

## Application

<b>Federal Award Identification Number (FAIN)</b>	IA-2022-036-01
<b>Temporary Application Number</b>	1812-2022-8
<b>Award Name</b>	5311 Flex Project from Nebraska for Region 4
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	9/1/2022	
<b>Original Period of Performance End Date</b>	6/30/2027	
<b>Current Period of Performance End Date</b>	6/30/2027	Revision #: 0

### Part 1: Recipient Information

**Name: TRANSPORTATION, IOWA DEPT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	VAL7N8VS7L99	120527275

Location Type	Address	City	State	Zip
Headquarters	800 LINCOLN WAY	AMES	IA	500106915
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

### Union Information

There are no union contacts for this application

### Part 2: Application Information

## Title: 5311 Flex Project from Nebraska for Region 4

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
IA-2022-036-01	Final Concurrence / Reservation	Grant	Region 7	8/10/2023	8/10/2023	No

### Application Executive Summary

FY2023 Grant Amendment 1 accommodates transfer to the Iowa DOT of \$300,100 in 5311 funding allocated to Nebraska DOT. The funds are flexed to Siouxland Regional Transit System (SRTS--Region 4) for the purpose of providing rural area service in Nebraska.

FTA 5311 Funds: \$300,100  
Local Funds: \$300,100  
Total Project Cost: \$600,200

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The Iowa DOT is applying for \$283,419 in FFY21 5311 funding allocated to Nebraska DOT. The funds are flexed to Siouxland Regional Transit System (SRTS--Region 4) for the purpose of expanding rural area service in Nebraska.

### FUNDING SUMMARY:

This grant application is for \$283,419 in FFY21 5311 funding flexed to Siouxland Regional Transit System (SRTS--Region 4) for service expansion into rural Nebraska areas.

FTA 5311 Funds: \$283,419  
Local Funds: \$283,419  
Total Project Cost: \$566,838

### EXPECTED OUTCOMES:

The new expanded service provide reliable, on-time and safe services in the Dakota City area of Nebraska.

### INTENDED BENEFICIARIES:

This project will benefit rural area residents as well as general population transit riders in the Iowa/ Nebraska region.

**PROJECT LOCATION:** This service will be serve populations in the Dakota County, Nebraska area

### SUBRECIPIENT ACTIVITIES:

Service expansion will be provided for the rural residents in Nebraska

Subrecipient contact information and service area and type of service are attached.

All activities under this application are categorical exclusions under NEPA.

Local funding comes from general fund levies derived primarily from farebox and contract revenue.

The transit system has certified that local share for their project is available.

**Certification and Assurances:** Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials. This documentation is on file at the DOT and is available for FTA inspection at any time.

**Coordination:** The Iowa DOT requires all transit projects to be included in the Passenger Transportation Plans.

**Public Involvement:** Iowa requires a public hearing for each consolidated transit funding application. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

Program of Projects attached

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

FY2023 Grant Amendment 1 accommodates transfer to the Iowa DOT of \$300,100 in FY 21 5311 funding allocated to Nebraska DOT. The funds are flexed to Siouxland Regional Transit System (SRTS--Region 4) for the purpose of providing rural area service in Nebraska.

**Activities to be performed:**

Siouxland Regional Transit System (SRTS--Region 4) will expand rural public transit service in the Dakota City area of Nebraska.

**Expected outcomes:**

The expanded service will provide reliable, on-time and safe services in the Dakota City area of Nebraska

**Intended beneficiaries:**

This project will benefit rural area residents as well as general population transit riders in the Iowa/Nebraska region.

**Subrecipient Activities:**

Services will be provided for the rural residents in Nebraska

Subrecipient contact information and service area and type of service are attached.

All activities under this application are categorical exclusions under NEPA.

Local funding comes from general fund levies derived primarily from farebox and contract revenue.

The transit system has certified that local share for their project is available.

### Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Brent	Paulsen	Program Manager	brent.paulsen@iowadot.us	(515) 239-1132

### Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$583,519
Local			\$583,519
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,167,038</b>

### Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2022-036-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$283,419.00	\$283,419.00	\$566,838.00	0
IA-2022-036-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$283,419.00	\$283,419.00	\$566,838.00	0
IA-2022-036-02-01	300-00 (300-A2) OPERATING ASSISTANCE	\$300,100.00	\$300,100.00	\$600,200.00	0
IA-2022-036-02-01	30.09.01 UP TO 50% FEDERAL SHARE	\$300,100.00	\$300,100.00	\$600,200.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

<b>Project Title: 5311 Flex Project from Nebraska for Region 4</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2022-036-01-01	1812-2022-8-P1	8/10/2023	7/1/2022	9/30/2026

### Project Description

The Iowa DOT is applying for \$283,419 in FFY21 5311 funding allocated to Nebraska DOT. The funds are flexed to Siouxland Regional Transit System (SRTS--Region 4) for for the purpose of expanding rural area service in Nebraska.

### FUNDING SUMMARY:

This grant application is for \$283,419 in FFY21 5311 funding flexed to Siouxland Regional Transit System (SRTS--Region 4) for service expansion into rural Nebraska areas.

FTA 5311 Funds: \$283,419

Local Funds: \$283,419

Total Project Cost: \$566,838

### EXPECTED OUTCOMES:

The new expanded service provide reliable, on-time and safe services in the Dakota City area of Nebraska.

### INTENDED BENEFICIARIES:

This project will benefit rural area residents as well as general population transit riders in the Iowa/ Nebraska region.

PROJECT LOCATION: This service with be expand service to the populations in the Dakota County, Nebraska area

### SUBRECIPIENT ACTIVITIES:

Services will be provided for the rural residents in Nebraska

Subrecipient contact information and service area and type of service are attached.

All activities under this application are categorical exclusions under NEPA.

Local funding comes from general fund levies derived primarily from farebox and contract revenue.

The transit system has certified that local share for their project is available.

Certification and Assurances: Individual subrecipient Certifications and Assurances are a required part of the subrecipients consolidated funding application materials. This documentation is on file at the DOT and is available for FTA inspection at any time.

Coordination: The Iowa DOT requires all transit projects to be included in the Passenger Transportation Plans.

Public Involvement: Iowa requires a public hearing for each consolidated transit funding application. The public hearing documentation is maintained in the subrecipients application files and is available for FTA inspection at any time.

Program of Projects attached

### Project Benefits

This project will benefit rural area residents as well as general population transit riders in the Iowa/ Nebraska region.

### Additional Information

*None provided.*

### Location Description

Iowa and Nebraska service

## Project Location (Urbanized Areas)

UZA Code	Area Name
190000	Iowa
460001	South Dakota
190001	Iowa

## Congressional District Information

State	District	Representative
Iowa	4	Randy Feenstra

## Program Plan Information

### STIP/TIP

Date: 10/1/2022

Description: In FY23 draft TIP -- TPMS #10207

Online TPMS system available at <https://www.tpms.org/>

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$283,419
Local			\$283,419
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$566,838</b>

## Project Budget

Project Number	Budget Item	FTA	Non-FTA	Total	Quantity
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			Amount	Amount	Eligible Amount	
IA-2022-036-01-01	300-00 (300-A1)	OPERATING ASSISTANCE	\$283,419.00	\$283,419.00	\$566,838.00	0
IA-2022-036-01-01		UP TO 50% 30.09.01 FEDERAL SHARE	\$283,419.00	\$283,419.00	\$566,838.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

This project is for transferred 5311 funds from Nebraska for Iowa DOT for service expansion into Dakota County, NE.

Year 1 5311 funding: \$283,419; Local \$283,419; Total \$566,838.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$283,419
Local			\$283,419
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$566,838</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2022	Operating service expansion to Dakota County, Nebraska
End Date	9/30/2026	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/24/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$283,419.00	\$566,838.00

**Project Title: Amendment #1 - UP TO 50% FEDERAL SHARE**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2022-036-02-01	IA-2022-036-01-P2	8/23/2023	7/1/2023	9/30/2027

**Project Description**

FY2023 Grant Amendment 1 accommodates transfer to the Iowa DOT of \$300,100 in 5311 funding allocated to Nebraska DOT. The funds are flexed to Siouxland Regional Transit System (SRTS--Region 4) for the purpose of providing rural area service in Nebraska.

**Project Benefits**

This project will benefit rural area residents as well as general population transit riders in the Iowa/Nebraska region.

**Additional Information**

None provided.

**Location Description**

Tri-State Sioux City area

**Project Location (Urbanized Areas)**

UZA Code	Area Name
190000	Iowa
191870	Sioux City, IA-NE-SD
311870	Sioux City, IA-NE-SD



461870

Sioux City, IA-NE-SD

## Congressional District Information

State	District	Representative
Iowa	4	Randy Feenstra

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: Date: 10/1/2022

Description: In FY23 draft TIP -- TPMS #10207

Online TPMS system available at <https://www.tpms.org/>

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,100
Local			\$300,100
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$600,200</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2022-036-02-01	300-00 OPERATING ASSISTANCE (300-	\$300,100.00	\$300,100.00	\$600,200.00	0

	A2)						
IA-2022-036-02-01		30.09.01	UP TO 50% FEDERAL SHARE	\$300,100.00	\$300,100.00	\$600,200.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

This project is for transferred 5311 funds from Nebraska for Iowa DOT for delivery of service into Dakota County, NE.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$300,100
Local			\$300,100
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$600,200</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	
End Date	9/30/2027	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/24/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$300,100.00	\$600,200.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Jeremiah Shuler

Comment Type	DOL Review for Information
Date	8/29/2023
Comment	FY2023 Grant Amendment 1 accommodates transfer to the Iowa DOT of \$300,100 in FY 21 5311 funding allocated to Nebraska DOT. The funds are flexed to Siouxland Regional Transit System (SRTS--Region 4) for the purpose of providing rural area service in Nebraska.

### Application Review Comments

**Comment By** Jeremiah Shuler

Comment Type	Pre-Award Manager Returns Application
Date	8/10/2023
Comment	Please create a different scope for this new project (Funds are from different transportation bills) and note it funds are for providing rural service in the Tri-state Sioux City area.

**Comment By** Jeremiah Shuler

Comment Type	Pre-Award Manager Returns Application
Date	8/17/2023
Comment	Please add the new funds as its own project in the application budget. It looks like the old project is labeled amendment #1

## Application Review Comments

### Comment By Gerri Doyle

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Comment Type	Environmental Findings
Date	8/24/2023
Project Title	5311 Flex Project from Nebraska for Region 4
Project Number	IA-2022-036-01-01
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

### Comment By Gerri Doyle

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Comment Type	Environmental Findings
Date	8/24/2023
Project Title	Amendment #1 - UP TO 50% FEDERAL SHARE
Project Number	IA-2022-036-02-01
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).