

## Application

<b>Federal Award Identification Number (FAIN)</b>	CA-2023-165-00
<b>Temporary Application Number</b>	6738-2022-1
<b>Award Name</b>	Yurok Tribe, FY2021 5311 Tribal Transit Formula ARP Acts, Operating assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2024
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	9/30/2024

### Part 1: Recipient Information

**Name: YUROK TRIBE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6738	Indian Tribe	Yurok Tribe	MPW4FLVA9JJ5	622970366

Location Type	Address	City	State	Zip
Headquarters	190 KLAMATH BLVD	KLAMATH	CA	95548
Physical Address	190 KLAMATH BLVD	KLAMATH	CA	95548
Mailing Address	POST OFFICE BOX 1027	KLAMATH	CA	95548

### Union Information

There are no union contacts for this application

## Part 2: Application Information

**Title: Yurok Tribe, FY2021 5311 Tribal Transit Formula ARP Acts, Operating assistance**

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
CA-2023-165-00	Final Concurrence / Reservation	Grant	Region 9	4/5/2022	4/5/2022	No

### Application Executive Summary

This application is to request FY2021 Section 5311 Tribal Transit Formula ARP Acts fund apportioned to the Yurok Tribe, California, in the amount of \$54,813. This application utilizes ARP Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$54,813 in Section 5311 ARPA ACTS Tribal Formula for Yurok Tribe to support operating for the for the period of 10/1/2022 through 9/30/2024.

Yurok Tribe agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement provided by FEMA, another Federal agency, or an insurance company, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding.

10% De minimis indirect cost rate will apply to Operating Assistance in the amount of \$54,813 with breakdown info listed below.

\$47,317.59 Salaries and Fringe

\$5,000.00 Repair and Maintenance

\$2,495.41 Fuel

base \$54,813 x 10% De minimis = \$5,481 (estimate indirect cost amount \$5,481)

This rate will apply from 10/22/22 to 09/30/23 & data will update on annual FFR.

Federal Funds Operating Assistance: FY 2021 5311 Tribal Transit Formula ARP Acts funds apportioned to the Yurok Tribe, California \$54,813

Local Match: No Local share/Local Sales Tax

Total Project Cost: \$54,813

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

The de minimus 10% indirect cost rate is applied to this application.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The purpose of this application is to assist with operational funds for upgrading, reclassifying and retaining transit employees. Operational funds will include expenses needed for fuel costs for the remaining year of FY22 & FY23. Lastly, operational funds will be used for administration supplies/equipment for the transit facility and assistance with the transit administration's operations.

**Activities to be performed:**

The activities that will be performed is to utilize towards staff wages, fuel, maintenance costs and items having a useless life of less than one year.

**Expected outcomes:**

The outcomes of having this grant application approved will be to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The inflated fuel rates have tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$4.61 a gallon in 2021 to \$6.59 a gallon in 2022.

**Intended beneficiaries:**

The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The communities of Klamath townsite, Klamath Glen, Requa Hill, Hunter Creek, connects to Crescent City and Humboldt County to include Weitchpec, Martins Ferry, Kenek, Cappel, Notchko, Mettah, Pecwan, Wautec, and Hoopa will benefit from transit services for access to health care and basic needs.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
------------	-----------	-------	----------------	-------

Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Melissa	Miguelena	Transit Manager	mmiguelena@yuroktribe.nsn.us	(707) 482-1350 1433

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$54,813
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,813</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-165-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$54,813.00	\$0.00	\$54,813.00	1
CA-2023-165-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$54,813.00	\$0.00	\$54,813.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY21 Tribal Transit ARP Act, Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2023-165-01-00	6738-2022-1-P1	4/5/2022	10/1/2022	9/30/2024

### Project Description

Section 5311 (c)(1) ARP Operating Assistance from the period of October 2022 through September 2024

**Project Benefits**

Immediate operating assistance to sustain operations from ARP

**Additional Information**

*None provided.*

**Location Description**

The Yurok Reservation is located in a mountainous river gorge with geographical boundaries extending one mile on both sides of the Klamath River. The reservation spans from the confluence of the Trinity and Klamath Rivers at Weitchpec approximately 48 miles to Requa at the mouth of the river that empties into the Pacific Ocean.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
060000	California

**Congressional District Information**

State	District	Representative
California	1	Doug LaMalfa

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$54,813
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$54,813</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-165-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$54,813.00	\$0.00	\$54,813.00	1
CA-2023-165-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$54,813.00	\$0.00	\$54,813.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Operating assistance from ARP at 100%

10% De minimis indirect cost rate will apply to Operating Assistance in the amount of \$54,813 with breakdown info listed below.

\$47,317.59 Salaries and Fringe

\$5,000.00 Repair and Maintenance

\$2,495.41 Fuel

base \$54,813 x 10% De minimis = \$5,481 (estimate indirect cost amount \$5,481)

This rate will apply from 10/22/22 to 09/30/23 & data will update on annual FFR.

Federal Funds Operating Assistance: FY 2021 5311 Tribal Transit Formula ARP Acts funds apportioned to the Yurok Tribe, California \$54,813

Local Match: No Local share/Local Sales Tax

Total Project Cost: \$54,813

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
----------------	--------------------	-------------	--------

5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$54,813
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$54,813</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Begin Service
End Date	9/30/2024	End of operational service

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/5/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$54,813.00	\$54,813.00

## Part 4: Fleet Details

No fleet data exists for this application.

# Part 5: FTA Review Comments

## FTA Comments for DOL

**Comment By** Phil Yue

---

Comment Type DOL Review for Information

Date 8/2/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.

## Application Review Comments

**Comment By** Phil Yue

---

Comment Type Pre-Award Manager Returns Application

Date 4/5/2022

1) Please change your application name to: Yurok Tribe, FFY2021 5311( c )(2) ARPA Act Tribal Formula, Operating assistance & PM  
2) Your hardcopies of FY2020 C&A does not match what you selected. If you checked all categories, then you should uncheck category 3. Please make the changes and upload the revised hardcopies C&A on recipient document  
3) You cannot claim the indirect cost rate if your indirect cost plan already expired. Please check no on indirect cost section.  
4) Please upload a letter (recipient document) from your lawyer explain why he cannot pin the certification and assurance on TRAMs (must be reasonable and valid). (note: this is new policy in order to qualify for new application)  
5) On executive summary, please add the following:  
Scope 1 Operating, Federal Funds: FY 2021 5311 ( c ) (2) ARPA ACT Tribal Formula, Yurok Tribe, California \$enter amount  
Local Match: No Local share/Local Sales Tax  
Total Project Cost: \$enter amount

Comment Scope 2 Capital, Preventative Maintenance, Federal Funds: FY 2021 5311 ( c ) (2) ARPA Act Tribal Formula, Yurok Tribe, California \$enter amount  
Local Match: No Local share/Local Sales Tax  
Total Project Cost: \$enter amount

6) On your budget, please add a new activity, preventative maintenance  
7) On executive summary, please change your first sentence to: This application is to request FY2021 Section 5311 ( c ) (2) ARPA ACTS Tribal Formula fund apportioned to the Yurok Tribe, California in the amount of \$enter the amount. This application utilizes ARPA Act funding to prevent, prepare for, and respond to coronavirus. This grant applies for \$enter the amount in Section 5311 ARPA ACTS Tribal Formula for Yurok Tribe to support operating and capital costs for the for the period of (enter start date) through (enter the end date).  
8) On executive summary, Please enter the statement on Intended beneficiaries and Expected outcomes for receiving this fund  
9) On executive summary, please enter this statement, (enter tribe name) agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and



Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement provided by FEMA, another Federal agency, or an insurance company, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding.

10) Executive summary, please enter this statement, (enter tribe name) has not furloughed any employees to use CARES funding for other purposes. There are no sub-recipient activities applied on this grant

11) Please enter yes on 3rd party contractor

12) Please add a new environmental finding for the preventative maintenance

13) Please enter the environmental finding approved date.

14) Your project description, project benefit and additional information are lack of information. Please provide more detail.

**Comment By Phil Yue**

---

Comment Type Pre-Award Manager Returns Application

Date 6/30/2022

The executive summary is incomplete, please enter the amount, the start and end date of the covering period, your tribe name. It is missing the required 5 elements and the Federal funding/scope information for Preventative Maintenance

2) On executive summary, please provide the breakdown of your operating assistance.

3) On C&A hardcopies, Dawn Baum and Maggie Poffenbargger name are not showing on opinion of counsel. They will need to complete and upload the opinion of counsel form on recipient document. Also, her designation of signature authority form is not worked as opinion of counsel form.

4) Your C&A hardcopies do not agree with the category selection. If you checked all categories, why category number 3 and number 12 unchecked? Please adjust.

Comment 5) You cannot claim the indirect cost on your application until you have the indirect cost plan that cover the current period attached on recipient document.

6) The project description and project benefits are lack of info, please provide more details.

7) Does this project contain PM (preventative maintenance) if it is true, under budget, please add a new activity, milestone and environmental finding for this item. If not, please remove PM on your application name.

8) On extended project description, please enter the federal funding/scope/activity information. Please also indicate the breakdown of the operating assistance cost. If it related to supplies, please check yes on 3rd party contractor

9) On environmental finding, please enter the approved date.

**Comment By Phil Yue**

---

Comment Type Pre-Award Manager Returns Application

Date 5/30/2023

Comment FTA review is not completed, return to grantee.