

Application

Federal Award Identification Number (FAIN)	AZ-2023-041-00
Temporary Application Number	6837-2023-4
Award Name	San Carlos Apache, FY2021 Tribal Transit Formula, Planning and Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	9/30/2026

Part 1: Recipient Information

Name: SAN CARLOS APACHE TRIBAL COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6837	Indian Tribe	San Carlos Apache Tribal Council	MSRJNX85HTV3	035940881

Location Type	Address	City	State	Zip
Headquarters	3A SAN CARLOS AVE	SAN CARLOS	AZ	85550
Physical Address	3A SAN CARLOS AVE	SAN CARLOS	AZ	85550
Mailing Address	3A SAN CARLOS AVE.	SAN CARLOS	AZ	85550

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: San Carlos Apache, FY2021 Tribal Transit Formula, Planning and Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-041-00	Final Concurrence / Reservation	Grant	Region 9	6/12/2023	6/12/2023	No

Application Executive Summary

This application is to request FY2021 Section 5311 Tribal Transit Formula funds apportioned to the San Carlos Apache Tribe (SCAT), Arizona, in the amount of \$628,657.

San Carlos Apache Tribe is a Direct Recipient. This Application is for 5311 Tribal Transit Formula Program Federal Assistance / Funding and is consistent with the Federal Register/ Vol. 86, No. 138 / Thursday July 22, 2021 / Notice: FTA Fiscal Year 2021 Apportionments, Allocations, and Program Information and Table 10 FY 2021 Full Year Section 5311(c) Public Transportation on Indian Reservations Formula Apportionments, which lists San Carlos Apache Tribe as an Indian Entity Recognized and Eligible To Receive Services From the United States Bureau of Indian Affairs and receives an annual apportionment of TTP funds from FTA.

The application will reflect one project to support two different scopes of budget expenses, as these expenses fall under one overarching project to support SCATs existing transit program and the need to start planning for a needed facility. The scope of the project start date is to begin in the new fiscal year for SCAT (October 2023) and ends September 30, 2026.

The budget for this grant is as follows:

Under the Planning (Engineering and Design Admin/Maint Facility) scope:

\$500,000.00 for needed studies and plans related to an administrative, operations, maintenance and storage facility. San Carlos Apache Tribe has the land set aside for transit use, but no environmental studies, soil tests, or design plans have been generated to date. San Carlos Apache Tribe will use these funds to procure (via Tribal and FTA requirements) appropriate contractors to draft a scope and project plan, as well as comply with all required NEPA outreach, for actual construction of a facility.

Under the Operating Assistance scope:

\$128,657 for upcoming fuel needs beginning with the Tribe's federal fiscal year 2024. Fuel prices have continued to increase and given the scale of service increasing, so has the budget for fuel. This funding will help supply the Tribe with needed fuel for the next 1-2 years.

The period of performance is October 1, 2023 through September 30, 2026.

These grant activities are a categorical exclusion under NEPA.

Federal Funds: Planning, FY2021 5311 Tribal Transit Formula apportioned to San Carlos Apache, Arizona, in the amount of \$500,000.

Local Match: \$0 Local Funds

Total Project Cost: \$500,000

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula apportioned to San Carlos Apache, Arizona, in the amount of \$128,657.

Local Match: \$0 Local Funds

Total Project Cost: \$128,657

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

There are two major goals of the award. One is to continue operations by providing fuel costs for our service and fleet. The second is to begin planning work for a new administrative, operations, maintenance, and vehicle storage facility. San Carlos Apache Tribe has land designated for this purpose but none of the planning work has been done to date.

Activities to be performed:

Funds will be used for service provision in the form of fuel for our service fleet. Funds will also be used to provide for planning activities centered around preparing for a new administrative, operations, maintenance, and vehicle storage facility.

Expected outcomes:

The benefits will continue transit service to our region and eventually construct a new facility for transit use. Currently, staff is housed in two different buildings that are small and antiquated. Many of the vehicles

sit outside in the harsh summer climate and need maintenance more often due to the hard environmental conditions.

Intended beneficiaries:

The population of the San Carlos Apache Tribe.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Bernadette	Kniffin	Director	bkniffin@tanf.scat.nsn.gov	928-475-5011
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$628,657
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$628,657

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-041-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$628,657.00	\$0.00	\$628,657.00	2
AZ-2023-041-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$128,657.00	\$0.00	\$128,657.00	1
AZ-2023-041-01-00	44.22.00 GENERAL DEVELOPMENT/ COMPREHENSIVE	\$500,000.00	\$0.00	\$500,000.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY 2021 Tribal Transit Grant - Planning (Engineering and Design Admin/Maint Facility) and Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-041-01-00	6837-2023-4-P1	6/12/2023	10/1/2023	9/30/2026

Project Description

The San Carlos Apache Tribe (SCAT) is applying for their FY 2021 Public Transportation on Indian Reservations Formula Apportionment. FY 2021 funds apportioned to SCAT are \$628,657 at 100% Federal Share.

The application will reflect one project to support two scopes of budget expenses, as these expenses fall under one overarching project to support SCAT's existing transit program and the need to begin work on a much needed comprehensive facility. The scope of the project start date is October 1, 2023 and ends September 30, 2026. The budget for this grant is as follows:

Under the Planning (Engineering and Design Admin/Maint Facility) scope:

\$500,000.00 for needed studies and plans related to a administrative, operations, maintenance and storage facility. San Carlos Apache Tribe has the land set aside for transit use, but no environmental studies, soil tests, or design plans have been generated to date. San Carlos Apache Tribe will use these funds to procure (via Tribal and FTA requirements) appropriate contractors to draft a scope and project plan, as well as comply with all required NEPA outreach, for actual construction of a facility.

Under the Operating scope:

\$128,657 for upcoming fuel needs beginning with the Tribe's federal fiscal year 2024. Fuel prices have continued to increase and given the scale of service increasing, so has the budget for fuel. This funding will help supply the Tribe with needed fuel for the next 1-2 years.

Project Benefits

Transit operations will be able to continue and be sustained at a high level for our passengers and drivers with the use of these dollars. Without the funding, the transit system will not operate as efficiently as it should. This will also allow our transit system to be housed under one roof and also provide needed indoor shelter for the majority of our vehicle fleet. Current service area covers portions of Gila, Graham, Pinal, Greenlee and Maricopa Counties. We provide public route deviation transportation to all counties listed through local and intercity bus transportation routes.

Additional Information

None

Location Description

Service area covers portions of Gila, Graham, Greenlee, Maricopa and Pinal Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 2/5/2019

Description: AZ STIP <https://azdot.gov/planning/transportation-programming/state-transportation-improvement-program-stip> and attached in application documents.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$628,657
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$628,657

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-041-01-00	600-00 OTHER PROGRAM COSTS	\$628,657.00	\$0.00	\$628,657.00	2

	(600-A1)					
AZ-2023-041-01-00	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$128,657.00	\$0.00	\$128,657.00	1
AZ-2023-041-01-00	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$500,000.00	\$0.00	\$500,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	1

Extended Budget Description

\$500,000.00 for needed studies and plans related to a administrative, operations, maintenance and storage facility. San Carlos Apache Tribe has the land set aside for transit use, but no environmental studies, soil tests, or design plans have been generated to date. San Carlos Apache Tribe will use these funds to procure (via Tribal and FTA requirements) appropriate contractors to draft a scope and project plan, as well as comply with all required NEPA outreach, for actual construction of a facility.

Federal Funds: Planning, FY2021 5311 Tribal Transit Formula apportioned to San Carlos Apache, Arizona, in the amount of \$500,000.

Local Match: \$0 Local Funds

Total Project Cost: \$500,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$500,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$500,000

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2023	Begin procurement of needed consulting firms to define project scope.
End Date	9/30/2026	Have all needed studies complete and finalized by September 30, 2026.

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

OPERATIONS:

1. Operations to include fuel for transit operations = \$128,657. \$128,657 for upcoming fuel needs beginning with the Tribe's federal fiscal year 2024. Fuel prices have continued to increase and given the scale of service increasing, so has the budget for fuel. This funding will help supply the Tribe with needed fuel for the next 1-2 years.

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula apportioned to San Carlos Apache, Arizona, in the amount of \$128,657.

Local Match: \$0 Local Funds

Total Project Cost: \$128,657

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$128,657
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$128,657

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Begin purchases in October 2023.
End Date	9/30/2025	Funding to be spent by September 2025.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/12/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	1	\$500,000.00	\$500,000.00
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$128,657.00	\$128,657.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 9/19/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.