

## Application

<b>Federal Award Identification Number (FAIN)</b>	AZ-2023-043-00
<b>Temporary Application Number</b>	6828-2023-1
<b>Award Name</b>	Havasupai Tribe, FY 2021 Tribal Transit Formula, Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2024
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	9/30/2024

### Part 1: Recipient Information

**Name: HAVASUPAI TRIBE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6828	Indian Tribe	Havasupai Tribe	WWGJTEXQRBC3	145306817

Location Type	Address	City	State	Zip
Headquarters	10 MAIN ST	SUPAI	AZ	86435
Physical Address	10 MAIN ST	SUPAI	AZ	86435
Mailing Address	PO BOX 10	SUPAI	AZ	86435

### Union Information

There are no union contacts for this application

## Part 2: Application Information

<b>Title: Havasupai Tribe, FY 2021 Tribal Transit Formula, Operating Assistance</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AZ-2023-043-00	Final Concurrence / Reservation	Grant	Region 9	8/15/2023	8/15/2023	No

### Application Executive Summary

This application is to request FY2021 5311 Tribal Transit Formula funds apportioned to Havasupai Tribe, Arizona in the amount of \$33,505.

The grant will provide more resilient transportation for community residents and will greatly improve access to education, job training, employment, health care, government, business and shopping opportunities.

Operating Assistance in the amount of \$33,505 with breakdown cost listed below.

Transit Staff Wages and Fringe \$27,500

Supplies \$1,505

Fuel \$4,500

Total Project Cost \$33,505

Federal Funds, Operating Assistance, FY 2021 5311 Tribal Transit Formula funds apportioned to Havasupai Tribe, Arizona, in the amount of \$33,505.

Local Match: No Local share/Local Sales Tax

Total Project Cost: \$33,505

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The purpose of this application is to assist with operational expense to be used towards our demand responsive route service.

**Activities to be performed:**

Operating our Demand Response Service in our community and to neighboring towns.

**Expected outcomes:**

the quality of life for community residents will be greatly improved with better access to education, job training, employment, health care, government, business and shopping opportunities.

**Intended beneficiaries:**

Tribal members located in Havasupai, Kingman and Flagstaff.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Jim	Usevitch	Accountant	jimu@colbypowell.com	480-635-3200

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$33,505
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$33,505</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-043-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$33,505.00	\$0.00	\$33,505.00	1
AZ-2023-043-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$33,505.00	\$0.00	\$33,505.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Havasupai Tribe

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-043-01-00	6828-2023-1-P1	8/15/2023	10/1/2023	9/30/2024

### Project Description

Havasupai Tribal Transit Service. This application includes \$33,505 in FY 21 Section 5311 funding for operational expenses for our demand responsive route service in Havasupai village and to neighboring towns. This funding will be utilized for staff wages, supplies and fuel costs.

Operating Assistance for the period of October 2022 through September 2024. This funding will be utilized for staff wages, supplies and fuel costs.

### Project Benefits

This grant will provide more resilient transportation for community residents and will greatly improve access to education, job training, employment, health care, government, business and shopping.

### Additional Information

*None provided.*

### Location Description

Havasupai Tribe is located at the bottom of the Grand Canyon. The only way in and out is an eight mile trail that is walked or to ride a horse. A helicopter comes 4 days a week to transport people and supplies as well.

## Project Location (Urbanized Areas)

UZA Code	Area Name
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040000	Arizona
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## Congressional District Information

State	District	Representative
Arizona	2	Martha McSally

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: Tribal formula does not required a plan

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$33,505
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$33,505</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-043-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$33,505.00	\$0.00	\$33,505.00	1
AZ-2023-043-01-	30.09.02 SLIDING	\$33,505.00	\$0.00	\$33,505.00	1

00	SCALE (5311 OR 5310 PILOT ONLY)
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### Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

**Extended Budget Description**

Operating Assistance in the amount of \$33,505 with breakdown cost listed below.  
 Transit Staff Wages and Fringe \$27,500  
 Supplies \$1,505  
 Fuel \$4,500  
 Total Project Cost \$33,505

Federal Funds, Operating Assistance, FY 2021 5311 Tribal Transit Formula funds apportioned to Havasupai Tribe, Arizona, in the amount of \$33,505.  
 Local Match: No Local share/Local Sales Tax  
 Total Project Cost: \$33,505

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$33,505
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$33,505</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Start date is the beginning of our fiscal year.
End Date	9/30/2024	

### Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/31/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$33,505.00	\$33,505.00

**Part 4: Fleet Details**

No fleet data exists for this application.

**Part 5: FTA Review Comments****FTA Comments for DOL**

**Comment By** Phil Yue

Comment Type	DOL Review for Information
Date	9/20/2023
Comment	Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.