

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AZ-2023-036-00
Temporary Application Number	7292-2023-1
Award Name	Hopi Tribe, FY2021 Tribal Transit Formula, Capital, Bus Purchase & Preventative Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2027
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	12/31/2027

Part 1: Recipient Information

Name: HOPI TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7292	Indian Tribe	Hopi Tribe	CXTLBKAXP5Y7	116136961

Location Type	Address	City	State	Zip
Headquarters	1 MAIN ST	KYKOTSMOVI VILLAGE	AZ	86039
Physical Address	123 MAIN ST	KYKOTSMOVI VILLAGE	AZ	86039
Mailing Address	P. O. BOX 123	KYKOTSMOVI	AZ	86039

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hopi Tribe, FY2021 Tribal Transit Formula, Capital, Bus Purchase & Preventative Maintenance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-036-00	Final Concurrence / Reservation	Grant	Region 9	6/14/2023	6/14/2023	No

Application Executive Summary

This application is to request FY2021 Section 5311 Tribal Transit Formula apportioned to the Hopi Transit, Arizona, in the amount of \$499,043.

This FY 2021 Section 5311 (c) Public Transportation on Indian Reservation Apportionments award will serve the Hopi Reservation located in northeastern Arizona in the amount of \$499,043 representing 100% federal share of the total eligible amount. The projects include purchase of a 30-passenger bus and preventative maintenance that will address the mobility needs of the Hopi Tribe and residents of surrounding areas by providing affordable, accessible and reliable transportation to the Hopi and Tewa people and the general public to employment, medical and human services, education, shopping, government and other business while supporting the Hopi Senom Transit Preventative Maintenance Polices and Plan.

Federal Funds: Capital, Bus Purchase, FY2021 Tribal Transit Formula Funds apportioned to Hopi Tribe, Arizona, in the amount of \$281,584.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$281,584

Federal Funds: Capital, Preventative Maintenance, FY2021 Tribal Transit Formula Funds apportioned to Hopi Tribe, Arizona, in the amount of \$217,459.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$217,459

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Hopi Tribe will use \$499,043 in FY 2021 5311 (c) Public Transportation on Indian Reservation Apportionments to support Hopi Senom Transit's need for bigger medium to heavy duty buses that are more comfortable, reliable and with an increased useful life as our routes are among some of the longest rural transit routes in the nation. This award will also support our Preventative Maintenance Policies and Plan to ensure that our vehicle assets are protected in that they reach their maximum useful life.

Activities to be performed:

The award will provide for the purchase of a 30-passenger Champion Freightliner Defender bus in the amount of \$281,584 and Preventative Maintenance in the amount of \$217,459.

Expected outcomes:

The project of the purchase of a 30-passenger bus will address the mobility needs of the Hopi Tribe and residents of surrounding areas by providing affordable, accessible and reliable transportation to the Hopi and Tewa people and the general public to employment, health care, education and shopping. The preventative maintenance project will ensure that vehicle assets are protected and maintained so that they reach their maximum useful life. This project will support the Hopi Senom Transit Preventative Maintenance Policies and Plan so the vehicles and equipment used in support of public transit at Hopi Senom Transit will be maintained at or above manufacturer specifications.

Intended beneficiaries:

Intended beneficiaries will be the residents of the Hopi Reservation, a remote federally recognized Native American Tribe, and the general public of surrounding areas will benefit from expanded routes and increased transit services for employment, medical and human services, education, shopping, governmental and other business.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Donovan	Gomez	Transit Administrator	dgomez@hopi.nsn.us	928-734-3231

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$499,043
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$499,043

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-036-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$499,043.00	\$0.00	\$499,043.00	2
AZ-2023-036-01-00	11.13.02 BUY 35-FT BUS FOR EXPANSION	\$281,584.00	\$0.00	\$281,584.00	1
AZ-2023-036-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$217,459.00	\$0.00	\$217,459.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Bus Procurement for expansion AND Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-036-01-00	7292-2023-1-P1	7/21/2023	1/1/2024	12/31/2027

Project Description

As per the recently completed Hopi Senom Transit 5-Year Transit Plan, Hopi Senom Transit has a significant need for bigger buses. We have identified a Champion Freightliner Defender 30-passenger bus that will meet our projected need for bigger buses that are suitable for carrying more passengers and traveling long distances more comfortably. The purchase price of the Champion Freightliner Defender 30-passenger bus is \$281,584.33 (two hundred eighty-one thousand, five hundred eighty-four dollars and thirty-three cents). The estimated useful life year for this vehicle will be 6 years. The vendor will provide

the Buy America Certificate of this vehicle.

The 30-Passenger Champion Freightliner Defender will not be available for order until 2024 due to the ongoing transit vehicle shortage.

Project Benefits

The purchase of the Champion Freightliner Defender bus will address the mobility needs of the Hopi Tribe and residents of surrounding areas by providing more riders with affordable, accessible and reliable public transportation to the Hopi and Tewa people and the general public to employment, medical and human services, education, shopping, government and other business.

Additional Information

Hopi Senom Transit is a rapidly growing program: from 2014 to 2019, our ridership has increased by 535 per cent and continues to grow after resuming service from a 16-month pandemic shutdown. As per the recently completed 5-Year Transit Plan there is a need for bigger medium or heavy duty buses that are more comfortable, reliable and with an increased useful life as our routes are among some of the longest rural transit routes in the nation.

Location Description

The Hopi Tribe is a sovereign nation located in northeastern Arizona. The reservation occupies part of Coconino and Navajo counties, encompasses more than 1.5 million acres at an elevation of 5,800-6,000 feet and is made up of 12 villages on three mesas located in four regions referred to as First Mesa, Second Mesa, and Third Mesa. The Hopi trace their history back thousands of years, making them one of the oldest living cultures in the world with some of the Hopi villages among the oldest continuously occupied settlements in the North American continent. The reservation occupies over 2,400 square miles in east north-central Arizona, 60 miles north of Winslow in Navajo County and 90 miles northeast of Flagstaff, northern Arizona's largest city. Although the trade area population exceeds 23,000, the Hopi Reservation population of approximately 7,000 creates a small market and limited labor pool. The reservation's isolation is intensified by political and social factors since it is completely surrounded by the Navajo Reservation and most commercial functions are supplied by off-reservation communities. The remoteness and expanse of Hopitutskwa (Hopi land) has isolated the Hopi people from the outside world and has helped to preserve the culture.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	2	Elijah Crane

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project is exempt from the Hopi Tribe's STIP/TIP.

UPWP

Date: Not Provided

Description: The Hopi Tribe is not required to submit a Unified Planning Work Program.

Long Range Plan

Date: Not Provided

Description: This project is exempt from the Hopi Tribe's Long Range Plan.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$499,043
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$499,043

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-036-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$499,043.00	\$0.00	\$499,043.00	2
AZ-2023-036-01-00	11.13.02 BUY 35-FT BUS FOR EXPANSION	\$281,584.00	\$0.00	\$281,584.00	1
AZ-2023-036-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$217,459.00	\$0.00	\$217,459.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.02 - BUY 35-FT BUS FOR EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.13.02	BUY 35-FT BUS FOR EXPANSION	BUY EXPANSION - CAPITAL BUS	1

Extended Budget Description

The purchase price of the Champion Freightliner Defender 30-passenger bus is \$281,584.33. See application document for more photo and description of the bus. The 30-Passenger Champion Freightliner

Defender will not be available for order until 2024 due to the ongoing transit vehicle shortage. The estimated useful life year for this vehicle will be 6 years.

Federal Funds: Capital, Bus Purchase, FY2021 Tribal Transit Formula Funds apportioned to Hopi Tribe, Arizona, in the amount of \$281,584.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$281,584

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$281,584
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$281,584

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/31/2024	The Start Date for the project is projected to be May 31, 2024. Vendor has advised that the 30-Passenger bus will not be available for purchase until 2024.
Contract Award Date	6/30/2024	The contract for bus purchase will be complete by this date.
Initial Delivery Date	11/30/2024	The initial delivery of the bus will be complete by this date.
Final Delivery Date	12/31/2024	The Final Delivery of the bus will be complete by this date.
Contract Completion Date	12/31/2027	The Contract will be complete by this date.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

This award will support our Preventative Maintenance Policies and Plan to ensure that our vehicle assets are protected in that they reach their maximum useful life.

Federal Funds: Capital, Preventative Maintenance, FY2021 Tribal Transit Formula Funds apportioned to Hopi Tribe, Arizona, in the amount of \$217,459.

Local Match: \$0 Local Sales Tax
 Total Project Cost: \$217,459

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$217,459
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$217,459

Milestone Name	Est. Completion Date	Description
start date	1/1/2024	The Start Date is January 1. 2024
end Date	12/31/2025	The project will be complete by this date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	9/11/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$217,459.00	\$217,459.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	9/11/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.13.02	BUY 35-FT BUS FOR EXPANSION	1	\$281,584.00	\$281,584.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
Date	9/11/2023
Comment	Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.