

## Application

<b>Federal Award Identification Number (FAIN)</b>	MN-2021-036-02
<b>Temporary Application Number</b>	2039-2021-6
<b>Award Name</b>	Section 5311 Operating, Intercity Bus, and RTAP
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	7/13/2021	
<b>Original Period of Performance End Date</b>	3/30/2026	
<b>Current Period of Performance End Date</b>	3/31/2029	Revision #: 1

### Part 1: Recipient Information

**Name: TRANSPORTATION, MINNESOTA DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
2039	State Agency	MINNESOTA DOT OFFICE OF TRANSIT	JL4EX4R4A647	957271604

Location Type	Address	City	State	Zip
Headquarters	395 JOHN IRELAND BLVD	SAINT PAUL	MN	551551800
Physical Address	395 JOHN IRELAND BLVD	SAINT PAUL	MN	55155
Mailing Address	395 JOHN IRELAND BLVD.	SAINT PAUL	MN	55155

### Union Information

There are no union contacts for this application

### Part 2: Application Information

<b>Title: Section 5311 Operating, Intercity Bus, and RTAP</b>
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<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
MN-2021-036-02	Final Concurrence / Reservation	Grant	Region 5	6/1/2023	6/1/2023	No

**Application Executive Summary**

Amendment #2 (6/1/23): This application is to request FFY 2021 Section 5311 Rural formula funds apportioned to the state of Minnesota in the amount of \$3,302,463 for to increase funding Project #2 funding for transit operating expenses from January 1, 2024 - December 31, 2024, and to increase associated Project #2 State Program administrative expenses. The State of Minnesota will provide \$2,580,177 of Greater Minnesota Transit Assistance and General Funds to match federal Rural Operating. Additional local matching funds of approximately \$418,643 will be provided by Cedar Valley Services and Three Rivers Community Action. Two additional line items will be added to Project #2 to add milestones which will extend the period of performance for these activities. Attachments to this application include the 2023-2026 Minnesota Department of Transportation STIP approval letter dated October 19,2022 and the applicable STIP project pages, an updated Program of Projects dated 6/01/2023. the FFY 2021 and FFY 2022 Intercity Bus Certification letter dated 6/01/2023, the FY21 Section 5311 Apportionment Table, and related DOL information. Amendment #1 (7/22/22): This grant amendment provides \$30,282,479 of Federal Funds [\$16,485,272 FY2020; 13,442,404 FY2021; \$354,803 FY2022] of Section 5311 Rural Formula funds for transit operating expenses from January 1, 2023 - December 31, 2023, Intercity Bus project expenses from January 1, 2023 - December 31, 2023, Rural Transit Assistance Program (RTAP) expenses from June 1, 2023 - December 31, 2025, and State Program administrative expenses. The State of Minnesota will provide \$23,447,235 of Greater Minnesota Transit Assistance and General Funds to match federal Rural Operating and Intercity Bus funds. Additional local matching funds of approximately \$3,358,075 will be provided by the local entities that receive the funds. The amendment adds two projects to this grant. No changes have been made to Project #1 with this amendment. Attachments to this application include the 2022-2025 Minnesota Department of Transportation STIP approval letter dated October 29, 2021, the applicable STIP project pages, and applicable STIP Amendment approval letters. MnDOT anticipates that the balance of the FY 2021 apportionments for Rural Operating and Intercity Bus Funds will be requested in future grant amendments. - Purpose of the Award: Funds are awarded to improve and support the operation of public transit systems and Intercity Bus services in rural areas in the State of -Minnesota. - Activities to be performed: MnDOT will award funding to operate dial-a-ride and route deviation services in rural Minnesota to agencies that have applied for the funding, Funds will also be provided to intercity bus service providers to support existing intercity bus service. RTAP funds will be utilized for the training and technical assistance for providers of rural transportation, and to organizations that provide transportation services to elderly people and people with disabilities - Expected Outcomes: Safe and reliable public transportation in rural areas and intercity buses services that provide connections to other transportation to facilitate the movement of people in the State of Minnesota. - Intended Beneficiaries: Local and intercity transit riders, businesses, and taxpayers located in rural areas of the State of Minnesota. - Subrecipient Activities: Subrecipients will provide rural general public transit services and intercity bus transportation services. Original Application (7/13/21): This grant provides \$4,226,996 of Federal Funds [\$3,159,852 FY2019; \$782,758 FY2020; \$284,386 FY2021] of Section 5311 Rural Formula funds for Intercity Bus project expenses from January 1, 2022- December 31, 2022, Rural Transit Assistance Program (RTAP) expenses from June 1, 2021 - December 31, 2022, and State Program administrative expenses. The state of Minnesota will provide \$3,151,045 of Intercity Bus matching funds. Attachments to this application include the 2021-2024 Minnesota Department of Transportation STIP approval letter dated November 23, 2020, the applicable STIP project pages, and applicable STIP Amendment approval letters. MnDOT anticipates that the balance of the FY2020 and FY2021 apportionments for Rural Operating and Intercity Bus Funds will be requested in future grant amendments,

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:*

The cognizant agency Federal Highway Administration approved MnDOT's indirect cost (IDC) rate on 06/30/21 and a copy of the organization's approved rate of 19.70% is on file with the FTA (in TrAMS).

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose:**

Amendment #2 (6/1/23): Purpose of the Award: Funds are awarded to improve and support the operation of public transit systems and Intercity Bus services in rural areas in the State of Minnesota.

**Activities to be performed:**

Amendment #2 (6/1/23): MnDOT will award funding to operate dial-a-ride and route deviation services in rural Minnesota to agencies that have applied for the funding, Funds will also be provided to intercity bus service providers to support existing intercity bus service. RTAP funds will be utilized for the training and technical assistance for providers of rural transportation, and to organizations that provide transportation services to elderly people and people with disabilities

**Expected outcomes:**

Amendment #2 (6/1/23): Safe and reliable public transportation in rural areas and intercity buses services that provide connections to other transportation to facilitate the movement of people in the State of Minnesota.

**Intended beneficiaries:**

Amendment #2 (6/1/23): Local and intercity transit riders, businesses, and taxpayers located in rural areas

of the State of Minnesota.

**Subrecipient Activities:**

Amendment #2 (6/1/23): Cedar Valley Services and Three Rivers Community Action. will provide rural general public transit.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	(312) 353-3853
Hassan	Dastgir	General Engineer	Hassan.Dastgir@dot.gov	(312) 705-1280
Deborah	Ellis	Federal Program Manager	deborah.ellis@state.mn.us	651-366-4185

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$37,811,938
Local			\$4,021,581
Local/In-Kind			\$0
State			\$28,933,594
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$70,767,113</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-036-01-02	610-00 STATE / PROGRAMS ADMINISTRATION (610-A2)	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-02	11.80.00 State or Program Administration	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-02	634-00 INTERCITY BUS TRANSPORTATION (634-A1)	\$3,151,045.00	\$3,151,045.00	\$6,302,090.00	0
MN-	30.09.01 Intercity Bus	\$2,906,182.00	\$2,906,182.00	\$5,812,364.00	0

MN-2021-036-01-02		Operating - Cash Match					
MN-2021-036-01-02	30.09.01	UP TO 50% FEDERAL SHARE	\$244,863.00	\$244,863.00	\$489,726.00	0	
MN-2021-036-01-02	635-00 (635-A3)	RURAL TRANSIT ASSISTANCE PROGRAM	\$568,772.00	\$0.00	\$568,772.00	0	
MN-2021-036-01-02	43.50.01	TRAINING	\$568,772.00	\$0.00	\$568,772.00	0	
MN-2021-036-02-02	600-00 (600-A4)	OTHER PROGRAM COSTS	\$27,825,030.00	\$27,825,030.00	\$55,650,060.00	0	
MN-2021-036-02-02	30.09.01	UP TO 50% FEDERAL SHARE	\$24,826,210.00	\$24,826,210.00	\$49,652,420.00	0	
MN-2021-036-02-02	30.09.01	Operating Assistance	\$2,998,820.00	\$2,998,820.00	\$5,997,640.00	0	
MN-2021-036-02-02	610-00 (610-A6)	STATE / PROGRAMS ADMINISTRATION	\$3,426,009.00	\$0.00	\$3,426,009.00	0	
MN-2021-036-02-02	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,122,366.00	\$0.00	\$3,122,366.00	0	
MN-2021-036-02-02	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$303,643.00	\$0.00	\$303,643.00	0	
MN-2021-036-02-02	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$1,979,100.00	\$1,979,100.00	\$3,958,200.00	0	
MN-2021-036-02-02	30.09.01	UP TO 50% FEDERAL SHARE	\$1,979,100.00	\$1,979,100.00	\$3,958,200.00	0	
MN-2021-036-03-02	635-00 (635-A7)	RURAL TRANSIT ASSISTANCE PROGRAM	\$354,803.00	\$0.00	\$354,803.00	0	

MN-2021-036-03-02	43.50.01 TRAINING	\$354,803.00	\$0.00	\$354,803.00	0
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY2019, FY2020, and FY2021 Section 5311 Intercity Bus, State Program Admin, and RTAP (CY 2022 Expenses)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-036-01-02	2039-2021-6-P1	6/1/2023	10/1/2021	12/31/2023

#### Project Description

Amendment #2 (6/1/23): No changes to Project 1. Amendment #1 (7/22/22): No changes to Project 1. Budget Revision #1 (2/3/22): Funding is adjusted (reduced) to actual applications for the Period of January 1 - December 31, 2022. New Jefferson Lines VA Connector Service is added for the Period of February 1- December 31, 2022. Original Application (7/13/21): Funding will be provided to three Intercity Bus companies in our efforts to support regularly scheduled bus service to the general public that connects two or more communities that are not in close proximity, and have limited stops over fixed routes, from January 1, 2022 -December 31, 2022. Funding will also support the allowable state program administration expenses associated with these intercity bus projects and state program expenses associated with rural operating funds that have been allocated to Tribal programs and received those funds directly from the FTA. This project will also provide funds for Rural Transportation Assistance Program (RTAP) to provide Training and Technical Support for rural public transit providers and Section 5310 providers, including the continued development and maintenance of MnDOT's RTAP Website and continued support for the MnDOT RTAP Advisory Committee.

#### Project Benefits

The Intercity Bus Program provides meaningful connections between non-urbanized areas and the larger regional national system of intercity Bus service to meet the intercity travel needs of residents in Greater Minnesota. The RTAP activities will enhancing the quality of training and technical assistance, encourage and assist with peer networking and engagement, advance the skills, competencies, and knowledge of transit professionals, and provide proactive communication supporting RTAP trainings, workshops, effective practices and opportunities

#### Additional Information

MnDOT will allocate \$1,413,572 of FY2020 Rural Operating Funds to Tribal Programs to support their Calendar Year 2021 Operating Activities.

#### Location Description

Statewide

## Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

## Congressional District Information

State	District	Representative
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	3	Dean Phillips
Minnesota	4	Betty McCollum
Minnesota	5	Ilhan Omar
Minnesota	6	Tom Emmer
Minnesota	7	Collin Peterson
Minnesota	8	Pete Stauber

## Program Plan Information

### STIP/TIP

Date: 11/23/2020

Description: 2021 Intercity Bus STIP Project Page

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,226,996
Local			\$244,863
Local/In-Kind			\$0
State			\$2,906,182
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,378,041</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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MN-2021-036-01-02	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-02		11.80.00 State or Program Administration	\$507,179.00	\$0.00	\$507,179.00	0
MN-2021-036-01-02	634-00 (634-A1)	INTERCITY BUS TRANSPORTATION	\$3,151,045.00	\$3,151,045.00	\$6,302,090.00	0
MN-2021-036-01-02		30.09.01 Intercity Bus Operating - Cash Match	\$2,906,182.00	\$2,906,182.00	\$5,812,364.00	0
MN-2021-036-01-02		30.09.01 UP TO 50% FEDERAL SHARE	\$244,863.00	\$244,863.00	\$489,726.00	0
MN-2021-036-01-02	635-00 (635-A3)	RURAL TRANSIT ASSISTANCE PROGRAM	\$568,772.00	\$0.00	\$568,772.00	0
MN-2021-036-01-02		43.50.01 TRAINING	\$568,772.00	\$0.00	\$568,772.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - Intercity Bus Operating - Cash Match

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

Amendment #2 (6/1/23): No Changes to this line item. Amendment #1 (7/22/22): No Changes to this line item. Budget Revision #1 (2/3/22): Reduce Line Item by \$244,863 of FFY 2020 Section 5311 Rural Funds to adjust funding to align with project subawards as follows: 1. Remove the White Earth Feeder Service which was proposed by Jefferson Lines at the time of consultation, but was not included in their solicitation response. 2. Remove the Greyhound Supplemental Services which we have been providing assistance since March 2021. Greyhound did not submit any application in response to the solicitation. H10 3. Increase the amount of funding for Blue Earth/Blue Sky on-going routes. Although the total project costs increased from the time of the estimate to the time of their application, the estimated revenue also increased, which decreased their Operating Deficit. 4. Reduce the amount of funding for Jefferson on-going routes and Supplemental routes for which we have been providing funding since March 2021. Although the total project costs increased from the time of the estimate to the time of their application, the estimated revenue also increased, which decreased their Operating Deficit. Overall adjustments will reduce individual projects which will be added to new service reflected in a new Activity Line Item. Original Application (7/13/21): Provide \$3,151,045 (\$2,865,232 FY2019; \$285,813 FY2020) of Section 5311 Rural Transit Formula funds for Intercity Bus services to be provided by Jefferson Lines, Blue Earth Blue Sky (dba as Land to Air Express), and Greyhound Lines, Inc. for calendar year 2022 expenses (



January 1-December 31) [TRF-9081-21,21-00; Approved 11/23/20]. Matching funds (50% of Operating Deficit) of \$3,151,045 will be provided by the State of Minnesota's the Greater Minnesota Transit Assistance (GMTA) Funds. The remaining FY2020 Rural Transit Formula funds of \$2,225,587 for Intercity Bus, will continue to be unobligated. MnDOT intends to obligate the remaining FY2020 balances before the funds lapse in FY2022. The projected use of the FY2021 Rural appropriations will be evaluated after the CRRSAA and ARPA Funds are depleted.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,906,182
Local			\$0
Local/In-Kind			\$0
State			\$2,906,182
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,812,364</b>

Milestone Name	Est. Completion Date	Description
Projects Start	1/1/2022	CY 2022 Intercity Bus activities begin
Projects Completed	12/31/2022	CY 2022 Intercity Bus activities end.
Contracts Completed	12/29/2023	CY 2022 contracts are audited, final expenditures are made and grant is ready to be closed.

**Budget Activity Line Item: 11.80.00 - State or Program Administration**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Amendment #2 (6/1/23): No Changes to this line item. Amendment #1 (7/22/22): No Changes to this line item. Original Application (7/13/21): Provide \$507,179 (\$294,620 FY2019; \$212,559 FY2020) for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 Rural Formula program during Calendar Year 2022 (January 1 - December 31). TRF-9101-21A; approved 06/24/21. \$507,179 represents the remaining 1.62% of FY2019 state program administration funds allowed and 11.7% of the total FY2020 State Program administration funds allowed. MnDOT intends to draw indirect costs charged to this grant at the rate approved by FHWA in 2021.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$507,179

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$507,179</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Begin utilizing obligated funds for on-going State Program Administration.
End Date	12/31/2023	All final state program activities completed prior to closing grant. MnDOT intends to draw indirect costs of 19.70% to this line item.

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Amendment #2 (6/1/23): No Changes to this line item. Amendment #1 (7/22/22): No Changes to this line item. Original Application (7/13/21): Provide \$568,772 of RTAP funds (\$284,386 FY2020; \$284,386 FY2021 for on-going training and technical support during the period of October 1, 2021-December 31, 2022 TRF-9101-21B; approved 06/24/21. Note: This funding period overlaps with RTAP assistance in MN-2019-018-01 and Grant Application Number 2039-2021-7 (FAIN not yet assigned) as funding was insufficient in those grants to cover all of the possible planned activities listed for the entire period. The on-going RTAP Program supports the following activities that include, but are not limited to: 1. Provide training services for Greater Minnesota Transit Agency staff and MnDOT RTAP Trainers, utilizing a variety of training methods including, but not limited to multi-day workshops, specialized trainings by MnDOT RTAP trainers, national training offered by FTA, on-line training, and peer-to-peer transit agency workshops. Topics will include drivers' education and awareness, Maltreatment and PASS, management/ leadership, marketing, compliance and reporting training, customer service, dispatching, drug and alcohol compliance training, FTA grant technical support training, procurement/purchasing training, and other topics as requested by the Transit Agencies. 2. Providing scholarships to 5310 and tribal transit providers to attend trainings, and the evaluation and implementation of a scholarship program for eligible small urban and rural public transit providers. 3. Continue to support and advance the MnDOT RTAP advisory committee via monthly meetings, development of a strategic plan for RTAP, evaluation of training needs, and reviewing training reporting requirements. 4. Work with the RTAP consultant to continue the support of RTAP day-to-day activities, including but not limited to scheduling trainings, procuring and monitoring training, facilitation of RTAP advisory committee, identifying agency training needs, maintaining MnDOT RTAP website, and providing newsletters and other periodic communications, as requested.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$568,772
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$568,772</b>

Milestone Name	Est. Completion Date	Description
Projects Start	10/1/2021	MnDOT starts drawing on-going RTAP training funds from this grant.
Project Funds Expended	12/31/2022	Utilize all of the RTAP funds in this grant on on-going training programs.
Projects Completed	8/31/2023	Contracts for On-going training programs end.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment #2 (6/1/23): No Changes to Project #1. Amendment #1 (7/22/22): No Changes to this line item. Budget Revision #1 (2/3/2022): Provide \$244,863 of FY2020 of Section 5311 Rural Transit Formula funds for new for calendar year 2022 expenses (February 1-December 31) Intercity Bus services to be provided by Jefferson Lines, [TRF-9081-21,21-00; Approved 11/23/20]. Matching funds (50% of Operating Deficit) of \$244,863 will be provided by Jefferson Lines.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$244,863
Local			\$244,863
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$489,726</b>
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Milestone Name	Est. Completion Date	Description
Start Date	2/15/2022	New CY 2022 Intercity Bus activities begin.
Projects Completed	12/31/2022	New CY 2022 Intercity Bus activities end
Contracts Complete	12/29/2023	New CY 2022 contracts are audited, final expenditures are made and grant is ready to be closed.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	Intercity Bus Operating - Cash Match	0	\$2,906,182.00	\$5,812,364.00
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	State or Program Administration	0	\$507,179.00	\$507,179.00
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$568,772.00	\$568,772.00
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$244,863.00	\$489,726.00

<b>Project Title: FY2020 and FY 2021 Section 5311 Formula Funding (FFY</b>
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## 2023-2024 Operating and State Program Expenses)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-036-02-02	MN-2021-036-01-P2	6/1/2023	1/1/2023	12/31/2025

### Project Description

Amendment #2 (6/1/23): Funding will be provided to two (2) Rural Transit Providers to provide local rural transit service. Funding will also support the allowable state program administration expenses. One line item will be added for Cedar Valley Services and Three Rivers Community Action operating expenses and one line item will be added for associated program administration in order to add funding and extend period of performance for these activities. Amendment #1 (7/22/22): Funding will be provided to 26 Rural Transit Providers to provide local rural transit and two (2) Intercity Bus companies in our efforts to support regularly scheduled bus service to the general public that connects two or more communities that are not in close proximity, and have limited stops over fixed routes, from January 1, 2023 -December 31, 2023. Funding will also support the allowable state program administration expenses associated with rural transit and intercity subawards and state program expenses associated with rural operating funds that have been allocated to Tribal programs and received those funds directly from the FTA.

### Project Benefits

This project will maintain the ability of local transit and intercity bus providers to provide on-going, safe, and reliable, public transportation in the rural communities in Minnesota.

### Additional Information

*None provided.*

### Location Description

Freeborn, Goodhue, Mower, Rice, Steele, Wabasha, and Waseca Counties

## Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

## Congressional District Information

State	District	Representative
Minnesota	1	Brad Finstad
Minnesota	2	Angie Craig
Minnesota	4	Betty McCollum

## Program Plan Information

### STIP/TIP

Date: 10/19/2022

Description: 2023-2026 STIP Approval Letter dated 10/19/2022 and STIP Project Pages

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A  
 Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$33,230,139
Local			\$3,776,718
Local/In-Kind			\$0
State			\$26,027,412
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$63,034,269</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-036-02-02	600-00 (600-A4) OTHER PROGRAM COSTS	\$27,825,030.00	\$27,825,030.00	\$55,650,060.00	0
MN-2021-036-02-02	30.09.01 UP TO 50% FEDERAL SHARE	\$24,826,210.00	\$24,826,210.00	\$49,652,420.00	0
MN-2021-036-02-02	30.09.01 Operating Assistance	\$2,998,820.00	\$2,998,820.00	\$5,997,640.00	0
MN-2021-036-02-02	610-00 (610-A6) STATE / PROGRAMS ADMINISTRATION	\$3,426,009.00	\$0.00	\$3,426,009.00	0
MN-2021-036-02-02	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,122,366.00	\$0.00	\$3,122,366.00	0
MN-2021-036-02-02	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$303,643.00	\$0.00	\$303,643.00	0
MN-2021-000	634-00 INTERCITY BUS TRANSPORTATION	\$1,979,100.00	\$1,979,100.00	\$3,958,200.00	0

036-02-02	(634-A5)						
MN-2021-036-02-02	30.09.01	UP TO 50% FEDERAL SHARE	\$1,979,100.00	\$1,979,100.00	\$3,958,200.00	0	

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - Operating Assistance

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

Amendment #2 (6/1/23): MnDOT will provide \$2,998,820 of FFY 2021 Section 5311 rural operating funds which represents approximately 40% of the estimated calendar year 2024 Operating expenses (January 1 - December 31) to operate, maintain, and manage public transit systems that provide service in the areas of Cedar Valley Services, Inc. and Three Rivers Community Action, Inc. MnDOT will also provide additional 2024 Operating funds to these entities with funds obligated in future applications. Operating assistance activities consist of driver salaries, fuel costs and items with a useful life of less than one year as outlined in C9040.1G. Local Match will be provided with \$2,580,177 of State Greater Minnesota Transit Assistance funds and \$418,643 of local funds provided by Cedar Valley Services and Three Rivers Community Action. [TRF-9101-23; Approved 10/19/22].

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$2,998,820
Local			\$418,643
Local/In-Kind			\$0
State			\$2,580,177
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,997,640</b>

Milestone Name	Est. Completion Date	Description
Projects Start	1/1/2024	CY 2024 Rural Transit Operating activities begin.
Projects Completed	12/31/2024	CY 2024 Rural Transit Operating activities end
Contracts Completed	12/31/2025	CY 2024 Rural Transit Operating contracts are audited.

final expenditures are made and grant is ready to be closed.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Amendment #2 (6/1/23): Provide \$303,643 of FFY2021 Section 5311 Rural formula funds for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 Rural Formula program January 1, 2024 - December 31, 2024. \$303,643 represents the remaining 16.7% of FFY 2021 State Program administration funds as allowed by C9040.1G. MnDOT intends to draw indirect costs charged to this grant at the 19.7% rate approved by FHWA in 2021. TRF-9101-23A; approved 10/19/22.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$303,643
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$303,643</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2024	State Program Activities Begin
Grant Ready for Close-Out	12/31/2025	All final state program activities completed prior to closing grant. MnDOT intends to draw indirect costs of 19.70% to this line item.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment #2 (6/1/23): No changes to this line item. Amendment #1 (7/22/22): MnDOT will provide \$24,826,210 of Section 5311 rural operating funds [\$12,902,029 FFY 2020; \$11,924,181 FFY 2021] which



represents approximately 50% of the estimated calendar year 2023 Operating expenses. (January 1 - December 31) to operate, maintain, and manage public transit systems that provide service in the areas of Becker County Transit, Brown County, Cedar Valley Services, Inc., Central Community Transit, City of Brainerd, City of Fosston, City of Hibbing, City of Morris, City of Winona, Hubbard County, Faribault-Martin County Transit, Hubbard County, Kanabec County, Metropolitan Council - Transit Link, MN River Valley Transit,, Prairie Lakes Transit, Productive Alternatives Inc., Rainbow Rider Transit, Southwestern Minnesota Opportunity Council, Inc., Three Rivers Community Action, Inc., Tri-County Action Program Inc., Tri-Valley Opportunity Council, Inc., United Community Action Partnership, Inc., Vine Faith In Action, Wadena County, and Watonwan County. MnDOT will also provide additional 2023 Operating funds to Arrowhead Transit, Paul Bunyan Transit, and Trailblazer Transit with funds available from prior year operating assistance awards. Operating assistance activities consist of driver salaries, fuel costs and items with a useful life of less than one year as outlined in C9040.1G. Local Match will be provided with approximately \$21,468,135 of State Greater Minnesota Transit Assistance funds and \$3,358,075 of local funds provided by the subrecipients that received the federal funds. [TRF-9101-22-00.85; Approved 07/05/22]. The remaining FFY 2021 balance Rural Transit Formula funds of \$3,036,443, will continue to be unobligated. MnDOT intends to obligate the remaining FY2021 balances before the funds lapse in FY2023.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$24,826,210
Local			\$3,358,075
Local/In-Kind			\$0
State			\$21,468,135
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$49,652,420</b>

Milestone Name	Est. Completion Date	Description
Projects Start	1/1/2023	CY 2023 Rural Transit Operating activities begin
Projects Completed	12/31/2023	CY 2023 Rural Transit Operating activities end
Contracts Completed	12/31/2024	CY 2023 Rural Transit Operating contracts are audited, final expenditures are made and grant is ready to be closed.

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Amendment #2 (6/1/23): No changes to this line item. Amendment #1 (7/22/22): Provide \$1,979,100 of FY2020 of Section 5311 Rural Transit Formula funds for Intercity Bus services to be provided by Jefferson Lines, and Blue Earth Blue Sky (dba as Land to Air Express) for calendar year 2023 expenses (January 1-December 31) Matching funds (50% of Operating Deficit) of \$1,979,100 will be provided by the State of Minnesota's the Greater Minnesota Transit Assistance (GMTA) Funds. [TRF-9081-22; 22.00.85; Approved 07/05/22].

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,979,100
Local			\$0
Local/In-Kind			\$0
State			\$1,979,100
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,958,200</b>

Milestone Name	Est. Completion Date	Description
Projects Start	1/1/2023	CY 2023 Intercity Bus activities begin
Projects Completed	12/30/2023	CY 2023 Intercity Bus activities end
Contracts Completed	12/31/2024	CY 2023 contracts are audited, final expenditures are made and grant is ready to be closed.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Amendment #2 (6/1/23): No changes to this line item. Amendment #1 (7/22/22): Provide \$3,122,366 (\$1,604,143 FY2020; \$1,518,223 FY2021) for administrative expenses associated with monitoring, developing and administering the oversight of the Section 5311 Rural Formula program during Calendar Year 2023 (January 1 - December 31). \$3,122,366 represents the remaining 88.3% of FY2020 state program administration funds and 83.3 % of the total FY2021 State Program administration funds as allowed by C9040.1G. MnDOT intends to draw indirect costs charged to this grant at the rate approved by FHWA in 2021. TRF-9101-22A; 22-00.85; approved 07/05/22.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,122,366

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$3,122,366</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	State Program Activities Begin
Grant Ready for Close-Out	12/31/2024	All final state program activities completed prior to closing grant. MnDOT intends to draw indirect costs of 19.70% to this line item.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating Assistance	0	\$2,998,820.00	\$5,997,640.00
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$303,643.00	\$303,643.00
OTHER PROGRAM	30.09.01	UP TO 50%	0	\$24,826,210.00	\$49,652,420.00

COSTS (600-00)		FEDERAL SHARE			
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$1,979,100.00	\$3,958,200.00
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,122,366.00	\$3,122,366.00

### Project Title: FY2022 RTAP (SFY 2024-2025 Expenses)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2021-036-03-02	MN-2021-036-01-P3	6/1/2023	7/1/2023	12/31/2026

#### Project Description

Amendment #2 (6/1/23): No Change to Project #3. Amendment #1 (7/2/22): This project will provide Bipartisan Infrastructure Law (BIL) funds for Rural Transportation Assistance Program (RTAP) to provide Training and Technical Support for rural public transit providers and Section 5310 providers, including the continued development and maintenance of MnDOT's RTAP Website and continued support for the MnDOT RTAP Advisory Committee.

#### Project Benefits

The RTAP activities will enhancing the quality of training and technical assistance, encourage and assist with peer networking and engagement, advance the skills, competencies, and knowledge of transit professionals, and provide proactive communication supporting RTAP trainings, workshops, effective practices and opportunities.

#### Additional Information

*None provided.*

#### Location Description

Statewide

### Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

### Congressional District Information

State	District	Representative
Minnesota	1	Jim Hagedorn
Minnesota	2	Angie Craig
Minnesota	3	Dean Phillips
Minnesota	4	Betty McCollum
Minnesota	5	Ilhan Omar
Minnesota	6	Tom Emmer
Minnesota	7	Michelle Fischbach

Minnesota	8	Pete Stauber
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## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$354,803
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$354,803</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2021-036-03-02	635-00 (635-A7) RURAL TRANSIT ASSISTANCE PROGRAM	\$354,803.00	\$0.00	\$354,803.00	0
MN-2021-036-03-02	43.50.01 TRAINING	\$354,803.00	\$0.00	\$354,803.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

Amendment #2 (6/1/23): No Changes to this line item. Amendment #1 (7/22/22): Provide \$354,803 of FFY 2022 Bipartisan Infrastructure Law (BIL) RTAP funds for on-going training and technical support during the period of July 1 2023-December 31, 2025: 1. Provide training services for Greater Minnesota Transit Agency staff and MnDOT RTAP Trainers, utilizing a variety of training methods including multi-day workshops, specialized trainings by MnDOT RTAP trainers, national training offered by FTA, on-line training, and peer-to-peer transit agency workshops. Topics will include drivers' education and awareness, Maltreatment and PASS, management/leadership, marketing, compliance and reporting training, customer service, dispatching, drug and alcohol compliance training, FTA grant technical support training, procurement/purchasing training, and other topics as requested by the Transit Agencies. 2. Providing scholarships to 5310 and tribal transit providers to attend trainings, and the evaluation and implementation of a scholarship program for eligible small urban and rural public transit providers. 3. Continue to support and advance the MnDOT RTAP advisory committee via monthly meetings, development of a strategic plan for RTAP, evaluation of training needs, and reviewing training reporting requirements. 4. Work with the RTAP consultant to continue the support of RTAP day-to-day activities, including scheduling trainings, procuring and monitoring training, facilitation of RTAP advisory committee, identifying agency training needs, maintaining MDOT RTAP website, and providing newsletters and other periodic communications, as requested. [TRF-9101-22B-00.85; Approved 07/05/22].

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$354,803
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$354,803</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	MnDOT starts drawing on-going RTAP training funds from this grant.
End Date	12/31/2025	Utilize all of the RTAP funds in this grant on on-going training programs
Contracts Complete	12/31/2026	Applicable RTAP Contracts complete and audited.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$354,803.00	\$354,803.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Colin Korst

**Comment Type** DOL Review for Information

**Date** 7/10/2023

**Comment** No DOL Certification Required. Please advise of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the Grant. Recipient Contact: Deborah Ellis, 651-366-4185, deborah.ellis@state.mn.us There are Subrecipients for this grant.