

Application

Federal Award Identification Number (FAIN)	AZ-2023-031-00
Temporary Application Number	6725-2021-4
Award Name	White Mountain Apache Tribe, FY2021 Tribal Transit Formula, Operating Assistance and Preventative Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2026
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	12/31/2026

Part 1: Recipient Information

Name: White Mountain Apache Tribe

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6725	Indian Tribe	White Mountain Apache Tribe		063293153

Location Type	Address	City	State	Zip
Headquarters	306 N CHIEF AVE	WHITERIVER	AZ	85941
Physical Address	306 N. CHIEF AVE.	WHITERIVER	AZ	85941
Mailing Address	P.O. BOX 700	WHITERIVER	AZ	85941

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: White Mountain Apache Tribe, FY2021 Tribal Transit Formula, Operating Assistance and Preventative Maintenance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-031-00	Final Concurrence / Reservation	Grant	Region 9	6/9/2021	6/9/2021	No

Application Executive Summary

This application is to request FY2021 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$289,396. This grant is for operating assistance and preventative maintenance for the Fort Apache Connection Transit Program.

The purpose of this application is to assist with operational funds for upgrading, reclassifying and retaining transit employees. Operational funds will include expenses needed for fuel cost for the remaining year of FY22 to FY 2027. Lastly, operational funds will be used for administration supplies/material for the newly constructed transit facility and assistance with the transit administration's operations.

The activities that will be performed is to upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe as well as upgrade the GPS systems and software systems for the Transit Program.

The outcomes of having this grant application approved will be to improve the Tribal Transit Program by retaining employees and attracting qualified job applications for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages this making it difficult to recruit qualified drivers and dispatchers. The inflated fuel rates have tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,000.00 per month in 2021 to \$8,000.00 in the month of May, 2022.

The benefits of this grant award will improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit dispatcher and transit operators will be pay for 25% increase upon approval of the job reclassification by the Tribe.

Federal Funds: Capital/Preventative Maintenance, FY2021 5311 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$100,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$100,000

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$189,396.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$189,396

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this application is to assist with operational funds for upgrading, reclassifying and retaining transit employees. Operational funds will include expenses needed for fuel cost for the remaining year of FY22 to FY 2027. Lastly, operational funds will be used for administration supplies/material for the newly constructed transit facility and assistance with the transit administration's operations.

Activities to be performed:

The activities that will be performed is to upgrade and reclassify the current transit dispatcher and transit operator positions within the Tribe as well as upgrade the GPS systems and software systems for the Transit Program.

Expected outcomes:

The outcomes of having this grant application approved will be to improve the Tribal Transit Program by retaining employees and attracting qualified job applications for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages this making it difficult to recruit qualified drivers and dispatchers. The inflated fuel rates have tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,000.00 per month in 2021 to \$8,000.00 in the month of May, 2022.

Intended beneficiaries:

The benefits of this grant award will improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not

competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit dispatcher and transit operators will be pay for 25% increase upon approval of the job reclassification by the Tribe.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
LaReesa	Sanchez	Acting Director	lareesasanchez@wmat.us	(928) 338-5155
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$289,396
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$289,396

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-031-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$289,396.00	\$0.00	\$289,396.00	2
AZ-2023-031-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$100,000.00	\$0.00	\$100,000.00	1
AZ-2023-031-01-00	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$189,396.00	\$0.00	\$189,396.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY21 5311 Tribal Transit Formula, Operating Assistance and Preventative Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-031-01-00	6725-2021-4-P1	6/9/2021	10/1/2023	12/31/2026

Project Description

This application is to request FY2021 Section 5311 Tribal Transit Formula appointed to White Mountain Apache Tribe, Arizona in the amount of \$289,396 from October 1, 2023 to December 1, 2026 to support preventive maintenance and operating assistance for the Fort Apache Connection Program.

The following is a breakdown of costs that will be used with this award:

Federal Funds: Capital/Preventative Maintenance, FY2021 5311 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$100,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$100,000

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$189,396.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$189,396

Project Benefits

Funds will be used to purchase supplies/material for the transit program and assistance with the transit preventative maintenance and upkeep to sustain a safe and reliable transit service for the Tribe.

The Fort Apache Connection office staff consist of the following.

1. Transit Manager, who performs a variety of duties involved in the daily activities of the Transit program, including planning, coordinating and overseeing of transit services, assuring compliance with time schedules and applicable laws, codes, rules and regulations; evaluates and trains staff; and resolves client and community concerns related to safe and efficient public transportation.

2. Transit Dispatcher, who manages the daily office work, documents and monitors the Transit Drivers.

3. Transit Drivers, that operate the Transit buses, drive the transit route 1, 2 and 3, monitors the bus stops, replaces bus stop signs, construct the bus stop shelters, clean around the bus stop areas by trimming the weeds, branches, and fixing the cracks in the road along the transit routes for the safety of the public.

The Tribal Formula Funds is based off report to the National Transit Database submitted for FY 2018. The Tribal Formula Funds will be used to support operating cost and administrative cost for the White Mountain Apache Tribe Division of Transportation Fort Apache Connection Transit Program.

Additional Information

The Tribal Formula Funds is based off report to the National Transit Database submitted for FY 2019. The Tribal Formula Funds will be used to support preventative maintenance cost for the White Mountain Apache Tribe Division of Transportation Fort Apache Connection Transit Program. The FY 2021 Section 5311 (c) Public Transportation on Indian Reservations Formula Apportionments can be viewed at <https://www.transit.dot.gov/funding/apportionments/table-10-fy-2021-public-transportation-indian-reservations-apportionments>

Location Description

White Mountain Apache Tribe, Navajo County, Arizona 85941

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Ann Kirkpatrick

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$289,396
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$289,396

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
A7-2023-031-	600- OTHER PROGRAM COSTS	\$289,396.00	\$0.00	\$289,396.00	2

01-00	00 (600-A1)						
AZ-2023-031-01-00		11.7A.00	PREVENTIVE MAINTENANCE	\$100,000.00	\$0.00	\$100,000.00	1
AZ-2023-031-01-00		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$189,396.00	\$0.00	\$189,396.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

This Operating Assistance will be used to purchase fuel, supplies, and salaries.

Federal Funds: Operating Assistance, FY2021 5311 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$189,396.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$189,396

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$189,396
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$189,396

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Start Date to of using funds for operating assistance for the Transit Program
End Date	12/31/2026	End Date for using the funds for operating assistance

	for Transit Program
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Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

The Tribal Formula Funds will be used to support preventative maintenance cost for the White Mountain Apache Tribe Division of Transportation Fort Apache Connection Transit Program to upkeep to sustain a safe and reliable transit service for the Tribe.

Federal Funds: Capital/Preventative Maintenance, FY2021 5311 Tribal Transit Formula funds apportioned to White Mountain Apache Tribe, Arizona, in the amount of \$100,000.

Local Match: \$0 Local Sales Tax

Total Project Cost: \$100,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$100,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$100,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2023	Start Date for funds to be used for preventative maintenance for Tribal Transit Program
End Date	10/1/2025	End Date for funds to be used for preventative maintenance for Tribal Transit Program

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	8/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$100,000.00	\$100,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$189,396.00	\$189,396.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 8/21/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 6/15/2021

Comment The tribe requested to return the application for editing.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/29/2021

Comment The tribe requested to return the application for modification.

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 7/12/2022

Comment

On C&A hardcopies, Matt McReynolds name is not showing on opinion of counsel and he is not authorized to sign the hardcopies. Please have the authorized lawyer KeAloha Douma completes and signs the form and uploads on the recipient document. Please do not submit this application until this task completed.

2) Please change the application name to: White Mountain Apache, FY21 5311 Tribal Transit Formula Operating Assistance and Preventative Maintenance

3) On executive summary, first paragraph, please change to this, This application is to request FY2021 Section 5311 Tribal Transit Formula appointed to White Mountain Apache Tribe, Arizona in the amount of \$289,396.

4) On executive summary, fund/scope/activities info, your total project cost for both preventative maintenance and operating assistance are incorrect. For preventative maintenance, it should be \$100,000 and operating assistance it should be \$189,396. Please adjust.

5) Region 9 has new policy that all formula program (except CARES, CRRSAA and APA) for operating assistance and preventative maintenance, the performance activities only last 3 years. See image highlighted in yellow. On performance end date, project description and both milestone est. completion end date, please change your start date to current year and end year/date to 2024.

6) On extended budget description for operating assistance and preventative maintenance, they are lack of detail. Will the operating assistance include supplies

purchase? If it does, please check yes on 3rd party contractor. For preventative maintenance, who will perform the service, the tribe or the outside contractor? Please indicate.

7) On extended budget description for preventative maintenance, the fund/scope/ activities, the amount is incorrect. It should be \$100,000. Please adjust.