

Application

Federal Award Identification Number (FAIN)	CA-2023-203-00
Temporary Application Number	1622-2022-5
Award Name	5311 CMAQ Transfer (FFY 14 & 22) (Calif ID# 0022000357)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2027
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	9/30/2027

Part 1: Recipient Information

Name: CALIFORNIA, DEPARTMENT OF TRANSPORTATION

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1622	State Agency	California DOT (Caltrans) Division of Rail and Mass Transportation (DRMT)	EHEGWCLJEVJ4	135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N ST	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 CMAQ Transfer (FFY 14 & 22) (Calif ID# 0022000357)

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
CA-2023-203-00	Final Concurrence / Reservation	Grant	Region 9	3/28/2022	3/28/2022	No

Application Executive Summary

This application is to request CMAQ funds apportioned to the State of California in the amount of \$2,005,536. The funds are apportioned from FFY 2014 in the amount of \$175,575 and from FFY 2022 in the amount of \$1,829,961. These FHWA funds have been transferred to FTA for administration under Section 5311 guidance.

The total project costs for this application are as follows:

Federal Funds (CMAQ transferred to 5311):

FFY 2014: \$175,575, including \$20,138 in Transportation Development Credits

FFY 2022: \$1,829,961 including \$161,262 in Transportation Development Credits

Local Share in the amount of \$54,942

Total Projects Cost: \$2,060,478

Pursuant to Section 120(b)(2) of Title 23 USC, California is eligible for increased Federal Share rates of 88.53% for CMAQ funds. The required signed agreement between the Department and FHWA is saved in the Recipient Documents section of TrAMS.

Due to the use of Transportation Development Credits in the amount of \$181,400 that are being requested in lieu of Local Share in this application, the effective Federal Share for projects to be funded by this award will differ from the usual rates.

The Program of Projects (POP) showing project details is attached as a PDF document in TrAMS.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of the award is to provide a total of six (6) replacement transit vehicles to Morongo Basin Transit Authority (MBTA), City of Ojai, and Mountain Area Regional Transit Authority (MARTA).

Activities to be performed:

Funds will cover the cost of the new vehicles.

Expected outcomes:

Funding will allow for the timely replacement of seven vehicles, thus allowing continued transit service without interruption.

Intended beneficiaries:

California residents within the rural areas of San Bernardino and Ventura Counties in the State of California.

Subrecipient Activities:

The following subrecipients will conduct procurement activities and receive vehicles under this grant: Morongo Basin Transit Authority, City of Ojai and Mountain Area Regional Transit Authority.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Amitra	Mamdouhi	Transportation Program Specialist	Amitra.Mamdouhi@dot.gov	(415) 734-9453
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$2,005,536
Local			\$54,942
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$181,400
Adjustment		\$0
Total Eligible Cost		\$2,060,478

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-203-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$175,575.00	\$0.00	\$175,575.00	1
CA-2023-203-01-00	11.12.03 Replace 30-ft Bus CNG - 11.12.03 (MBTA)	\$175,575.00	\$0.00	\$175,575.00	1
CA-2023-203-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$424,000.00	\$54,942.00	\$478,942.00	1
CA-2023-203-02-00	11.12.03 Replace 30-foot Bus ELC - 11.12.03 (Ojai)	\$424,000.00	\$54,942.00	\$478,942.00	1
CA-2023-203-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$1,405,961.00	\$0.00	\$1,405,961.00	4
CA-2023-203-03-00	11.12.03 Replace 30-ft Bus GAS - MARTA	\$1,405,961.00	\$0.00	\$1,405,961.00	4

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Replacement Bus - Morongo Basin Transit Authority

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2023-203-01-00	1622-2022-5-P1	6/14/2022	7/1/2023	6/30/2026

Project Description

This project provides funding for vehicle purchase activities by Morongo Basin Transit Authority as follows:

FHWA CMAQ funds flexed to FTA 5311 (Recipient 6235(022) FHWA CAT-22-065 Program Code M400)

FFY 2014 CMAQ (5311): \$175,575, which includes \$20,138 in Transportation Development Credits in lieu of required matching funds

Local Share \$0

Total Project Cost: \$175,575

Project Benefits

Assist transportation provider that provides transit to rural area.

Additional Information

None provided.

Location Description

Morongo Basin Transit Authority service area within San Bernardino County, California.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	23	Jay Obernolte

Program Plan Information

STIP/TIP

Date: 12/16/2022

Description: The FTIP number is 20130601. FTIP document is attached, and the project is on page 333.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$175,575

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$20,138
Adjustment			\$0
Total Eligible Cost			\$175,575

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-203-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$175,575.00	\$0.00	\$175,575.00	1
CA-2023-203-01-00	11.12.03 Replace 30-ft Bus CNG - 11.12.03 (MBTA)	\$175,575.00	\$0.00	\$175,575.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.03 - Replace 30-ft Bus CNG - 11.12.03 (MBTA)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Morongo Basin Transit Authority will purchase one (1) 30-foot CNG-powered replacement bus.

Federal Share CMAQ (FFY 2014): \$175,575 (Recipient 6235(022) FHWA CAT-22-065 Program Code M400)

Includes \$20,138 Transportation Development Credits in lieu of Local Share

Local Share: \$0

Total Project Cost: \$175,575

Federal/Non-Federal Match Ratio (including TDC) = 88.53%/11.47%

Replacement vehicle will meet the Clean Air Act standards (CAA) and the Americans with Disabilities Act (ADA) requirements. Vehicles being replaced have met their useful life and will be disposed of in accordance with Federal requirements and Caltrans State Management Plan procedures.

Vehicle being replaced:

VIN 1FDGF5GY8GEC07010; Miles 155,356 (UL 200,000); Age (in Years) 6 years, 1 month (UL 7 years)
 This vehicle will have exceeded FTA mileage life and will exceed FTA service life years by delivery, which will be 18 to 24 months from date of the order.

Expected useful life for the new vehicle is 7 years or 200,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$175,575
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$20,138
Adjustment			\$0
Total Eligible Cost			\$175,575

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue	7/1/2023	
Contract Award	10/1/2023	
Initial Delivery	3/31/2025	
Final Delivery	3/31/2025	
Contract Complete	6/30/2026	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	Replace 30-ft Bus CNG - 11.12.03 (MBTA)	1	\$175,575.00	\$175,575.00

Project Title: Replacement Vehicle - City of Ojai

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2023-203-02-00	1622-2022-5-P2	1/27/2023	7/1/2023	6/30/2026

Project Description

This project provides funding for vehicle purchase activities by City of Ojai as follows:

FHWA CMAQ funds flexed to FTA 5311 (Recipient 5251(028) FHWA CAT-22-060 Program Code Y003)

FFY 2022 CMAQ (5311): \$424,000

Local Share: \$54,942

Total Project Cost: \$478,942

Project Benefits

Assist transportation provider that provides transit to rural area.

Additional Information

None provided.

Location Description

Ojai Valley area within Ventura County in the State of California.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	26	Julia Brownley
California	24	Salud Carbajal

Program Plan Information

STIP/TIP

Date: 12/16/2022

Description: The FTIP number is VEN210602. The project is on page 555.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$424,000
Local			\$54,942
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$478,942

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-203-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$424,000.00	\$54,942.00	\$478,942.00	1
CA-2023-203-02-00	11.12.03 ELC - 11.12.03 (Ojai) Replace 30-foot Bus	\$424,000.00	\$54,942.00	\$478,942.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.03 - Replace 30-foot Bus ELC - 11.12.03 (Ojai)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM	11.12.03	BUY REPLACEMENT	BUY REPLACEMENTS -	1

COSTS (600-00)

30-FT BUS

CAPITAL BUS

Extended Budget Description

City of Ojai will purchase one (1) 30-foot electric-powered replacement bus.

FHWA CMAQ funds flexed to FTA 5311 (Recipient 5251(028) FHWA CAT-22-060 Program Code Y003)

FFY 2022 CMAQ 5311: \$424,000

Local Share: \$54,942

Total Project Cost: \$478,942

Federal/Non-Federal Match Ratio (including TDC) = 88.53%/11.47%

Replacement vehicle will meet the Clean Air Act standards (CAA) and the Americans with Disabilities Act (ADA) requirements. Vehicles being replaced have met their useful life and will be disposed of in accordance with Federal requirements and Caltrans State Management Plan procedures.

Vehicle being replaced:

VIN 1F66F5DY4B0A04676; Miles 195,901 (UL 200,000); Age (in Years) 12 years, 5 months (UL 7 years)

Expected useful life for the new vehicle is 7 years or 200,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$424,000
Local			\$54,942
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$478,942

Milestone Name	Est. Completion Date	Description
Issue RFP/IFB	7/1/2023	
Contract Award	10/1/2023	
Initial Delivery	6/30/2025	
Final Delivery	6/30/2025	
Contract Complete	6/30/2026	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	Replace 30-foot Bus ELC - 11.12.03 (Ojai)	1	\$424,000.00	\$478,942.00

Project Title: Replacement Buses - Mountain Area Regional Transit Authority

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2023-203-03-00	1622-2022-5-P3	1/27/2023	3/1/2024	6/30/2027

Project Description

This project provides funding for vehicle purchase activities by Mountain Area Regional Transit Authority as follows:

FHWA CMAQ funds flexed to FTA 5311 (Recipient 6292(001) FHWA CAT-22-066 Program Code Y400)

FFY 2022 CMAQ (5311): \$1,405,961, including \$161,262 Transportation Development Credits

Local Share: \$0

Total Project Cost: \$1,405,961

Project Benefits

Assist transportation provider that provides transit to rural area.

Additional Information

None provided.

Location Description

San Bernardino mountain area of San Bernardino County in State of California.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	23	Jay Obernolte

Program Plan Information

STIP/TIP

Date: 12/16/2022

Description: The FTIP number is 200423. FTIP document is attached, and the project is on page 334.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,405,961
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$161,262
Adjustment			\$0
Total Eligible Cost			\$1,405,961

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-203-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$1,405,961.00	\$0.00	\$1,405,961.00	4
CA-2023-203-03-00	11.12.03 Replace 30-ft Bus GAS - MARTA	\$1,405,961.00	\$0.00	\$1,405,961.00	4

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.03 - Replace 30-ft Bus GAS - MARTA
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	4

Extended Budget Description

Mountain Area Regional Transit Authority will purchase four (4) 30-foot gasoline-powered trolley-style replacement buses.

FHWA CMAQ funds flexed to FTA 5311 (Recipient 6292(001) FHWA CAT-22-066 Program Code Y400) FFY 2022 CMAQ (5311): \$1,405,961, including \$161,262 TDC in lieu of Local Share
 Local Share: \$0
 Total Project Cost: \$1,405,961

Federal/Non-Federal Match Ratio (including TDC) = 88.53%/11.47%

Replacement vehicles will meet the Clean Air Act standards (CAA) and the Americans with Disabilities Act (ADA) requirements. Vehicles being replaced have met their useful life and will be disposed of in accordance with Federal requirements and Caltrans State Management Plan procedures.

Vehicles being replaced:

VIN 1FDGF5GY8GEA75107; Miles 200,001 (UL 200,000); Age 6 years, 1 month (UL 7 years)
 VIN 1FDGF5GY1GEA75109; Miles 229,774 (UL 200,000); Age 6 years, 10 months (UL 7 years)
 VIN 1FDGF5GY8GEB45849; Miles 217,793 (UL 200,000); Age 6 years, 9 months (UL 7 years)
 VIN 1FDGF5GY0GEB34568; Miles 212,726 (UL 200,000); Age 6 years, 9 months (UL 7 years)
 These vehicles were purchased using State bond funds appropriated to the Public Transportation Modernization, Improvement, and Service Enhancement Account by Proposition 1B.

Expected useful life for the new vehicles is 7 years or 200,000 miles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,405,961
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$161,262
Adjustment			\$0
Total Eligible Cost			\$1,405,961

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue	3/1/2024	
Contract Award	6/15/2024	
Initial Delivery	3/31/2025	
Final Delivery	6/30/2027	
Contract Complete	6/30/2027	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	Replace 30-ft Bus GAS - MARTA	4	\$1,405,961.00	\$1,405,961.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Amitra Mamdouhi**

Comment Type DOL Review for Information

Date 8/21/2023

Comment

Application Review Comments

Comment By Jean Mazur

Comment Type Pre-Award Manager Returns Application

Date 4/2/2023

Comment Comments sent via email.

Comment By Jean Mazur

Comment Type Pre-Award Manager Returns Application

Date 5/10/2023

Comment Returning to funding can be updated per email exchange.

Comment By Jean Mazur

Comment Type Pre-Award Manager Returns Application

Date 6/15/2023

Comment Comments sent via email.