

Application

Federal Award Identification Number (FAIN)	AZ-2023-038-00
Temporary Application Number	6725-2022-1
Award Name	White Mountain Apache Tribe FY2021 Tribal Formula CRRSAA, Operating Assistance and Preventative Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	6/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	6/30/2025

Part 1: Recipient Information

Name: WHITE MOUNTAIN APACHE TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6725	Indian Tribe	White Mountain Apache Tribe	S8UKXJ8B7A83	063293153

Location Type	Address	City	State	Zip
Headquarters	306 N CHIEF AVE	WHITERIVER	AZ	85941
Physical Address	306 N. CHIEF AVE.	WHITERIVER	AZ	85941
Mailing Address	P.O. BOX 700	WHITERIVER	AZ	85941

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: White Mountain Apache Tribe FY2021 Tribal Formula CRRSAA, Operating Assistance and Preventative Maintenance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-038-00	Final Concurrence / Reservation	Grant	Region 9	6/21/2022	6/21/2022	No

Application Executive Summary

This application to request FY2021 5311 Tribal Formula CRRSAA apportioned to White Mountain Apache Tribe, AZ, in the amount of \$280,119.

The application utilizes CRRSAA funding prevent, prepare for, and respond to coronavirus.

This grant applies for \$280,119 in CRRSAA funding for the Tribe of \$280,119 to support operating and preventative maintenance costs of the rural and intercity transit providers identified as sub-recipients for the period of January 20, 2020 through June 30, 2025.

The White Mountain Apache Tribe did not furlough any staff after December 2020 nor did they furlough any staff throughout the pandemic.

The Recipient agree that if it receives Federal fundal from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicated funding provided by FEMA, another Federal agency, or an insurance company.

The following is a breakdown of costs that will be used with this award:

Salary & Fringe for upgrades/reclassifications of the transit dispatcher and transit operator positions: \$98,800.00

Administrative expenses for supplies/fuel cost: \$56,240.00 and Preventative maintenance cost: \$125,079.

Federal Funds: Capital/Preventative Maintenance, FY2021 5311 Tribal Transit Formula CRRSSA apportioned to White Mountain Apache Tribe, Arizona in the amount of \$125,079.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$125,079

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula CRRSSA apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$155,040.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$155,040

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this application is to assist with operational funds for upgrading, reclassifying and retaining transit employees. Operational funds will include expenses needed for fuel cost for the remaining year of FY22 to FY 2025. Lastly, operational funds will be used for administration supplies/materials for the newly constructed transit facility and assistance with the transit administration's operations.

Activities to be performed:

The activities that will be performed is to upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe.

Expected outcomes:

The outcomes of having this grant application approved will be to improve the Tribal Transit Program by retaining employees and attracting qualified job applications for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages this making it difficult to recruit qualified drivers and dispatchers. The inflated fuel rates have tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,000.00 per month in 2021 to \$8,000.00 in the month of May, 2022.

Intended beneficiaries:

The benefits of this grant award will improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit dispatcher and transit operators will be pay for 25% increase upon approval of the job reclassification by the Tribe.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
LaReesa	Sanchez	Acting Director	lareesasanchez@wmat.us	(928) 338-5155
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$280,119
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$280,119

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-038-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$280,119.00	\$0.00	\$280,119.00	2
AZ-2023-038-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$125,079.00	\$0.00	\$125,079.00	1
AZ-2023-038-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$155,040.00	\$0.00	\$155,040.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Section 5311 CRRSAA Operating Assistance & Preventative Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-038-01-00	6725-2022-1-P1	6/21/2022	6/30/2022	6/30/2025

Project Description

This application to request FY2021 Section 5311 (C) Tribal Formula CRRSAA grant in the amount of \$ 280,119 representing 100% federal share of the total eligible amount. The application utilizes CRRSAA funding prevent, prepare for, and respond to coronavirus. This grant applies for \$280,119 in CRRSAA funding for the Tribe of \$280,119 to support operating and preventative Maintenance costs of the rural and intercity transit providers identified as sub-recipients for the period of January 20, 2020 through June 30, 2025.

The White Mountain Apache Tribe did not furlough any staff after December 2020 nor did they furlough any staff throughout the pandemic.

Project Benefits

The benefits of this grant award will improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit dispatcher and transit operators will be pay for 25% increase upon approval of the job reclassification by the Tribe.

Additional Information

Location Description

White Mountain Apache Tribe, Whiteriver, AZ 85941

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Ann Kirkpatrick

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Per the CRRSAA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

UPWP

Date: Not Provided

Description: Per the CRRSAA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Long Range Plan

Date: Not Provided

Description: Per the CRRSAA, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$280,119
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$280,119

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-038-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$280,119.00	\$0.00	\$280,119.00	2
AZ-2023-038-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$125,079.00	\$0.00	\$125,079.00	1
AZ-2023-038-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$155,040.00	\$0.00	\$155,040.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00) 11.7A.00 PREVENTIVE MAINTENANCE OTHER CAPITAL ITEMS (BUS) 1

Extended Budget Description

The benefits of this grant award will improve the Tribal Transit program administration supplies/materials for the tribal transit program for the preventative maintenance for the transit buses. The cover period for PM is 6/30/2023 - 6/30/2025.

The following is a breakdown of costs that will be used with this award:
Preventative Maintenance: \$125,079

Federal Funds: Capital/Preventative Maintenance, FY2021 5311 Tribal Transit Formula CRRSSA apportioned to White Mountain Apache Tribe, Arizona in the amount of \$125,079.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$125,079

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$125,079
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$125,079

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2023	Start Date to administer supplies/equipment for the tribal transit program for the preventative maintenance for the 10 transit buses.
End Date	6/30/2025	End date to administer supplies/equipment for the tribal transit program for the preventative maintenance for the transit buses.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

The activities that will be performed is to upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe. To assist with operational funds for upgrading, reclassifying, retaining and retraining transit employees. Operational funds will include expenses needed for fuel cost for the

remaining year of FY22 to FY 2025. The cover period for operating assistance is 6/30/2022 to 6/30/2025.

The following is a breakdown of costs that will be used with this award:

Salary & Fringe for upgrades/reclassifications of the transit dispatcher and transit operator positions: \$98,800.00 and Administrative expenses for supplies/fuel cost: \$56,240.00.

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula CRRSSA apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$155,040.

Local Match: \$0 Local Sales Tax

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$155,040
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$155,040

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2022	Start Date of the following activities that will be performed: upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe, assist with operational funds for upgrading, reclassifying, retaining and retraining transit employees. Operational funds will include expenses needed for fuel cost for the remaining year of FY22 to FY 2025.
End Date	6/30/2025	End Date of the following activities that will be performed: upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe, assist with operational funds for upgrading, reclassifying, retaining and retraining transit employees. Operational funds will include expenses needed for fuel cost for the remaining year of FY22 to FY 2025.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/20/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$155,040.00	\$155,040.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/20/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$125,079.00	\$125,079.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 9/13/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/21/2022

Comment

- 1) On C&A hardcopies, Matt McReynolds name is not showing on opinion of counsel. Either he will need to provide his own opinion of counsel form or the other authorized lawyer like KeAloha Douma will be the one signed it.
- 2) Please change the applicaiton name to: White Mountain Apache, FY21 5311(C)Tribal Formula CRRSAA grant, Operating Assistance and Preventative Maintenance
- 3) The funding source is incorrect, it should be 5311 Tribal Formula (CRRSSA), section 5311-C
- 4) On application budget, please change all the scope to 600-00 (other program cost)
- 5) On executive summary, please provide the 5 elements with headers (purpose, activities to be performed, expected outcomes, intended benefits and subrecipient info. Please also enter the fund, scope and activity information.
- 6) On executive summary, if the tribe did not furlough any staffs after 12/2020, please provide the statement to verify the tribe did not furlough any staffs during the pandemic.
- 7) The project description and project benefits are lack of info, please enter more details.
- 8) On both extended budget description for operating assistance and preventative maintenance, please enter the funding, scope and activity information. Please also provide the breakdown/detail of the operating expense you will use on this project.
- 9) On operating assistance activity, please check yes on 3rd party contractor, on preventative maintenance, if the work will perform by the internal staff please indicate on your extended description, if the tribe will hire contractor, please check yes on 3rd party contractor.
- 10) On environmental finding, please enter the approved date
- 11) On environmental finding, please create environmental finding for preventative maintenance and select Class II C, type 07 and enter the approved date

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/28/2022

Comment

- 1) On executive summary, both federal fund/scope/activity for PM and operating assistance, please change to FY2021 5311 Tribal Transit Formula CRRSSA. On PM fund, please add Arizona after White Mountain Apache Tribe
- 2) Please check yes on pre-awarded authority since your starting period is 1/20/2020.
- 3) On Federal Fund source, please remove 5311-Tribal Transit Program Formula (ARPA) , you cannot apply both CRRSAA and ARPA in one application, you must submit

separately. Please change the 5311 Tribal Formula (CRRSAA) to \$280,119.
 4) The 5 elements are for executive summary only, on project description, please remove the repeated 5 elements.
 5) The milestone dates for both operating assistance and PM have the same date, 6/30/2025? When will this operating expense start to withdraw/start? Why the PM has the same start and end date? Please adjust.
 6) On PM extended budget description, why the breakdown cost detail for operating assistance shows on PM description? Please remove.
 7) On C&A hardcopies, Matt McReynolds name is not showing on opinion of counsel. Either he will need to provide his own opinion of counsel form or the other authorized lawyer like KeAloha Douma will be the one signed it

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	6/30/2022
Comment	<p>1) On C&A hardcopies, Matt McReynolds name is not showing on opinion of counsel. Either he will need to provide his own opinion of counsel form or the other authorized lawyer like KeAloha Douma will be the one signed it.</p> <p>2) From extended budget description, can you copy and paste the highlighted text to executive summary under breakdown cost?</p>

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	7/12/2022
Comment	<p>On C&A hardcopies, Matt McReynolds name is not showing on opinion of counsel and he is not authorized to sign the hardcopies. Please have the authorized lawyer KeAloha Douma completes and signs the form and uploads on the recipient document. Please do not submit this application until this task completed.</p>