

Application

Federal Award Identification Number (FAIN)	TN-2021-017-01
Temporary Application Number	1007-2020-4
Award Name	FFY2019 - 2021 RTAP & State Administration
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	5/20/2021
Original Period of Performance End Date	3/30/2027
Current Period of Performance End Date	3/30/2027 Revision #: 0

Part 1: Recipient Information

Name: Transportation, Tennessee Department Of

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	ML56NPH3NFF9	878297712

Location Type	Address	City	State	Zip
Headquarters	600 CHARLOTTE AVE	NASHVILLE	TN	372439034
Physical Address	505 DEADERICK ST	NASHVILLE	TN	37243
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY2019 - 2021 RTAP & State Administration
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FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
TN-2021-017-01	Final Concurrence / Reservation	Grant	Region 4	8/9/2023	8/9/2023	No

Application Executive Summary

Amendment August 2023:

Amendment 01 to add \$68,803 in federal RTAP funds. The RTAP funds were originally apportioned in TDOT's FFY2020 Apportionment, but were rolled over into the FFY2021 Lapsing Funds Balance when FTA obligated all 2020 funds in other grants. This \$68,803 will be used to facilitate statewide technical assistance initiatives and for contractor assistance for execution of the rural public transportation programs.

Original Grant:

This grant application obligates Rural Technical Assistance Program and State Administration funds for Federal Fiscal Years 2019, 2020, and 2021. Tennessee DOT reserved 5% of the FFY2019 Section 5311 Apportionment for State Administration, and 2.5% of the apportionments in FFY2020 and FFY2021. For Fiscal Years 2019 and 2020, Tennessee operated under a Governor's Certification (attached as an application document) certifying that intercity bus needs were met by rural providers and TDOT did not need to use 15% of 5311 funds on the 5311(f) program. For FFY2021, TDOT is currently assessing the intercity bus services and has withheld 15% of the 2021 5311 Apportionment until the findings of the assessment are known. The funds in this grant are not impacted by the result of that assessment.

FFY2019: \$1,062,084 in 100% federal State Administration, \$262,583 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2019 obligated for subrecipient use in TN2019037 & TN2018043.

FFY2020: \$538,632 in 100% federal State Administration, \$295,116 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2020 obligated for subrecipient use in TN2018043.

FFY2021: \$458,581 in 100% federal State Administration, \$295,116 in 100% federal RTAP. Remainder of RTAP apportionment for FFY2021 will be obligated for subrecipient use in the FFY2021 5311 Grant (Application 1007-2021-3 currently in-process).

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

Federal funding for state administration of FTA programs and Rural Technical Assistance Program.

Activities to be performed:

State administration of FTA programs as well as RTAP trainings and technical guidance to subrecipients.

Expected outcomes:

State administration of FTA programs as well as RTAP trainings and technical guidance to subrecipients.

Intended beneficiaries:

The Dept of Transportation and subrecipients.

Subrecipient Activities:

Subrecipients will receive training and technical assistance through this grant.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Kaitlyn	McClanahan	Transit Manager	kaitlyn.mcclanahan@tn.gov	615-532-5835

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,980,915
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$2,980,915
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Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2021-017-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-01	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$921,618.00	\$0.00	\$921,618.00	0
TN-2021-017-01-01	43.50.02 TECHNICAL ASSISTANCE	\$921,618.00	\$0.00	\$921,618.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2019 - 2021 RTAP & State Administration
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
TN-2021-017-01-01	1007-2020-4-P1	8/9/2023	10/1/2019	12/31/2026

Project Description

This grant obligates RTAP and State Administration funds for use by the Tennessee Department of Transportation to support rural program administration, consultant work, and technical assistance relevant to rural public transportation in Tennessee.

Project Benefits

Funds for the Department of Transportation to administer programs and fund technical assistance for rural area programs.

Additional Information

None provided.

Location Description

RTAP and State Administration funds support rural transportation statewide in Tennessee

Project Location (Urbanized Areas)

UZA Code	Area Name
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470000

Tennessee

Congressional District Information

State	District	Representative
Tennessee	1	David P Roe
Tennessee	3	Charles J Fleischmann
Tennessee	4	Scott DesJarlais
Tennessee	5	Jim Cooper
Tennessee	9	Steve Cohen
Tennessee	2	Tim Burchett
Tennessee	6	John Rose
Tennessee	7	Mark Green
Tennessee	8	David Kustoff

Program Plan Information

STIP/TIP

Date: 3/2/2021

Description: Transit Section of STIP 2020 - 2023

Amended March 2021 to add 5339(b) and HOPE

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,980,915
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,980,915

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2021-017-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$2,059,297.00	\$0.00	\$2,059,297.00	0
TN-2021-017-01-01	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$921,618.00	\$0.00	\$921,618.00	0
TN-2021-017-01-01	43.50.02 TECHNICAL ASSISTANCE	\$921,618.00	\$0.00	\$921,618.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

Amendment August 2023:

Amendment 01 to add \$68,803 in federal RTAP funds. The RTAP funds were originally apportioned in TDOT's FFY2020 Apportionment, but were rolled over into the FFY2021 Lapsing Funds Balance when FTA obligated all 2020 funds in other grants. This \$68,803 will be used to facilitate statewide technical assistance initiatives and for contractor assistance for execution of the rural public transportation programs.

Original Grant:

The Tennessee Department of Transportation will utilize Rural Technical Assistance Program funds to facilitate statewide technical assistance initiatives and contractor assistance for execution of the rural public transportation programs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$921,618
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$921,618

Milestone Name	Est. Completion Date	Description
On-Call Contract Procurement	10/1/2019	RFQ for on-call consultants was issued on 8/13/2019. Contracts were awarded to 4 consulting firms on 10/1/2019.
Start Date	1/1/2021	RTAP activities begin
End Date	12/31/2026	RTAP activities complete.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

The Tennessee Department of Transportation will utilize State Administration to fund staff operations and contractor assistance for the administration of the rural public transportation programs. Funds will be used by TDOT Multimodal for grantee monitoring activities, staff travel and training expenses, staff salaries, and consultant work to support staff needs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,059,297
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,059,297

Milestone Name	Est. Completion Date	Description
On-Call Contract Procurement	10/1/2019	RFQ for on-call consultants was issued on 8/13/2019. Contracts were awarded to 4 consulting firms on 10/1/2019.

Start Date	1/1/2021	State Administration activities begin
End Date	12/31/2026	State Administration activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$921,618.00	\$921,618.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$2,059,297.00	\$2,059,297.00
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Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type DOL Review for Information

Date 8/25/2023

Comment Sent to DOL for information 8/25/2023.