

Application

Federal Award Identification Number (FAIN)	CA-2023-164-00
Temporary Application Number	6738-2021-2
Award Name	Yurok Tribe, FY2021 5311 Tribal Transit Formula, Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	9/30/2024

Part 1: Recipient Information

Name: Yurok Tribe

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6738	Indian Tribe	Yurok Tribe		622970366

Location Type	Address	City	State	Zip
Headquarters	190 KLAMATH BLVD	KLAMATH	CA	95548
Physical Address	190 KLAMATH BLVD	KLAMATH	CA	95548
Mailing Address	POST OFFICE BOX 1027	KLAMATH	CA	95548

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Yurok Tribe, FY2021 5311 Tribal Transit Formula, Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
CA-2023-164-00	Final Concurrence / Reservation	Grant	Region 9	1/21/2021	1/21/2021	No

Application Executive Summary

This application is to request FY2021 5311 Tribal Transit Formula funds apportioned to Yurok Tribe, California in the amount of \$56,214.

The grant will provide more resilient transportation for community residents and will greatly improve access to education, job training, employment, health care, government, business and shopping. The quality of life for community residents will be greatly improved with better access to education, job training, employment, health care, government, business and shopping opportunities. The ADA compliant transit service will increase Elderly and Disabled persons mobility.

10% De minimis indirect cost rate will apply to Operating Assistance in the amount of 56,214 with breakdown cost listed below.

Transit Staff wages and Fringe \$46,246

Supplies \$8,500

Fuel \$1,468

base \$56,214 x 10% De minimis = \$5,621 (estimate indirect cost amount \$5,621)

This rate will apply from 10/22/22 to 09/30/23 & data will update on annual FFR.

Federal Funds, Operating Assistance, FY 2021 5311 Tribal Transit Formula funds apportioned to Yurok Tribe, California the amount of \$56,214.

Local Match: No Local share/Local Sales Tax

Total Project Cost: \$56,214

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

The de minimus 10% indirect cost rate is applied to this application.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this application is to assist with operational expense to be used towards our demand responsive route service.

Activities to be performed:

Operating our Demand Response Service to our community

Expected outcomes:

The quality of life for community residents will be greatly improved with better access to education, job training, employment, health care, government, business and shopping opportunities. The ADA compliant transit service will increase Elderly and Disabled persons mobility.

Intended beneficiaries:

Klamath service area to include the Klamath townsite, Klamath Glen, Requa Hill, Hunter Creek, connects to Crescent City and Humboldt County to include Weitchpec, Martins Ferry, Kenek, Cappel, Notchko, Mettah, Pecwan, Wautec, and Hoopa.

Subrecipient Activities:

None.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Melissa	Miguelena	Transit Manager	mmiguelena@yuroktribe.nsn.us	(707) 482-1350 1433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$56,214
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$56,214

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-164-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$56,214.00	\$0.00	\$56,214.00	1
CA-2023-164-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$56,214.00	\$0.00	\$56,214.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Yurok Tribe FY2021 Tribal Formula, operating assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2023-164-01-00	6738-2021-2-P1	4/29/2021	10/1/2022	9/30/2024

Project Description

Yurok Tribal Transit Service (YTTS). This application includes \$56,214 in FY 21 Section 5311 funding for operational expenses for our demand responsive route service in the Klamath service area to include the Klamath townsite, Klamath Glen, Requa Hill, Hunter Creek, connects to Crescent City and Humboldt County to include Weitchpec, Martins Ferry, Kenek, Cappel, Notchko, Mettah, Pecwan, Wautec, and Hoopa. This funding will be utilized for staff wages, supplies, and fuel costs.

Project Benefits

The grant will provide more resilient transportation for community residents and will greatly improve access to education, job training, employment, health care, government, business and shopping

Additional Information

The quality of life for community residents will be greatly improved with better access to education, job training, employment, health care, government, business and shopping opportunities. The ADA compliant transit service will increase Elderly and Disabled persons mobility

Location Description

The Yurok Reservation is located in a mountainous river gorge with geographical boundaries extending one mile on both sides of the Klamath River. The reservation spans from the confluence of the Trinity and Klamath Rivers at Weitchpec approximately 48 miles to Requa at the mouth of the river that empties into the Pacific Ocean.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$56,214
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$56,214

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2023-164-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$56,214.00	\$0.00	\$56,214.00	1
CA-2023-164-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$56,214.00	\$0.00	\$56,214.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

Current transit staff will be performing all operating functions.

10% De minimis indirect cost rate will apply to Operating Assistance in the amount of \$56,214 with breakdown cost listed below.

Transit Staff wages and Fringe \$46,246

Supplies \$8,500

Fuel \$1,468

base \$56,214 x 10% De minimis = \$5,621 (estimate indirect cost amount \$5,621)

This rate will apply from 10/22/22 to 09/30/23 & data will update on annual FFR.

Federal Funds, Operating Assistance, FY 2021 5311 Tribal Transit Formula funds apportioned to Yurok Tribe, California the amount of \$56,214.

Local Match: No Local share/Local Sales Tax

Total Project Cost: \$56,214

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$56,214
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$56,214

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Begin operating services
End Date	9/30/2024	End of operating services

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/13/2021

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$56,214.00	\$56,214.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 8/2/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—

as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 1/4/2022

Comment

- 1) Please change the application name to: Yurok Tribe, FY2021 Tribal Formula, Operating Assistance
- 2) on executive summary, please change the first paragraph to: This application is to request Section 5311 funds apportioned to the California in the amount of \$56,214. Yurok Tribe Transit Service (YTTS) is applying for FY21 Tribal Formula program for operational expense to be used towards our demand responsive route service in the Klamath service area to include the Klamath townsite, Klamath Glen, Requa Hill, Hunter Creek, connects to Crescent City and Humboldt County to include Weitchpec, Martins Ferry, Kenek, Cappel, Notchko, Mettah, Pecwan, Wautec, and Hoopa.
- 3) on application budget, please change the scope to 600-00 (other program cost)
- 4) On executive summary, please copy and paste the statement from the project benefit and additional information.
- 5) On executive summary, please add this statement, No sub-recipient activities applied
- 6) On extended budget description, who will perform the activities, your staff or contractor? If it will perform by the staffs please indicate. If perform by contractor please indicate and check yes on 3rd party contractor
- 7) On indirect rate detail, please provide the applicable base and the amount it will apply
- 8) You checked yes on pre-awarded authority that mean the tribe is claiming the expense the tribe already paid. Your milestone start date and end date are the same, 9/30/2024. Why the tribe wait that long to start the activity, please provide the justification on project description.
- 9) Your Indirect plan agreement only covers the period from 10/01/2019 to 9/30/2020. Do you have the newest version? If not, you cannot claim this indirect cost on this project.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 1/6/2022

Comment

Your Indirect cost plan only covers this period, 10/1/2020 9/30/21, which it already expired. If the tribe does not have newest agreement, you cannot claim the indirect cost for this application. Please check no for indirect cost section.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 4/5/2022

Comment

1) Your hardcopies of FY2020 C&A does not match what you selected. If you checked all categories, then you should uncheck category 3. Please make the changes and upload the revised hardcopies C&A on recipient document

- 2) You cannot claim the indirect cost rate if your indirect cost plan already expired. Please check no on indirect cost section.
- 3) Please upload a letter (recipient document) from your lawyer explain why he cannot pin the certification and assurance on TRAMs (must be reasonable and valid). (note: this is new policy in order to qualify for new application)
- 4) On executive summary, please add the following:
 Scope 1 Operating, Federal Funds: FY 2021 5311 Tribal Formula, Yurok Tribe, California \$56,214
 Local Match: No Local share/Local Sales Tax
 Total Project Cost: \$56,214
- 5) Please check yes on third party contractor code

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	6/30/2022
Comment	<ol style="list-style-type: none"> 1) On C&A hardcopies, Dawn Baum and Maggie Poffenbargger name are not showing on opinion of counsel. They will need to complete and upload the opinion of counsel form on recipient document. Also, her designation of signature authority form is not worked as opinion of counsel form. 2) Your C&A hardcopies do not agree with the category selection. If you checked all categories, why category number 3 and number 12 unchecked? Please adjust. 3) You cannot claim the indirect cost on your application until you have the indirect cost plan that cover the current period attached on recipient document 4) Please use the attached the sample of Tribal Formula application on how to fill out the 5 required elements. Your executive summary is incomplete, please provide the 5 required elements. Please provide the FY year on the first paragraph and the breakdown of the operating assistance cost. 5) On extended budget description, please enter the federal funding/scope/activity information. Please also provide the breakdown of the operating assistance cost.

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	12/14/2022
Comment	<ol style="list-style-type: none"> 1) on both executive summary and extended budget description, under breakdown cost, please remove the word indirect rate since the tribe did not provide the current 2023 indirect cost agreement. 2) If the tribe decided not to claim the indirect cost or cannot provide/upload the current 2023 indirect cost agreement, please check no on indirect cost under application detail 3) on executive summary, it is lack of detail, please provide more info. 4) If the operating expense included equipment and supplies, please check yes on 3rd party contractor 5) On your breakdown cost, you mentioned equipment, what kind of equipment, does it worth \$5,000 or more? If it is true, you need to create a new capital budget item on your budget. (Equipment is defined as tangible, non-expendable, personal property with an anticipated useful life of one year or more costing greater than or equal to \$5,000.)

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/27/2023

Comment return the application, the review is not complete.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/11/2023

Comment return to grantee to make changes.