

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	OH-2023-010-01
<b>Temporary Application Number</b>	1225-2022-3
<b>Award Name</b>	OHIO DOT Section 5311(f) Intercity Bus Grant
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	4/13/2023	
<b>Original Period of Performance End Date</b>	3/30/2027	
<b>Current Period of Performance End Date</b>	3/30/2027	Revision #: 0

### Part 1: Recipient Information

**Name: TRANSPORTATION, OHIO DEPARTMENT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1225	State Agency	OHIO DEPT. OF TRANSPORTATION	ERAMRH53K2Y7	809174402

Location Type	Address	City	State	Zip
Headquarters	30 E Broad St	Columbus	OH	432153414
Physical Address	1980 W BROAD ST	COLUMBUS	OH	43223
Mailing Address	1980 WEST BROAD STREET	COLUMBUS	OH	43223

### Union Information

<b>Union Name</b>	<b>Ohio Civil Service Employee Association</b>
Address 1	1980 W BROAD ST
Address 2	MAILSTOP 3110
City	COLUMBUS

State	OH
Zipcode	43223
Contact Name	Joachim Bean
Telephone	(614) 387-7631
Fax	
E-mail	joachim.bean@dot.ohio.gov
Website	1980 W Broad St.

<b>Union Name</b>	<b>Ohio Civil Service Employees Association</b>
Address 1	1980 W BROAD ST
Address 2	MAILSTOP 3110
City	COLUMBUS
State	OH
Zipcode	43223
Contact Name	Carly Coe
Telephone	(614) 387-7631
Fax	(614) 728-9609
E-mail	carly.coe@dot.ohio.gov
Website	transportation.ohio.gov/transit

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION</b>
Address 1	5025 WISCONSIN AVE
Address 2	LOCAL 268, CLEVELAND OHIO
City	WASHINGTON
State	District of Columbia
Zipcode	20016
Contact Name	MR. JIM LA SALA
Telephone	
Fax	
E-mail	
Website	

<b>Union Name</b>	<b>INTERNATIONAL ASSOC. OF MACHINISTS &amp; AEROSPACE WORKERS</b>
Address 1	9000 MACHINISTS PLACE
Address 2	
City	UPPER MARLBORO
State	Maryland
Zipcode	20772
Contact Name	GEORGE KOURPIAS
Telephone	
Fax	

E-mail	
Website	
<b>Union Name</b>	<b>TRANSPORT WORKERS UNION</b>
Address 1	80 WEST END AVENUE
Address 2	
City	NEW YORK,
State	New York
Zipcode	10023
Contact Name	FRANK MCCANN
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>TRANSPORT WORKERS UNION OF AMERICA LOCAL 208</b>
Address 1	914 CLEVELAND AVENUE
Address 2	
City	COLUMBUS
State	Ohio
Zipcode	43201
Contact Name	MR. HUBERT SNEAD
Telephone	
Fax	
E-mail	
Website	
<b>Union Name</b>	<b>UNITED AUTOMOBILE, AEROSPACE AND AGRICULTURAL IMPLEMENT WORKERS</b>
Address 1	8000 EAST JEFFERSON AVENUE
Address 2	
City	DETROIT
State	Michigan
Zipcode	48214
Contact Name	STAN MARSHALL
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

## Title: OHIO DOT Section 5311(f) Intercity Bus Grant

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
OH-2023-010-01	Final Concurrence / Reservation	Grant	Region 5	6/5/2023	6/5/2023	No

### Application Executive Summary

#### AMENDMENT 1:

This amendment is to request the remaining un-obligated FFY2021 Section 5311 Rural lapsing funds in the amount of \$2,658,709 and FFY2022 Section 5311 funds in the amount of \$396,182 for a total of \$3,054,891 in federal funds being added to the grant. This funding will be used for intercity operating projects. Local match in the amount of \$3,054,891 from agency in-kind match funds which is consistent with the requirements under FTA Circular 9040.1G (Chapter VIII - Section 5) will be utilized.

Supporting documents attached to the Application Documents section of this grant application include:

- Program of Projects
- Department of Labor Assurance letter
- 2021-2024 STIP documentation and approval letter
- In-kind match documentation

All projects in this grant are included in the 5311 group projects listed in Chapter 9 of the STIP and in Figures 9.5 & 9.6.

#### ORIGINAL GRANT:

This grant will use part of the un-obligated FFY2021 Section 5311(f) Rural lapsing funds in the amount of \$1,409,585. For this grant, local match in the amount of \$1,409,585 from agency in-kind match funds which is consistent with the requirements under FTA Circular 9040.1G (Chapter VIII - Section 5) will be utilized. The total amount of funds in this grant will be \$2,819,170. The attached Program of Projects provides a list of individual projects that make up the grant. The remaining \$2,658,709 in unobligated FFY2021 Section 5311(f) funds lapsing in FFY2024 will be used for an upcoming amendment to this grant.

Supporting documents attached to the Application Documents section of this grant application include:

- Program of Projects
- Department of Labor Assurance letter
- 2021-2024 STIP documentation and approval letter
- In-kind match documentation

All projects in this grant are included in the 5311 group projects listed in Chapter 9 of the STIP and in Figures 9.5 & 9.6.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

To support the day-to-day operations of transit systems that provide intercity bus operations and related services to the rural communities in the state of Ohio.

**Activities to be performed:**

In this award, there is one rural public transit system (Hocking Athens Perry Community Action-(HAPCAP) dba GoBus Rural Intercity Bus Program) being awarded funds for intercity operating and/or administration expenses.

**Expected outcomes:**

Transit operators will continue the provision of intercity bus service to Ohio.

**Intended beneficiaries:**

One rural public transit system HAPCAP that provides intercity bus service as well as the citizens of Ohio who will be able to utilize said service.

**Subrecipient Activities:**

All the work under this grant will be performed by the sub-recipient HAPCAP.

### Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deidre	Wesley	Transportation Program Specialist	deidre.wesley@dot.gov	(312) 353-3879
Alex	Ewers	Program Coordinator	alex.ewers@dot.ohio.gov	(614) 728-5629

### Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula	5311-1A	20509	\$4,464,476
Local			\$0
Local/In-Kind			\$4,464,476
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,928,952</b>

### Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2023-010-01-01	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$4,068,294.00	\$4,068,294.00	\$8,136,588.00	1
OH-2023-010-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$4,068,294.00	\$4,068,294.00	\$8,136,588.00	1
OH-2023-010-02-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$396,182.00	\$396,182.00	\$792,364.00	1
OH-2023-010-02-01	30.09.01 UP TO 50% FEDERAL SHARE	\$396,182.00	\$396,182.00	\$792,364.00	1

### Discretionary Allocations

This application does not contain discretionary allocations.

### Part 3: Project Information

**Project Title: Section 5311(f) Intercity Bus Transportation-non TDC: FFY2021**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2023-010-01-01	1225-2022-3-P1	6/5/2023	1/24/2022	8/31/2024

#### Project Description

This is funding to assist with the operation of the Rural Intercity Bus Program in Ohio.

#### Project Benefits

The Ohio Rural Intercity Bus Program (Section 5311(f)) is designed to address the intercity bus

transportation needs of the entire state by supporting projects that provide transportation between non-urbanized areas and urbanized areas that result in connections of greater regional, statewide, and national significance.

**Additional Information**

*None provided.*

**Location Description**

The intercity bus service is provided through the state on six separate routes and stops in multiple rural areas in order to connect them to urban areas and the greater intercity transportation network.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
390000	Ohio

**Congressional District Information**

State	District	Representative
Ohio	1	Steve Chabot
Ohio	2	Brad R Wenstrup
Ohio	3	Joyce Beatty
Ohio	4	Jim Jordan
Ohio	5	Robert E Latta
Ohio	6	Bill Johnson
Ohio	7	Bob Gibbs
Ohio	9	Marcy Kaptur
Ohio	10	Michael R Turner
Ohio	11	Marcia L Fudge
Ohio	13	Tim Ryan
Ohio	14	David P Joyce
Ohio	15	Steve Stivers
Ohio	12	Troy Balderson
Ohio	16	Anthony Gonzalez
Ohio	8	Warren Davidson

**Program Plan Information**

**STIP/TIP**

Date: 7/1/2020

Description: 2021-2024-STIP, Administrative Modification 5/18/2023

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A  
 Description: N/A

### Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,068,294
Local			\$0
Local/In-Kind			\$4,068,294
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,136,588</b>

### Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2023-010-01-01	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$4,068,294.00	\$4,068,294.00	\$8,136,588.00	1
OH-2023-010-01-01	30.09.01 UP TO 50% FEDERAL SHARE	\$4,068,294.00	\$4,068,294.00	\$8,136,588.00	1

### Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

#### Extended Budget Description

**AMENDMENT 1:**

Adding \$2,658,709 in lapsing Section 5311 (f) FFY2021 funds for Operating Assistance for the provision of service to HAPCAP. The operations will be provided through the use of 3rd party service providers under a multi-year contract to HAPCAP for use in the GoBus Rural Intercity Bus Program. Certification of local match from in-kind match in the amount of \$2,658,709 was provided to ODOT by the subrecipient HAPCAP. In-kind match documentation consistent with the requirements under FTA Circular 9040.1G (Chapter VIII - Section 5) is included in the documents section.



All projects in this grant are included in the 5311 group projects listed in Chapter 9 of the STIP as a State Line Item in Figures 9.5 & 9.6. The projects for this grant are on PID 117229.

**Revised Milestones:**

- Complete contract obligation: 6/30/2024
- Sub recipient final draw down: 11/15/2024
- Award Close out: 2/28/2025

**ORIGINAL:**

Providing \$1,409,585 in FFY2021 Section 5311(f) lapsing federal funds for Operating Assistance for the provision of service to HAPCAP. The operations will be provided through the use of 3rd party service providers under a multi-year contract to HAPCAP for use in the GoBus Rural Intercity Bus Program. Certification of local match from in-kind match in the amount of \$1,409,585 was provided to ODOT by the subrecipient HAPCAP. In-kind match documentation consistent with the requirements under FTA Circular 9040.1G (Chapter VIII - Section 5) is included in the documents section.

All projects in this grant are included in the 5311 group projects listed in Chapter 9 of the STIP as a State Line Item in Figures 9.5 & 9.6.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,068,294
Local			\$0
Local/In-Kind			\$4,068,294
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$8,136,588</b>

Milestone Name	Est. Completion Date	Description
Executed Contract	1/24/2022	ODOT signed and executed contract with sub recipient HAPCAP.
Complete contract obligation	12/31/2023	Sub recipient concludes Intercity Bus services to complete the contract obligation.
Sub recipient final draw down	5/15/2024	Sub recipient completes final invoice and draw down of final funds
Award Close-out	8/31/2024	All projects completed and ready for closeout.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$4,068,294.00	\$8,136,588.00

**Project Title: Section 5311(f) Intercity Bus Transportation-Non TDC Projects: FFY2022 & Forward**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
OH-2023-010-02-01	OH-2023-010-01-P2	6/5/2023	4/20/2023	2/28/2025

**Project Description**

This is funding to assist with the operations of the Rural Intercity Bus Program in Ohio.

**Project Benefits**

The Ohio Rural Intercity Bus Program (Section 5311(f)) is designed to address the intercity bus transportation needs of the entire state by supporting projects that provide transportation between non-urbanized areas and urbanized areas that result in connections of greater regional, statewide, and national significance.

**Additional Information**

*None provided.*

**Location Description**

The intercity bus service is provided through the state on six separate routes and stops in multiple rural areas in order to connect them to urban areas and the greater intercity transportation network.

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Ohio	6	Bill Johnson
Ohio	7	Max Miller
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Ohio	10	Michael R Turner
Ohio	11	Shontel Brown
Ohio	13	Emilia Sykes
Ohio	14	David P Joyce
Ohio	15	Mike Carey
Ohio	12	Troy Balderson
Ohio	8	Warren Davidson

## Program Plan Information

### STIP/TIP

Date: 7/1/2020

Description: 2021-2024-STIP, Administrative Modification 5/18/2023

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$396,182
Local			\$0
Local/In-Kind			\$396,182
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$792,364</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
OH-2023-010-02-01	634-00 (634-A2) INTERCITY BUS TRANSPORTATION	\$396,182.00	\$396,182.00	\$792,364.00	1
OH-2023-010-02-01	30.09.01 UP TO 50% FEDERAL SHARE	\$396,182.00	\$396,182.00	\$792,364.00	1

## Project Budget Activity Line Items

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Transportation Development Credit			\$0
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Milestone Name	Est. Completion	Description
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Date		
Executed Contract	4/20/2023	ODOT signed and executed contract with sub recipient HAPCAP.
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## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$396,182.00	\$792,364.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Deidre Wesley

Comment Type DOL Review for Information

Date 6/28/2023

Comment Submission to DOL for Information.