	Hualapai Tribal Council, FY21 5311 Rural Area Formula AZDOT allocated, Project Administration and Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	12/31/2024

Part 1: Recipient Information

Name: HUALAPAI TRIBAL COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6717	Indian Tribe	Hualapai Tribal Council	QSKUU9K352J1	035883487

Location Type	Address	City	State	Zip
Headquarters	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Physical Address	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Mailing Address	PO BOX 179	PEACH SPRINGS	AZ	86434

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hualapai Tribal Council, FY21 5311 Rural Area Formula AZDOT allocated, Project Administration and Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-045-00	Final Concurrence / Reservation	Grant	Region 9	3/23/2023	3/23/2023	No

Application Executive Summary

This application is to request FY2021 Section 5311 Rural Formula AZDOT allocated funds to the Hualapai Tribal Council (Hualapai), Arizona, in the amount of \$81,000.

This application will assist with operational funds that will include expenses directly related to system operations: fuel, oil, licenses, vehicle service, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager. Project Administration funds will be used for administration materials & supplies, salaries for the project director, transit manager, and the administrative clerk. Marketing expenses, insurance premiums, or payments to a self-insurance reserve, office supplies, services and drug employee physicals, and alcohol test administration.

18.5% indirect cost rate will apply to Operating Assistance (\$40,506.33) and Project Administration (\$27,172.99), total amount is \$67,679.32 with breakdown info listed below.

Operating

\$870 Employee background checks
\$16,896.33 Materials & Supplies for operations
\$1740 Dues & Subscriptions
\$1,000 Uniforms
\$20,000 Fuel & Def
total \$40,506.33

Project Admin

\$17,372.99 Salary & Fringe
\$2000 Travel
\$800 Marketing
\$1000 Office Supplies
\$6000 Insurance premiums
total \$27,172.99

Federal Funds: Operating Assistance, FY2021 5311 Rural Area Formula AZDOT allocated fund to Hualapai Tribe, Arizona, UZA 040000, in the amount of \$48,000.

Local Match: \$34,758 Local Funds

Total Project Cost: \$82,758

Federal Funds: Project administration, FY2021 5311 Rural Area Formula AZDOT allocated fund to Hualapai Tribe, Arizona, UZA 040000, in the amount of \$33,000.

Local Match: \$8,250 Local Funds

Total Project Cost: \$41,250

Indirect Costs WILL be applied to the applicable activity line descriptions contained within the award

scope of work. The Indirect Cost Federal Share Amount is less than the federal obligation amount.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The FY2023 indirect cost (IDC) rate is 18.5%. For this application, the federal amount is \$81,000. IDC base is \$67,679.32 x 18.5% IDC rate = \$12,520.67 allowable IDC.(estimate indirect cost amount \$12,521) The rate is based on Indirect Cost Agreement issued by the Department of Interior. This rate will apply from 1/1/23 to 12/31/23 & data will update on annual FFR.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this application is to assist with operational funds that will include expenses directly related to system operations: fuel, oil, licenses, vehicle service, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager. Project Administration funds will be used for administration supplies, salaries for the project director, transit manager, and the administrative clerk. Marketing expenses, insurance premiums, or payments to a self-insurance reserve, office supplies, services and drug employee physicals, and alcohol test administration.

Activities to be performed:

The activities that will be performed is to sustain the current transit employee positions, continue transit operations and maintenance of vehicles.

Expected outcomes:

The outcomes of having this grant application approved will be to sustain the Tribal Transit program by retaining employees. The inflated fuel rates have also tremendously affected the Tribe as the monthly fuel

bill has doubled in costs from being \$6,921.84 in April 2021 to \$11,434.06 in the month of April, 2022.

Intended beneficiaries:

The benefits of this grant award will improve the Tribal Transit program by retaining employees. The benefits of this program will help the Tribe's community members who are dependent on public transit. Currently, 36% of Tribal members live below 100% of the Federal Poverty Line, which is more than twice as high as the overall poverty rate for Arizona. Moreover, almost 69.8% of community member live below 200% of the Federal Poverty Line, which is approximately three times higher than the overall poverty rate for Arizona. Consequently, a large proportion of the Tribe's population has no other transportation service except Hualapai Transit to go to medical appointments, employment, educational training or other important errands.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Darlene	Wakayuta	Transportation Planner	dwakayuta@hualapai-nsn.gov	(928) 769-2216
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$81,000
Local			\$43,008
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$124,008

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-045-01-00	600-00 OTHER PROGRAM COSTS	\$48,000.00	\$34,758.00	\$82,758.00	1

	(600-A1)						
AZ-2023-045-01-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$48,000.00	\$34,758.00	\$82,758.00	1
AZ-2023-045-01-00	620-00 (620-A2)		PROJECT ADMINISTRATION	\$33,000.00	\$8,250.00	\$41,250.00	1
AZ-2023-045-01-00		11.79.00	PROJECT ADMINISTRATION	\$33,000.00	\$8,250.00	\$41,250.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY21 5311 Rural Formula AZDOT Allocation for Administration and Operations

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-045-01-00	6717-2023-1-P1	3/23/2023	8/1/2023	12/31/2024

Project Description

Arizona Department of Transportation (ADOT) has allocated the sum of \$81,000 to Hualapai Transit to use for Operations and Operations for FY2021. This project will assist with operational costs related to administrative expenses such as salaries, supplies, services, uniforms and fuel.

Project Benefits

The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees. This program will help the Tribe's community members who are have no other transportation service and rely on Hualapai Transit to go to medical appointments, employment, educational training or other important errands.

Additional Information

Federal Funds: Project administration, FY2021 5311 Rural Area Formula AZDOT allocated fund to Hualapai Tribe, Arizona, UZA 040000, in the amount of \$33,000.

Local Match: \$8,250 Local Funds

Total Project Cost: \$41,250

Federal Funds: Operating Assistance, FY2021 5311 Rural Area Formula AZDOT allocated fund to Hualapai Tribe, Arizona, UZA 040000, in the amount of \$48,000.

Local Match: \$34,758 Local Funds

Total Project Cost: \$82,758

Location Description

Hualapai Transit's hub is the community of Peach Springs, Arizona. Hualapai Transit operates 8 buses over 6 routes in daily commuter, local and tripper services for the communities of Peach Springs, Seligman, Truxton, Valentine, Hackberry, Valle Vista, Butler and Kingman in Northern Mohave County Arizona.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 10/1/2021

Description: Tribal TIP FY22

UPWP

Date: 2/5/2017

Description: upwp

Long Range Plan

Date: 12/1/2014

Description: lrtp

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$81,000
Local			\$43,008
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$124,008

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-045-01-00	600-00 OTHER PROGRAM COSTS (600-	\$48,000.00	\$34,758.00	\$82,758.00	1

	A1)						
AZ-2023-045-01-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$48,000.00	\$34,758.00	\$82,758.00	1
AZ-2023-045-01-00	620-00 (620-A2)		PROJECT ADMINISTRATION	\$33,000.00	\$8,250.00	\$41,250.00	1
AZ-2023-045-01-00		11.79.00	PROJECT ADMINISTRATION	\$33,000.00	\$8,250.00	\$41,250.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

Arizona Department of Transportation (ADOT) has allocated the sum of \$81,000 to Hualapai Transit to use for Project Administration and Operations for FY2021. This project will assist with project administration costs related to salaries and fringe benefits for the project director, transit manager and secretary; marketing expenses, office supplies, travel, supplies, services and administering drug and alcohol testing.

Federal Funds: Project administration, FY2021 5311 Rural Area Formula AZDOT allocated fund to Hualapai Tribe, Arizona, UZA 04000 in the amount of \$33,000.

Local Match: \$8,250 Local Funds

Total Project Cost: \$41,250

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$33,000
Local			\$8,250
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$41,250

Milestone Name	Est. Completion Date	Description
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Start Date	8/1/2023	Project will have begun by 8/1/23
End Date	12/31/2024	Project will be completed by 12/31/2024

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

Arizona Department of Transportation (ADOT) has allocated the sum of \$81,000 to Hualapai Transit to use for Operations and Operations for FY2021. This project will assist with operational costs related to administrative expenses such as salaries, marketing, materials, supplies, services, uniforms and fuel.

Federal Funds: Operating Assistance, FY2021 5311 Rural Area Formula AZDOT allocated fund to Hualapai Tribe, Arizona, UZA 040000 in the amount of \$48,000.

Local Match: \$34,758 Local Funds

Total Project Cost: \$82,758

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Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$48,000
Local			\$34,758
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$82,758

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2023	Project will have begun by 8/1/23
End Date	12/31/2024	Project will be completed by 12/31/2024

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	5/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$33,000.00	\$41,250.00
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$48,000.00	\$82,758.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
Date	9/20/2023
Comment	Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	3/24/2023
Comment	1) Missing the FY2023 Certification and Assurance pinning on TRAMs. (make sure the

- category you selected matched the one from the hardcopies)
- 2) The AZDOT letter you uploaded, 5311 Rural Transit 2021 Preliminary Notice of Award Year 2, do you have the AZDOT approval letter? If you do, please upload on TRAMs application document.
 - 3) You need to match the exact activities, the FTA amount and the local matches that AZDOT provided. On your applicaiton budget, create a new budget item, 11.79.00 project administration, FTA amount \$33,000, local amount \$8,250 and change the budget item, 30.09.02 operating, FTA amount \$48,000 and local amount \$34,758.
 - 4) If you checked yes on pre-awarded authority, please provide where the fund coming from (budget item), the amount and schedule on executive summary
 - 5) On both executive summary and extended budget description, please add the scope/ budget information on the bottom of the screen. See sample below.

Federal Funds: FY2021 5311 Rural Area Formula AZDOT transferred, project administration from Hualapai Tribe, Arizona in the amount of \$33,000.
 Local Match: \$8,250 Local Funds
 Total Project Cost: \$41,250

Federal Funds: FY2021 5311 Rural Area Formula AZDOT transferred, operating assistance from Hualapai Tribe, Arizona in the amount of \$48,000.
 Local Match: \$34,758 Local Funds
 Total Project Cost: \$43,008

- 6) Please check yes on 3rd party contractor
- 7) on milestone, why the start and end date are the same date? Please provide more detail.
- 8) The executive summary is lack of information, please enter more detail (purpose/ project benefit)
- 9) On both executive summary and extended budget description, please provide the breakdown cost of the operating expense.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/27/2023

Comment return to grantee to change the project administration scope to 620-00