

Application

Federal Award Identification Number (FAIN)	MN-2023-026-00
Temporary Application Number	2039-2023-1
Award Name	Surface Transportation Block Grant Program (STBG) 5311 for Capital/Vehicles Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2029
Current Period of Performance End Date	N/A Revision #: N/A

Budget Period Start Date	N/A
Budget Period End Date	3/30/2029

Part 1: Recipient Information

Name: MINNESOTA DEPARTMENT OF TRANSPORTATION

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	JL4EX4R4A647	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551800
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Surface Transportation Block Grant Program (STBG) 5311 for Capital/Vehicles Funds

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
MN-2023-026-00	Final Concurrence / Reservation	Grant	Region 5	1/10/2023	1/10/2023	No

Application Executive Summary

This application is to request Surface Transportation Block Grant Program (STBG) funds flexed from the Federal Highway Administration for Federal Fiscal Year 2023 in the amount of \$4,190,560 with \$527,330 in match provided by the State of Minnesota from the Greater Minnesota Transit Assistance Funds and \$524,210 in match that will be provided by the local entities for replacement vehicles during the period of March 1, 2023, through December 31, 2026. Funds will be administered under Section 5311 and used to purchase eight (8) 30 Ft. replacement buses and 16 less than 30 Ft. replacement buses for rural operators.

In executing this grant, the Minnesota Department of Transportation agrees to abide by Section 3011 of the FAST Act (49 U.S.C. 5323(j)(2)(C) regarding the phased increase in domestic content under the Buy America statute for rolling stock.

As Grant Agreement includes an FTA-funded transit vehicle purchase, Recipient agrees to comply with the related requirements set forth in 49 CFR 26.49(a). Specifically, Recipient must ensure as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, that each transit vehicle manufacturer certified that it complied with DOT requirements for submitting a DBE program and goal to FTA prior to bidding. Recipient is also required to submit the name of the successful bidder and the total dollar value of the contract within 30 days of making an FTA assisted transit vehicle award using the required FTA reporting tool. Recipient understands that failure to comply with these requirements may result in formal enforcement action or appropriate sanction as determined by FTA, including reimbursement of FTA funds used for the vehicle procurement.

Attachments to this document include a Program of Projects, the FHWA/FTA STIP Approval Letter dated 10/19/22 and project information for all applicable STIP amendment approvals, and the DOL Letter of Assurance dated 12/13/2019.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to purchase 24 replacement buses.

Activities to be performed:

Funds will be used to purchase 24 replacement buses for use in rural areas in Minnesota.

Expected outcomes:

Funding will allow transit agencies to provide safe and more reliable transit service and allow the State of Minnesota to meet their State of Good Repair targets.

Intended beneficiaries:

Transit riders in rural Minnesota will benefit from continued services, permitting them to get to work, social, medical, and other destinations.

Subrecipient Activities:

Subrecipient Activities: Arrowhead Economic Opportunity Agency, Inc., Cedar Valley Services, Inc., Central Community Transit Joint Powers Board, City of Morris, City of Winona, Faribault-Martin County Transit Board, Minnesota River Valley Transit, Paul Bunyan Transit, and Prairie Five, CAC, Inc., will work with State of Minnesota staff to purchase vehicles that will meet their needs utilizing the State of Minnesota's Cooperative Purchase Venture program.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	(312) 353-3853
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	(312) 705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$4,190,560
Local			\$524,210
Local/In-Kind			\$0
State			\$527,330
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,242,100

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2023-026-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$4,190,560.00	\$1,051,540.00	\$5,242,100.00	24
MN-2023-026-01-00	11.12.03 Buy 30 Ft. or larger (Class 500) Replacement Buses	\$1,744,640.00	\$436,160.00	\$2,180,800.00	8
MN-2023-026-01-00	11.12.04 Buy Less than 30 Ft. Body-on-Chassis (Class 300 & 400) Replacement Buses	\$2,445,920.00	\$615,380.00	\$3,061,300.00	16

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY 2023 STBG Funded Section 5311 Vehicles (CY 2023 Buses)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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MN-2023-026-01-00

2039-2023-1-P1

1/18/2023

11/17/2022

12/31/2026

Project Description

Original Application: This project will utilize \$4,190,560 of Federal Fiscal Year 2023 Surface Transportation Block Grant Program (STBG) Funds for the purchase of 24 replacement vehicles for Rural Public Transportation.

State Match will be provided by the State of Minnesota utilizing Greater Minnesota Transit Funds. Local Match will be provided by the local entity that receives the funds.

The 2023-2026 Minnesota Department of Transportation STIP Approval Letter, signed October 19, 2022, applicable STIP Project Pages, and STIP Amendment Approval Letter have been attached to Program Plan Information.

Project Benefits

These projects will maintain the safety and reliability of public transit services provided in rural areas of Greater Minnesota by replacing vehicles that have met their useful life.

Additional Information

Original Applicant: MnDOT has requested the transfer of \$4,190,560 from the State of Minnesota FHWA funds to FTA Region V.

Location Description

Replacement buses will be awarded to transit systems that serve rural areas in and around the cities of Goodview, Le Sueur, Morris, St. Peter, and Winona, and the counties of Aitkin, Beltrami, Big Stone, Carlton, Chippewa, Chisago, Cook, Faribault, Freeborn, Isanti, Itasca, Kandiyohi, Koochiching, Lac Qui Parle, Lake, Lake of the Woods, Martin, Meeker, Mower, Pine, Renville, Roseau, Steele, St. Louis, Swift, Waseca, and Yellow Medicine.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	1	Brad Finstad
Minnesota	7	Michelle Fischbach
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: 10/19/2022

Description: STIP Approval Letter and Project Detail Page

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$4,190,560
Local			\$524,210
Local/In-Kind			\$0
State			\$527,330
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,242,100

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2023-026-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$4,190,560.00	\$1,051,540.00	\$5,242,100.00	24
MN-2023-026-01-00	11.12.03 Buy 30 Ft. or larger (Class 500) Replacement Buses	\$1,744,640.00	\$436,160.00	\$2,180,800.00	8
MN-2023-026-01-00	11.12.04 Buy Less than 30 Ft. Body-on-Chassis (Class 300 & 400) Replacement Buses	\$2,445,920.00	\$615,380.00	\$3,061,300.00	16

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.03 - Buy 30 Ft. or larger (Class 500) Replacement Buses

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00) 11.12.03 BUY REPLACEMENT 30-FT BUS BUY REPLACEMENTS - CAPITAL BUS 8

Extended Budget Description

This ALI will use \$1,744,640 of FFY 2023 Surface Transportation Block Grant Program (STBG) funds for the purchase of eight (8) 30 Ft. or greater replacement buses to be used in public transit service by the following agencies:

Arrowhead Economic Opportunity Agency, Inc. (4 Class 500 Buses);
 Central Community Transit Joint Powers Board (1 Class 500 Bus); and
 City of Winona (3 Class 500 Buses)

STIP Sequence TRS-9100-23; 23.00; approved 10/19/22. Local Matching funds will be provided by the Local Entity that will be receiving the funds. The useful life of the old and the new Class 500 buses is 7 years, 200,000 miles.

A schedule of replaced vehicles is attached in Application Documents.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,744,640
Local			\$218,080
Local/In-Kind			\$0
State			\$218,080
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,180,800

Milestone Name	Est. Completion Date	Description
RFP Issue Date	11/17/2022	Utilize Option Year on Statewide Vehicle Procurement dated 11/09/20. Began Issuing final request for current documents and pricing to vendors on 11/17/2022.
Contract Award Date	3/1/2023	Award of Contracts to Bus Vendors Selected from the RFP.
Initial Delivery Date	12/31/2024	First CY 2023 STBG funded replacement Class 500 (30 Ft. or larger) bus delivered to sub-recipient
Final Delivery Date	12/31/2025	All CY 2023 STBG funded replacement Class 500 (30 Ft. or larger) buses delivered to sub-recipient.
Contracts Complete	12/31/2026	All CY 2023 STBG Rural replacement vehicle sub-recipient agreements completed and audited.

Budget Activity Line Item: 11.12.04 - Buy Less than 30 Ft. Body-on-Chassis (Class 300 & 400) Replacement Buses

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT < 30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	16

Extended Budget Description

This ALI will use \$2,445,920 of FFY 2023 STBG funds for the purchase of the following 16 body-on-chassis replacement vehicles for the following public transit agencies:

- Arrowhead Economic Opportunity Agency (1 Class 400) bus;
- Cedar Valley Services, Inc. (4 Class 400) buses;
- Central Community Transit Joint Powers Board (2 Class 400) buses;
- City of Morris (1 Class 400) bus;
- Faribault-Martin County Transit Board (1 Class 400) bus;
- Minnesota River Valley Transit (2 Class 400) buses;
- Paul Bunyan Transit (2 Class 400) buses; and
- Prairie Five CAC, Inc. (2 Class 400 and 1 Class 300) buses;

STIP Sequence TRS-9100-23; 23.00; approved 10/19/22. Local Matching funds will be provided by the Local Entity that will be receiving the funds. The useful life of the one (1) new Class 300 bus is 4 years, 100,000 miles. The useful life of the 15 new Class 400 buses is 5 years/150,000 miles. All vehicles that are being replaced have met useful life.

A schedule of replaced vehicles is attached in Application Documents.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$2,445,920
Local			\$306,130
Local/In-Kind			\$0
State			\$309,250
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,061,300

Milestone Name	Est. Completion Date	Description
RFP Issue Date	11/17/2022	Utilize Option Year on Statewide Vehicle Procurement dated 11/09/20. Began Issuing final request for current documents and pricing to vendors on 11/17/2022.
Contract Award Date	3/1/2023	Award of Contracts to Bus Vendors Selected from the RFP.
Initial Delivery Date	12/31/2024	First CY 2023 STBG funded replacement Class 400 (< 30 Ft.) bus delivered to sub-recipient.

Final Delivery Date	12/31/2025	All CY 2023 STBG funded replacement Class 400 (<30 Ft.) buses delivered to sub-recipient.
Contracts Complete	12/31/2026	All CY 2023 STBG Rural replacement vehicle sub-recipient agreements completed and audited.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.03	Buy 30 Ft. or larger (Class 500) Replacement Buses	8	\$1,744,640.00	\$2,180,800.00
OTHER PROGRAM COSTS (600-00)	11.12.04	Buy Less than 30 Ft. Body-on-Chassis (Class 300 & 400) Replacement Buses	16	\$2,445,920.00	\$3,061,300.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Colin Korst**

Comment Type DOL Review for Information

Date 7/31/2023

Comment 5311 Funds, No DOL Certification Required.