

# Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2021-049-02
<b>Temporary Application Number</b>	6935-2021-4
<b>Award Name</b>	5311 Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	8/26/2021
<b>Original Period of Performance End Date</b>	12/31/2023
<b>Current Period of Performance End Date</b>	3/30/2028 Revision #: 1

## Part 1: Recipient Information

**Name: Fond Du Lac Reservation**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	J37EL8RWMHK6	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 HIGHWAY 210	CARLTON	MN	55718
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

## Union Information

There are no union contacts for this application

## Part 2: Application Information

## Title: 5311 Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
MN-2021-049-02	Final Concurrence / Reservation	Grant	Region 5	5/15/2023	5/15/2023	No

### Application Executive Summary

#### AMENDMENT #2 (5/17/23):

This amendment adds \$339,309 in FY22 5311 Rural Formula funding suballocated from MNDOT and extends the grant period of performance to account for the additional operating assistance period. A local match of 50% will be included funded by Fond du Lac Band of Lake Superior Chippewa.

New Attachments include: FY22 5311 MnDOT Suballocation letter, 2023-2026 Minnesota STIP (project TRF-9101-23 on page 194), 2023-2026 STIP approval letter,

#### AMENDMENT #1

This amendment adds \$307,408 in FY21 5311 Rural Formula funding suballocated from MNDOT and extends the grant period of performance to account for the additional operating assistance period. ( Disregard Amendment Remarks, correct amount is \$307,408).

The amount is matched at 50% by the Fond du Lac Band of Lake Superior Chippewa. The total amount for this operating grant will be \$691,193 in 5311 Rural program funds. The allocation letter is attached. Purpose: To be used for operational expenses of Fond du Lac Transit between 1/1/2023 and 12/30/2026. Activities to be performed: Operational expenses of the transit system such as, salaries. gas and supplies with a shelf life of less than one year.

Expected outcomes: Improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment through reliable public transit services.

Intended beneficiaries: All who live on or near the Reservation

No subrecipients.

STIP and suballocation documentation attached.

#### ORIGINAL:

\$383,785 in FY20 5311 funding will be used to for Operational expenses (01/01/2021-09/30/2024) 5311 such as, salaries. gas and supplies with a shelf life of less than one year. Local funding of \$383,785 will be provided by Fond du Lac Reservation. This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment because will will continue to operate Fond du Lac Transit in calendar year 2021. Our people have come to depend on Fond du Lac Transit for access to these many places and Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation. Fond du Lac Transit runs 6:00am-6:00pm seven days a week at this time. With two runs to Duluth Monday - Friday. More information can be found at fdlrez.com under Transit tab.

Due to a decrease in local share, available funding in awards MN-2021-024, MN-2020-040, and MN-2021-006 is insufficient to cover eligible operating expenses within the period.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

To be used for operational expenses of Fond du Lac Transit between 1/1/2023 and 12/30/2029.

**Activities to be performed:**

Provide funding for operational expenses of the transit system such as, salaries, gas and supplies with a shelf life of less than a half year for the term of the grant.

**Expected outcomes:**

The additional funds will continue to improve the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment through reliable public transit. These additional funds will allow Fond du Lac Transit to continue to these services longer into the years to come.

**Intended beneficiaries:**

All people that live on or near the Reservation, and in the Fond du Lac Transit service area.

**Subrecipient Activities:**

No subrecipients.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	(312) 353-3853
Michael	Quam	Transportation Manager	michaelquam@fdlrez.com	(218) 878-8009

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,030,502
Local			\$1,030,502
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,061,004</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-049-01-02	300-00 (300-A1) OPERATING ASSISTANCE	\$383,785.00	\$383,785.00	\$767,570.00	1
MN-2021-049-01-02	30.09.01 UP TO 50% FEDERAL SHARE	\$383,785.00	\$383,785.00	\$767,570.00	1
MN-2021-049-01-02	600-00 (600-A2) OTHER PROGRAM COSTS	\$307,408.00	\$307,408.00	\$614,816.00	1
MN-2021-049-01-02	30.09.01 UP TO 50% FEDERAL SHARE	\$307,408.00	\$307,408.00	\$614,816.00	1
MN-2021-049-02-02	600-00 (600-A3) OTHER PROGRAM COSTS	\$339,309.00	\$339,309.00	\$678,618.00	1
MN-2021-049-02-02	30.09.01 UP TO 50% FEDERAL SHARE	\$339,309.00	\$339,309.00	\$678,618.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

## Project Title: FY20, FY21 5311 Rural for Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-049-01-02	6935-2021-4-P2	5/15/2023	1/1/2021	12/30/2026

### Project Description

AMENDMENT #2 (5/17/23): This amendment makes no changes to this project.

#### AMENDMENT #1

This amendment adds \$307,408 in FY21 5311 Rural Formula funding suballocated from MNDOT and extends the grant period of performance to account for the additional operating assistance period. The amount is matched at 50% by the Fond du Lac Band of Lake Superior Chippewa (\$307,408).

The added funds will be used for operational expenses of Fond du Lac Transit (salaries, fuel, and supplies with a useful life of less than one year) between 1/1/2023 and 12/30/2026.

#### ORIGINAL:

Grant will be used for Operational expenses (01/01/2021-09/30/2024) 5311 FY20 such as salaries, gas and supplies with a shelf life of less than one year.

### Project Benefits

Grant will be used to service the Fond du Lac Reservation and surrounding area. This project makes a large impact on the community by improving the access to employment, medical, school, shopping, recreation and community events. Fond du Lac Transit has a duty to provide service to all Fond du Lac Band members who live on or near the Reservation. Fond du Lac Transit is open Monday - Friday 5am-6pm and Saturday & Sunday 6am-6pm. Fond du Lac Transit has a fixed route 3 times a day Monday - Friday 7am-5pm from the Reservation to Duluth.

### Additional Information

During the pandemic we are more of a on demand to service people going to vaccination sites and food banks being our top priority. Our hours have been extended by an hour for a few months to accommodate employment and elderly shopping safer hours.

### Location Description

Pete Stauber is our Congressman in the 8th district.

## Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

## Congressional District Information

State	District	Representative
Minnesota	8	Pete Stauber

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A  
 Description: N/A

**Long Range Plan**

Date: 1/4/2023  
 Description: Long range plan

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$691,193
Local			\$691,193
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,382,386</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-049-01-02	300-00 (300-A1) OPERATING ASSISTANCE	\$383,785.00	\$383,785.00	\$767,570.00	1
MN-2021-049-01-02	30.09.01 UP TO 50% FEDERAL SHARE	\$383,785.00	\$383,785.00	\$767,570.00	1
MN-2021-049-01-02	600-00 (600-A2) OTHER PROGRAM COSTS	\$307,408.00	\$307,408.00	\$614,816.00	1
MN-2021-049-01-02	30.09.01 UP TO 50% FEDERAL SHARE	\$307,408.00	\$307,408.00	\$614,816.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
-------------------	-------------	----------------	----------	----------

OPERATING ASSISTANCE (300-00) 30.09.01 UP TO 50% FEDERAL SHARE OPERATING ASSISTANCE 1

**Extended Budget Description**

Grant will be used for Operational expenses (01/01/2021-09/30/2024) 5311 FY20 such as salaries, gas and supplies with a shelf life of less than one year. The undertakings are consistent with allowable activities outlined in C90230.1E, pages IV-14 to IV-15 and appendix B, respectively.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$383,785
Local			\$383,785
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$767,570</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Operating end date
End Date	12/31/2021	end date completion

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

Added in Amendment #1:

FY2021 5311 Rural Formula funding suballocated from MNDOT, and matched by Fond du Lac Band of Lake Superior Chippewa at 50%, will be utilized for Operational expenses of Fond du Lac Transit, between 1/1/2023 and 12/30/2026. Expenses consistent with eligible operating costs under Circular 9040.1G III-11 and Ch. X

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$307,408
Local			\$307,408
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$614,816</b>

Milestone Name	Est. Completion Date	Description
01/01/2023	12/30/2026	FY2021 Operational Assistance to be spent by 12/30/2026
End Date	12/30/2026	Funds for Operational cost to be spent out by date.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/17/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$383,785.00	\$767,570.00
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$307,408.00	\$614,816.00

### Project Title: FY22 5311 Rural for Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-049-02-02	MN-2021-049-02-P3	6/28/2023	1/1/2023	12/30/2026



**Project Description**

AMENDMENT #2 (5/17/23): (Grant will be used for Operational expenses (01/01/2023-12/30/2026) 5311 FY22 such as salaries, gas and supplies with a shelf life of less than one year.

**Project Benefits**

Grant will be used to service the Fond du Lac Reservation and surrounding area. This project makes a large impact on the community by improving the access to employment, medical, school, shopping, recreation and community events. Fond du Lac Transit has a duty to provide service to all Fond du Lac Band members who live on or near the Reservation. Fond du Lac Transit is open Monday - Friday 5am-6pm and Saturday & Sunday 6am-6pm. Fond du Lac Transit has a fixed route 3 times a day Monday - Friday 7am-5pm from the Reservation to Duluth.

**Additional Information**

None.

**Location Description**

Pete Stauber is our Congressman in the 8th district. District 8 is in Northeast MN. The project will serve the Fond du Lac Reservation, Cloquet and Duluth.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
270000	Minnesota

**Congressional District Information**

State	District	Representative
Minnesota	8	Pete Stauber

**Program Plan Information****STIP/TIP**

Date: 10/19/2022

Description: 2023-2026 Minnesota STIP (project TRF-9101-23 on page 194)

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: 1/4/2023

Description: This is the updated version of the Fond du Lac Long Range Transportation Plan. Fond du Lac Transit is mentioned on pages: 2, 9, 10, 11, 12, 29, 24, 33, 34 and 38.

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$339,309
Local			\$339,309
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$678,618</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-049-02-02	600-00 (600-A3) OTHER PROGRAM COSTS	\$339,309.00	\$339,309.00	\$678,618.00	1
MN-2021-049-02-02	UP TO 50% 30.09.01 FEDERAL SHARE	\$339,309.00	\$339,309.00	\$678,618.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

This grant will be used for Operational Expenses (01/01/2023-12/30/2026). \$339,309 in FY22 5311 Rural Formula funding suballocated from MNDOT and extends the grant period of performance to account for the additional operating assistance period. The amount is matched at 50% by the Fond du Lac Band of Lake Superior Chippewa (\$339,309). These funds will be used for operational costs for the duration of the projects such as salary, gas, oil, and supplies with a shelf life less than 6 months. Undertakings are consistent with allowable activities outlined in C9040.1G, page III-11

2023-2026 Minnesota STIP project TRF-9101-23 on page 194

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$339,309
Local			\$339,309
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$678,618</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	Beginning of FY2022 Operational Assistance.
End Date	12/30/2026	Funds for Operational cost to be spent out by this date.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/28/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$339,309.00	\$678,618.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Colin Korst

Comment Type	DOL Review for Information
Date	7/24/2023
Comment	5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.

## Application Review Comments

**Comment By** Colin Korst

---

Comment Type	Pre-Award Manager Returns Application
Date	6/1/2023
Comment	Please create a new project for the FY22 funds, and one line item in that new project for operating assistance using the 600-00 scope code. You can copy over information from the line item you had created before deleting it.