

Application

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|---|--|
| Federal Award Identification Number (FAIN) | NE-2023-026-00 |
| Temporary Application Number | 6955-2023-3 |
| Award Name | Omaha Tribe of NE: Sec. 5311 Operating, PM, Van Purchase Grant |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 12/31/2025 |
| Current Period of Performance End Date | N/A Revision #: N/A |

| | |
|---------------------------------|------------|
| Budget Period Start Date | N/A |
| Budget Period End Date | 12/31/2025 |

Part 1: Recipient Information

Name: OMAHA TRIBE OF NEBRASKA

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|-------------------------|--------------|-----------|
| 6955 | Indian Tribe | OMAHA TRIBE OF NEBRASKA | MQS9HLF9TWQ4 | 118683309 |

| Location Type | Address | City | State | Zip |
|------------------|---------------|------|-------|-------|
| Headquarters | 101 MAIN ST | MACY | NE | 68039 |
| Physical Address | 101 MAIN ST | MACY | NE | 68039 |
| Mailing Address | P.O. BOX #368 | MACY | NE | 68039 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Omaha Tribe of NE: Sec. 5311 Operating, PM, Van Purchase Grant

| FAIN | Application Status | Award Type | Application Cost Center | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|-------------------|--------------------------------|---------------------|--------------------------|-------------------|
| NE-2023-026-00 | Final Concurrence / Reservation | Grant | Region 7 | 5/12/2023 | 5/12/2023 | No |

Application Executive Summary

This application is to request Section Tribal Transit Formula funds apportioned to the Omaha Tribe of Nebraska under UZA: 310000 in Federal FY 2023 (\$436,346).

Activities to be performed:

Buy (2) two Replacement vans: \$100,000.

Preventive Maintenance: \$30,000

Operating Assistance: \$306,346

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 10/01/2022 to 04/30/2025.

There is no Local Match requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #8 Matching Requirements.

Attachments:

Omaha Tribe Indirect Costs Rate Letter from the Dept. of Interior

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

The de minimus 10% indirect cost rate is applied to this application.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

This application is to request Section Tribal Transit Formula funds apportioned to the Omaha Tribe of Nebraska under UZA: 310000 in Federal FY 2023 (\$436,346).

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 10/01/2022 to 04/30/2025.

There is no Local Match requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #8 Matching Requirements.

Activities to be performed:

Buy (2) two Replacement vans: \$100,000.

Preventive Maintenance: \$30,000

Operating Assistance: \$306,346

Expected outcomes:

Maintaining the Omaha Tribe of Nebraska's safe, reliable and efficient transit service to the tribal and rural area.

Intended beneficiaries:

Specifically, the Omaha Tribe's transit service, Omaha Tribal members and surrounding rural riders.

Subrecipient Activities:

None

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-----------------------------------|---------------------------|-----------------------|
| Logan | Daniels | Transportation Program Specialist | Logan.Daniels@dot.gov | (816) 329-3933 |
| Dawn | Baxter | Transit Coordinator | dbaxter@theomahatribe.com | (402) 837-4361 129 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$436,346 |

| | | |
|-----------------------------------|--|------------------|
| Local | | \$0 |
| Local/In-Kind | | \$0 |
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$436,346 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| NE-2023-026-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$436,346.00 | \$0.00 | \$436,346.00 | 2 |
| NE-2023-026-01-00 | 11.12.15 BUY REPLACEMENT VAN | \$120,000.00 | \$0.00 | \$120,000.00 | 2 |
| NE-2023-026-01-00 | 11.7A.00 PREVENTIVE MAINTENANCE | \$30,000.00 | \$0.00 | \$30,000.00 | 0 |
| NE-2023-026-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE | \$286,346.00 | \$0.00 | \$286,346.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: TTP FY2023 Operating, PM, Van Purchase

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| NE-2023-026-01-00 | 6955-2023-3-P1 | 5/12/2023 | 7/30/2023 | 9/30/2024 |

Project Description

Purpose: The Omaha Tribe of Nebraska will utilize this award to maintain existing transit services on the reservation.

This application is to request Section 5311 funds apportioned to the Omaha Tribe of Nebraska for FFY 2023 in the amount of \$436,346.

Activities to be Performed: Funds will be used to cover eligible operating expenses such as driver salaries and fuel, purchasing Two (2) van, and preventive maintenance.

Expected Outcomes: Funding will permit the Omaha Tribe of Nebraska to continue to provide service to

tribal area. Intended Beneficiaries: Omaha Tribe Public transit riders.

Sub-recipients: None

The Tribe is using Federal funds at the 100:0 match ratio for the time period of 10/01/2022 to 09/30/2024.

There is no Local Match requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for 5311 TTP Formula Funds, FTA Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Project Benefits

Our tribe is economically challenged with little commerce being conducted within the reservation. It is therefore necessary for our tribal members to travel significant distances to obtain or maintain employment. Many of our members do not have access to transportation at all or only to unreliable transportation, so there is a huge lack of transportation which represents a gaping need for transit services in this area.

Because of the isolated, rural nature of our communities, it is vital that our tribal members have access to the nearest cities for many services that are not available within our communities of Macy, Walthill, and Rosalie. Many of our tribal members lack the appropriate transportation or licensing to drive the distance to these services. The operation funding would expand our obvious need to provide this transit service to our members and the public. The operation assistance would expand our obvious need to provide this transit service to our members and the public. By adding two vans we would expect to only maintain, but to improve our ability to provide expanded services to our members and the public.

Although we have a medical facility on our reservation, many individuals who live in outlying rural areas need transportation to access these services. In addition, the services provided at our clinic are limited, and travel is necessary when referred out to Sioux City, or Omaha to receive expanded medical care. As stated previously, job opportunities are severely limited on the reservation, so it is critical that our members have access to employment in other communities such as Sioux City and the surrounding area. There is no grocery store or other retail outlet s within the reservation which can provide healthy alternatives for our members, so it is vital to provide access to food stored and other retail outlet for necessities.

The educational opportunities on our reservation are limited to a community college with narrow degree options and only an associated level degree. This transit enables our tribal members to continue past that level of education or to pursue expanded degree areas not offered locally.

Additional Information

None provided.

Location Description

TTFP funding on the Omaha Indian Reservation. Address location: 544 Skunkhollow Drive, Macy, NE 68039

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 310000 | Nebraska |

Congressional District Information

| State | District | Representative |
|----------|----------|------------------|
| Nebraska | 1 | Jeff Fortenberry |

Program Plan Information

STIP/TIP

Date: Not Provided

Description: N/A

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$436,346 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$436,346 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| NE-2023-026-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$436,346.00 | \$0.00 | \$436,346.00 | 2 |
| NE-2023-026-01-00 | 11.12.15 BUY REPLACEMENT VAN | \$120,000.00 | \$0.00 | \$120,000.00 | 2 |
| NE-2023-026-01-00 | 11.7A.00 PREVENTIVE MAINTENANCE | \$30,000.00 | \$0.00 | \$30,000.00 | 0 |

| | | | | | | |
|-------------------|----------|-------------------------------|--------------|--------|--------------|---|
| NE-2023-026-01-00 | 30.09.01 | UP TO 50% FEDERAL SHARE | \$286,346.00 | \$0.00 | \$286,346.00 | 0 |
|-------------------|----------|-------------------------------|--------------|--------|--------------|---|

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---------------------|--------------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.12.15 | BUY REPLACEMENT VAN | BUY REPLACEMENTS - CAPITAL BUS | 2 |

Extended Budget Description

Purchase replacement vans. The useful miles for the vehicles to be replaced was 4 years, or 100,000 miles. We will use these vehicles for backup vehicles in certain cases, such as if the newer vehicles are getting maintenance. The useful life of the new vehicles are 4 years or 100,000 miles.

Original Grant # - Year - Vehicle Make - Model - VIN - Condition - Mileage - Useful life

2018 Chevy Express Vin# 1GAZGPFJ1209296 - Fair - 234,952 miles, 4 years of useful life

2019 CHEVY EXPRESS Vin# 1GAZGPFJ2K1225882 - Bad engine wear - Mileage 204,569 - 3 Years useful

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$120,000 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$120,000 |

| Milestone Name | Est. Completion Date | Description |
|---------------------|----------------------|-------------------|
| Start Date | 8/15/2023 | Start RFP process |
| Contract Award date | 9/15/2023 | |
| Initial deliver | 9/25/2023 | |
| End Date | 9/27/2023 | |
| Contract Close Date | 9/27/2023 | |

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 0 |

Extended Budget Description

The Tribal Transit funds in the amount of \$306,346.00 are being requested for operating assistance to support OTPT services for the Tribe's service year 10/01/2022 - 09/30/2024.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$286,346 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$286,346 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 7/30/2023 | Estimated date for Funds to be drawn down. |
| End Date | 9/30/2024 | |

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.7A.00 | PREVENTIVE MAINTENANCE | OTHER CAPITAL ITEMS (BUS) | 0 |

Extended Budget Description

Preventative Maintenance is being requested for the OTPT service fleet. It will cover maintenance of the vehicles, excluding fuel, and for mechanic salaries. The FY 2020 10/01/2022 - 09/30/2025.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$30,000 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|-----------------|
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$30,000 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 7/31/2023 | We are putting out a Job advertisement for the OTPT's garage mechanic. We are trying to open up on the weekends for our vehicles to be worked on during this time. Vehicles need to be serviced every week for different purposes. |
| End Date | 9/30/2024 | To keep a vehicle mechanic on hand for our vehicles to be maintained. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|----------|
| Class IIc CE Approved | 8/1/2023 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|-------------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | 0 | \$286,346.00 | \$286,346.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|----------|
| Class IIc CE Approved | 8/1/2023 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|------------------------|----------|-------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.7A.00 | PREVENTIVE MAINTENANCE | 0 | \$30,000.00 | \$30,000.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|----------|
| Class IIc CE Approved | 8/1/2023 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.12.15 | BUY REPLACEMENT VAN | 2 | \$120,000.00 | \$120,000.00 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Logan Daniels

Comment Type DOL Review for Information

Date 8/17/2023

This application is to request Section 5311 Tribal Transit Formula funds apportioned to the Omaha Tribe of Nebraska under UZA: 310000 in Federal FY 2023 (\$436,346).

Comment Activities to be performed:
Buy (2) two Replacement vans: \$100,000.
Preventive Maintenance: \$30,000
Operating Assistance: \$306,346

Application Review Comments

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 8/1/2023

Project Title TTP FY2023 Operating, PM, Van Purchase

Project Number NE-2023-026-01-00

Comment The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 8/1/2023

Project Title TTP FY2023 Operating, PM, Van Purchase

Project Number NE-2023-026-01-00

Comment The proposed preventative maintenance activities on vehicles are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Gerri Doyle

Comment Type Environmental Findings

Date 8/1/2023

Project Title TTP FY2023 Operating, PM, Van Purchase

Project Number NE-2023-026-01-00

Comment The proposed acquisition activity to purchase replacement vehicles is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Application Review Comments

Comment By Marisa Appleton

Comment Type Application Details

Date 8/1/2023

“Except as provided in this section, a public entity operating a demand responsive system for the general public making a solicitation after August 25, 1990, to purchase or lease a new bus or other new vehicle for use on the system, shall ensure that the vehicle is readily accessible to and usable by individuals with disabilities, including individuals who use wheelchairs” (§ 37.77(a)).

“If the system, when viewed in its entirety, provides a level of service to individuals with disabilities, including individuals who use wheelchairs, equivalent to the level of service it provides to individuals without disabilities, it may purchase new vehicles that are not readily accessible to and usable by individuals with disabilities” (§ 37.77(b)).

Comment “A public entity receiving FTA funds under 49 U.S.C. 5311 or a public entity in a small urbanized area which receives FTA funds under 49 U.S.C. 5307 from a state administering agency rather than directly from FTA, which determines that its service to individuals with disabilities is equivalent to that provided other persons shall, before any procurement of an inaccessible vehicle, file with the appropriate state program office a certificate that it provides equivalent service meeting the standards of paragraph (c) of this section. Public entities operating demand responsive service receiving funds under any other section of the [Federal Transit Act] shall file the certificate with the appropriate FTA regional office. A public entity which does not receive FTA funds shall make such a certificate and retain it in its files, subject to inspection on request of FTA. All certificates under this paragraph may be made and filed in connection with a particular procurement or in advance of a procurement; however, no certificate shall be valid for more than one year” (§ 37.77(d)).

Comment By Marisa Appleton

Comment Type Application Details

Date 8/1/2023

Comment Transit Vehicle Manufacturers (TVM) must have an approved FTA DBE Goal prior to bidding on contract. For a current listing, see <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>. Recipient must ensure that all vehicles purchased are accessible and purchased from an FTA approved TVM.