

## Application

<b>Federal Award Identification Number (FAIN)</b>	NY-2023-022-00
<b>Temporary Application Number</b>	1791-2023-6
<b>Award Name</b>	NYSDOT FY2023 Section 5311, 5311(f), and RTAP Rural Area ARPA Program
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	2/11/2028
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: DEPARTMENT OF TRANSPORTATION NEW YORK**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1791	State Agency	NEW YORK STATE DEPARTMENT OF TRANSPORTATION	LFQHKAJ9Q2H8	835422064

Location Type	Address	City	State	Zip
Headquarters	EXECUTIVE CHAMBER CAPITOL	ALBANY	NY	12224
Physical Address	50 WOLF RD	ALBANY	NY	12205
Mailing Address	50 WOLF RD STE 5	ALBANY	NY	12205

### Union Information

<b>Union Name</b>	<b>PUBLIC EMPLOYEE'S FEDERATION</b>
Address 1	P.O. Box 12414

Address 2	
City	Albany
State	New York
Zipcode	12212
Contact Name	Wayne Spence
Telephone	518-785-1900
Fax	518-785-1814
E-mail	WSpence@pef.org
Website	www.pef.org

## Part 2: Application Information

### Title: NYSDOT FY2023 Section 5311, 5311(f), and RTAP Rural Area ARPA Program

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NY-2023-022-00	Final Concurrence / Reservation	Grant	Region 2	5/8/2023	5/8/2023	No

#### Application Executive Summary

This is an FFY 2023 Section 5311 ARPA Act application.

This American Recovery Plan Act (ARPA) Application is for \$15,934,371. Per the ARPA Act, the grant requests 100 percent federal share. This application utilizes ARPA Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes 5311 ARP Operating Assistance to the Sub-recipients in rural areas - \$10,626,793, to meet the grant requirements to satisfy the 15% intercity support - 5311 (F), the portion of the ARPA Core Operating is lined out to the 9 intercity carriers in the amount of 1,875,316. IN addition, there is the 5311(F) ARP Operating Assistance for the New York State Intercity Program - \$3,254,252 and Rural Transit Assistance Program (RTAP) -ARP \$178,010. The attached Program of Projects document lists the agencies and allocations for each TrAMS project and are also listed in the project details.

NYS was originally apportioned \$15,934,371 (See the published FTA ARPA table), this grant requests the total amount. Although NYSDOT requested to transfer this funding to the urban areas that were supported by the 5311 programs, the delay in releasing the allocations and submitting the grant and the timeline for obligating the funds negated the ability to directly transfer funding to the urban areas. Therefore, the rural service in in those urban areas are subrecipients in this grant.

NYSDOT fairly and equitably allocated the supplemental ARPA Act funding, including to the SUZA, using an equal percentage of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

NYSDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and

reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

This grant seeks Pre-award authority back to 1/1/21 as allowed in the ARPA legislation. The start date for each subrecipient is based on how quickly they expended the CARES funding. Some of the agencies documented in their 2021 Annual report that they expended their CARES and ended up increasing their local share that makes them eligible for these funds to supplement their service. No part (0%) of the states CARES Act allocation will be used for state administration.

The funding in this ARPA grant coordinates with the CARES (NY-2020-026) CRRSAA (FAIN to be Finalized) and Core 5311 (FAIN to be finalized) for Operating assistance in the following manner: The 2022 5311 Application required the subrecipients to fill out the budget with their annual Core allocation and include their remaining CARES if applicable and their allocated CRRSAA and ARPA funds. The Core 5311 funding is reimbursed on an annual basis from the available eligible Net Operating deficit, after COVID funds are expended, in the 5311 Annual Report. Once the CARES funding is expended, Agencies can submit billing over the course of the year with the COVID payment request and documentation of the expenses and other financial support available for the time-period. The COVID payment is based on the eligible amount as detailed in the COVID Payment form and will use CRRSAA funding until it is fully expended. Once CRRSAA is expended, the ARPA funding would be used. At the end of the year, the annual report would include all the COVID funding and NYSDOT would pay the subrecipient with any eligible deficit for the year up to their allocated amount. The next year would repeat this process until all of the COVID funding is expended.

The Recipient certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The purpose of this award is to provide the awarded subrecipients operating assistance funding to provide transportation services for people in the non-urbanized areas of the state.

**Activities to be performed:**

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year to continue service for people with mobility needs in the rural areas. This COVID funding (ARPA) is awarded along with CRRSAA funding and CORE Operating assistance in other grants to support the performance of providing operating services to address the impacts of the Pandemic on these services.

**Expected outcomes:**

Funding will permit NYSDOT and its subrecipients to continue to provide service despite the loss in ridership revenue and the increase costs of fuel, driver retention and capital assets.

**Intended beneficiaries:**

People with mobility needs in non-urbanized areas.

**Subrecipient Activities:**

The major project in this grant will provide operating assistance based on the available funding allocated to New York State. There are currently 53 awards in the rural area of New York State. This includes multiple awards to subrecipients to cover each year starting with 2021 and using the funding to cover the additional costs due to the pandemic. Each of these awards will provide funding to support the operating assistance to the sub-recipients to support the mobility and necessary support to the target population. The Intercity Project will provide support to follow up from the CARES funding to support the 9 intercity carriers in their rural service. The RTAP project will help provide training and other support work to help move past the issues that surrounded the programs during the pandemic.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jun	Yan	General Engineer	jun.yan@dot.gov	(212) 668-2176
Thomas	Vaughan	Deputy Dir., Public Trans Bureau	thomas.vaughan@dot.ny.gov	518-457-7248
Ayla	Schermer	Community Planner	ayla.schermer@dot.gov	212-824-2424

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$3,254,252
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$12,502,109
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$178,010
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$15,934,371</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2023-022-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$10,626,793.00	\$0.00	\$10,626,793.00	53
NY-2023-022-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$10,626,793.00	\$0.00	\$10,626,793.00	53
NY-2023-022-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$1,875,316.00	\$0.00	\$1,875,316.00	9
NY-2023-022-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,875,316.00	\$0.00	\$1,875,316.00	9
NY-2023-022-02-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$3,254,252.00	\$0.00	\$3,254,252.00	11
NY-2023-022-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST -	\$3,254,252.00	\$0.00	\$3,254,252.00	11

		100% Fed Share				
NY-2023-022-03-00	635-00 (635-A3)	RURAL TRANSIT ASSISTANCE PROGRAM	\$178,010.00	\$0.00	\$178,010.00	3
NY-2023-022-03-00		43.50.01 TRAINING	\$119,267.00	\$0.00	\$119,267.00	1
NY-2023-022-03-00		43.50.02 TECHNICAL ASSISTANCE	\$39,696.00	\$0.00	\$39,696.00	1
NY-2023-022-03-00		43.50.04 RELATED SUPPORT SERVICES	\$19,047.00	\$0.00	\$19,047.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: NYSDOT ARPA Rural Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2023-022-01-00	1791-2023-6-P1	5/8/2023	1/1/2021	12/31/2027

#### Project Description

This project uses Section 5311 ARP funds at 100% Federal Share to support the CORE NYS 5311 Operating program in the amount of \$12,502,109. This project seeks Pre-award authority back to 1/1/21 as allowed in the ARPA legislation.

#### Project Benefits

The ARPA Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and in certain cases such as fuel and driver training and retention increase.

#### Additional Information

The funding in this ARPA grant coordinates with the CARES (NY-2020-026) CRRSAA (FAIN to be Finalized) and Core 5311 (FAIN to be finalized) for Operating assistance in the following manner: The 2022 5311 Application required the subrecipients to fill out the budget with their annual Core allocation and include their remaining CARES if applicable and their allocated CRRSAA and ARPA funds. The Core 5311 funding is reimbursed on an annual basis from the available eligible Net Operating deficit, after COVID funds are expended, in the 5311 Annual Report. Once the CARES funding is expended, Agencies can submit billing over the course of the year with the COVID payment request and documentation of the expenses and other financial support available for the time-period. The COVID payment is based on the eligible amount as detailed in the COVID Payment form and will use CRRSAA funding until it is fully expended. Once CRRSAA is expended, the ARPA funding would be used. At the end of the year, the annual report would include all the COVID funding and NYSDOT would pay the subrecipient with any eligible deficit for the year up to their allocated amount. The next year would repeat this process until all of the COVID funding is expended.

**Location Description**

Rural areas of upstate New York

**Project Location (Urbanized Areas)**

UZA Code	Area Name
360000	New York

**Congressional District Information**

State	District	Representative
New York	18	Sean P Maloney
New York	19	Antonio Delgado
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Claudia Tenney
New York	23	Tom Reed
New York	24	John Katko
New York	25	Joseph Morelle
New York	26	Brian Higgins
New York	27	Chris Jacobs

**Program Plan Information****STIP/TIP**

Date: Not Provided

Description: Not Applicable

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$12,502,109
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$12,502,109</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2023-022-01-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$10,626,793.00	\$0.00	\$10,626,793.00	53
NY-2023-022-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$10,626,793.00	\$0.00	\$10,626,793.00	53
NY-2023-022-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$1,875,316.00	\$0.00	\$1,875,316.00	9
NY-2023-022-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$1,875,316.00	\$0.00	\$1,875,316.00	9

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	9

### Extended Budget Description

This project uses Section 5311(F) Intercity funds at 100% Federal Share to support the CORE NYS 5311 Intercity Operating program in the amount of \$1,875,316. This represents 15% of the total Operating funds in the ARPA allocation to meet the requirement to satisfy the level of support for the intercity bus program serving the rural areas of the state of New York. This funding is in addition to the 5311(F) funding that was uniquely identified in the National allocation of funding. The InterCity carriers are finalizing their CARES funding and then will work with this funding and the funding in Project 2 to support the effort to bring



services back to the pre-COVID status levels.

Subrecipient 5311(F) Funding – Time Period

ADIRONDACK TRANSIT: ARPA for 5311F for CY 2023 -- Federal \$498,835 Total- \$498,835  
 BIRNIE BUS: ARPA for 5311F for CY 2023 -- Federal \$343,183 Total- \$343,183  
 Butler Motor Coach USA - Erie: ARPA for 5311F for CY 2023 -- Federal \$270,046 Total- \$270,046  
 CHENANGO VALLEY BUS: ARPA for 5311F for CY 2023 -- Federal \$133,147 Total- \$133,147  
 FIRST TRANSIT INC: ARPA for 5311F for CY 2023 -- Federal \$75,013 Total- \$75,013  
 FULLINGTON Auto Bus Co: ARPA for 5311F for CY 2023 -- Federal \$127,521 Total- \$127,521  
 HUDSON TRANSIT: ARPA for 5311F for CY 2023 -- Federal \$127,521 Total- \$127,521  
 Passenger Bus Corp: ARPA for 5311F for CY 2023 -- Federal \$138,773 Total- \$138,773  
 Pine Hill-Kingston Bus Corp.: ARPA for 5311F for CY 2023 -- Federal \$161,277 Total- \$161,277

Descriptions of operating expenses commonly incurred include, but may not be limited to:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave
- Lost Revenue
- COVID-19 related cleaning/maintenance activities
- Personal Protective Equipment

NYSDOT fairly and equitably allocated the supplemental ARPA Act funding, using a review of annual report expenses and the combination of Federal ,state and local funding of each agency’s latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$1,875,316
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,875,316</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	
End Date	12/31/2025	

<b>Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share</b>
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	53

**Extended Budget Description**

The following subrecipients, across the state of New York, will receive rural operating assistance in across Fiscal years 2021, 2022 and 2023 as necessary to continue the rural service to maintain bus transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

Subrecipient 5311 Funding – Time Period

ALLEGANY CO: ARPA for 5311 - for CY 2022 -- Federal \$16,000 Total- \$15,535  
 ALLEGANY CO: ARPA for 5311 - for CY 2023 -- Federal \$384,000 Total- \$372,842  
 BROOME CO: ARPA for 5311 - 5311 for CY 2023 -- Federal \$197,500 Total- \$191,761  
 BROOME CO: ARPA for 5311 - 5311 for CY 2022 -- Federal \$102,500 Total- \$99,522  
 CHAUTAUQUA CO: ARPA for 5311 - for CY 2023 -- Federal \$902,022 Total- \$875,812  
 CHEMUNG CO: ARPA for 5311 - 5311 for CY 2022 -- Federal \$55,581 Total- \$53,966  
 CHEMUNG CO: ARPA for 5311 - 5311 for CY 2023 -- Federal \$124,119 Total- \$120,512  
 CHENANGO CO: ARPA for 5311 - for CY 2022 -- Federal \$69,156 Total- \$67,146  
 CHENANGO CO: ARPA for 5311 - for CY 2023 -- Federal \$830,844 Total- \$806,701  
 CNYRTA - CAYUGA: ARPA for 5311 - for SFY 2022-23 -- Federal \$103,500 Total- \$100,492  
 CNYRTA - CAYUGA: ARPA for 5311 - for SFY 2023-24 -- Federal \$311,500 Total- \$302,448  
 CNYRTA - ONEIDA: ARPA for 5311 - for SFY 2023-24 -- Federal \$150,000 Total- \$145,641  
 CNYRTA - ONONDAGA: ARPA for 5311 - for SFY 2023-24 -- Federal \$125,000 Total- \$121,368  
 CNYRTA - OSWEGO: ARPA for 5311 - for SFY 2023-24 -- Federal \$500,000 Total- \$485,471  
 CNYRTA - OSWEGO: ARPA for 5311 - for SFY 2022-23 -- Federal \$250,000 Total- \$242,735  
 COLUMBIA CO: ARPA for 5311 - for CY 2022 -- Federal \$130,000 Total- \$126,222  
 CORNING: ARPA for 5311 - for CY 2022 -- Federal \$125,000 Total- \$121,368  
 CORTLAND CO: ARPA for 5311 - for CY 2023 -- Federal \$175,000 Total- \$169,915  
 DUTCHESS CO: ARPA for 5311 - for CY 2023 -- Federal \$400,000 Total- \$388,377  
 FULTON CO: ARPA for 5311 - for CY 2023 -- Federal \$55,500 Total- \$53,887  
 GLOVERSVILLE: ARPA for 5311 for CY 2022 -- Federal \$162,609 Total- \$157,884  
 GREENE CO: ARPA for 5311 - for CY 2023 -- Federal \$175,000 Total- \$169,915  
 GREENE CO: ARPA for 5311 -For CY 2022 -- Federal \$445,000 Total- \$432,069  
 NFTA - ERIE: ARPA for 5311 - for SFY 2022-23 -- Federal \$165,000 Total- \$160,205  
 NIAGARA CO: ARPA for 5311 - for CY 2023 -- Federal \$125,000 Total- \$121,368  
 OLEAN: ARPA for 5311 - for CY 2023 -- Federal \$221,202 Total- \$214,774  
 OLEAN: ARPA for 5311 - for CY 2022 -- Federal \$58,798 Total- \$57,089  
 PUTNAM CO: ARPA for 5311 - 5311 for CY 2022 -- Federal \$46,610 Total- \$45,256  
 PUTNAM CO: ARPA for 5311 - 5311 for CY 2023 -- Federal \$28,390 Total- \$27,565  
 RENSSELAER CO: ARPA for 5311 - for CY 2021 -- Federal \$45,000 Total- \$43,692  
 RENSSELAER CO: ARPA for 5311 - for CY 2022 -- Federal \$35,000 Total- \$33,983  
 RGRTA - GENESSEE: ARPA for 5311 - for SFY 2022-23 -- Federal \$200,000 Total- \$194,188  
 RGRTA - LIVINGSTON: ARPA for 5311 - for SFY 2022-23 -- Federal \$280,000 Total- \$271,864  
 RGRTA - MONROE: ARPA for 5311 - for SFY 2022-23 -- Federal \$100,000 Total- \$97,094  
 RGRTA - ONTARIO: ARPA for 5311 - for SFY 2022-23 -- Federal \$500,000 Total- \$485,471  
 RGRTA - ORLEANS: ARPA for 5311 - for SFY 2022-23 -- Federal \$180,000 Total- \$174,770  
 RGRTA - SENECA: ARPA for 5311 - for SFY 2022-23 -- Federal \$250,000 Total- \$242,735  
 RGRTA - WAYNE: ARPA for 5311 - for SFY 2022-23 -- Federal \$150,000 Total- \$145,641  
 RGRTA - WYOMING: ARPA for 5311 - for SFY 2022-23 -- Federal \$300,000 Total- \$291,283  
 SCHOHARIE CO: ARPA for 5311 for CY 2023 -- Federal \$250,000 Total- \$242,735  
 SCHUYLER CO: ARPA for 5311 - For CY 2022 -- Federal \$50,999 Total- \$49,517

SCHUYLER CO: ARPA for 5311 for CY 2023 -- Federal \$99,001 Total- \$96,124  
 SENECA NATION of INDIANS: ARPA for 5311 - for CY 2022 -- Federal \$150,000 Total- \$145,641  
 ST. LAWRENCE CO: ARPA for 5311 - for CY 2023 -- Federal \$408,758 Total- \$396,880  
 ST. LAWRENCE CO: ARPA for 5311 - for CY 2022 -- Federal \$541,242 Total- \$525,515  
 STEUBEN CO: ARPA for 5311 - for CY 2022 -- Federal \$153,776 Total- \$149,308  
 STEUBEN CO: ARPA for 5311 - for CY 2021 -- Federal \$21,224 Total- \$20,607  
 SULLIVAN CO: ARPA for 5311 - for CY2022 -- Federal \$100,000 Total- \$97,094  
 SULLIVAN CO: ARPA for 5311 - for CY 2021 -- Federal \$50,000 Total- \$48,547  
 TOMPKINS CO: ARPA for 5311 - 5311 for CY 2023 -- Federal \$91,264 Total- \$88,612  
 TOMPKINS CO: ARPA for 5311 - 5311 for CY 2022 -- Federal \$408,736 Total- \$396,859  
 ULSTER COUNTY: ARPA for 5311 - 5311 for CY 2023 -- Federal \$145,000 Total- \$140,787

Descriptions of operating expenses commonly incurred include, but may not be limited to:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave
- Lost Revenue
- COVID-19 related cleaning/maintenance activities
- Personal Protective Equipment

NYSDOT fairly and equitably allocated the supplemental ARPA Act funding, using a review of annual report expenses and the combination of Federal state and local funding of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$10,626,793
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,626,793</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Based on the CARES Spending, this funding will start on 1/1/21 for some sub-recipients
End Date	12/31/2027	the ARPA funding is the last source to address the pandemic cost increases and will be expended after

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/17/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	9	\$1,875,316.00	\$1,875,316.00
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	53	\$10,626,793.00	\$10,626,793.00

### Project Title: NYSDOT ARPA Intercity Rural Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2023-022-02-00	1791-2023-6-P2	5/8/2023	1/1/2021	12/31/2027

#### Project Description

This project uses Section 5311(F) Intercity funds at 100% Federal Share to support the CORE NYS 5311 Intercity Operating program in the amount of \$3,254,252. This project seeks Pre-award authority back to 1/1/21 as allowed in the ARPA legislation.

#### Project Benefits

The ARPA Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and

in certain cases such as fuel and driver training and retention increase.

**Additional Information**

The funding in this ARPA grant coordinates with the CARES (NY-2020-026) CRRSAA (FAIN to be Finalized) and Core 5311 (FAIN to be finalized) for Operating assistance in the following manner: The 2022 5311 Application required the subrecipients to fill out the budget with their annual Core allocation and include their remaining CARES if applicable and their allocated CRRSAA and ARPA funds. The Core 5311 funding is reimbursed on an annual basis from the available eligible Net Operating deficit, after COVID funds are expended, in the 5311 Annual Report. Once the CARES funding is expended, Agencies can submit billing over the course of the year with the COVID payment request and documentation of the expenses and other financial support available for the time-period. The COVID payment is based on the eligible amount as detailed in the COVID Payment form and will use CRRSAA funding until it is fully expended. Once CRRSAA is expended, the ARPA funding would be used. At the end of the year, the annual report would include all the COVID funding and NYSDOT would pay the subrecipient with any eligible deficit for the year up to their allocated amount. The next year would repeat this process until all of the COVID funding is expended.

**Location Description**

Rural areas of upstate New York

**Project Location (Urbanized Areas)**

UZA Code	Area Name
360000	New York

**Congressional District Information**

State	District	Representative
New York	18	Sean P Maloney
New York	19	Antonio Delgado
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Claudia Tenney
New York	23	Tom Reed
New York	24	John Katko
New York	25	Joseph Morelle
New York	26	Brian Higgins
New York	27	Chris Jacobs

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: Not applicable

**UPWP**

Date: Not Provided

Description: N/A

## Long Range Plan

Date: Not Provided

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$3,254,252
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,254,252</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2023-022-02-00	634-00 (634-A1) INTERCITY BUS TRANSPORTATION	\$3,254,252.00	\$0.00	\$3,254,252.00	11
NY-2023-022-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$3,254,252.00	\$0.00	\$3,254,252.00	11

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	11

### Extended Budget Description

The following subrecipients, across the state of New York, will receive rural operating assistance in across

Fiscal years 2021, 2022 and 2023 as necessary to continue the rural service to maintain bus transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

Subrecipient 5311(F) Funding – Time Period

- ADIRONDACK TRANSIT: ARPA for 5311F - for CY 2022 -- Federal \$1,004,252 Total- \$1,004,252
- Pine Hill-Kingston Bus Corp.: ARPA for 5311F - for CY 2022 -- Federal \$425,000 Total- \$425,000
- Passenger Bus Corp: ARPA for 5311F - for CY 2022 -- Federal \$400,000 Total- \$400,000
- HUDSON TRANSIT: ARPA for 5311 -- Federal \$400,000 Total- \$400,000
- Butler Motor Coach USA - Erie: ARPA for 5311F - for CY 2022 -- Federal \$200,000 Total- \$200,000
- BIRNIE BUS: ARPA for 5311F - for CY 2022 -- Federal \$250,000 Total- \$250,000
- FIRST TRANSIT INC: ARPA for 5311F - for CY 2023 -- Federal \$250,000 Total- \$250,000
- FULLINGTON Auto Bus Co: ARPA for 5311F - for CY 2022 -- Federal \$60,000 Total- \$60,000
- CHENANGO VALLEY BUS: ARPA for 5311 -- Federal \$0 Total- \$0
- Butler Motor Coach USA - Erie: ARPA for 5311F - for CY 2023 -- Federal \$200,000 Total- \$200,000
- FULLINGTON Auto Bus Co: ARPA for 5311F - for CY 2023 -- Federal \$65,000 Total- \$65,000

Descriptions of operating expenses commonly incurred include, but may not be limited to:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel
- Administrative Leave
- Lost Revenue
- COVID-19 related cleaning/maintenance activities
- Personal Protective Equipment

NYS DOT fairly and equitably allocated the supplemental ARPA Act funding, using a review of annual report expenses and the combination of Federal ,state and local funding of each agency’s latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 (f) - Inter City Bus (ARPA)	5311-10D	20509	\$3,254,252
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$3,254,252</b>

Milestone Name	Est. Completion	Description
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Date		
Start Date	1/1/2021	Eligible start date for funding
End Date	12/31/2027	Expected end date of project

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/17/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	11	\$3,254,252.00	\$3,254,252.00

### Project Title: Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2023-022-03-00	1791-2023-6-P3	5/8/2023	1/1/2021	12/31/2027

#### Project Description

Provide training, technical assistance and support services to rural transportation sub-recipients.

#### Project Benefits

Improve training for rural transportation system staff resulting in safer and more efficient operations and improved customer service.

#### Additional Information

The funding in this ARPA grant coordinates with the CARES (NY-2020-026) CRRSAA (FAIN to be Finalized) and Core 5311 (FAIN to be finalized) for Operating assistance in the following manner: The 2022 5311 Application required the subrecipients to fill out the budget with their annual Core allocation and include their remaining CARES if applicable and their allocated CRRSAA and ARPA funds. The Core 5311 funding is reimbursed on an annual basis from the available eligible Net Operating deficit, after COVID



funds are expended, in the 5311 Annual Report. Once the CARES funding is expended, Agencies can submit billing over the course of the year with the COVID payment request and documentation of the expenses and other financial support available for the time-period. The COVID payment is based on the eligible amount as detailed in the COVID Payment form and will use CRRSAA funding until it is fully expended. Once CRRSAA is expended, the ARPA funding would be used. At the end of the year, the annual report would include all the COVID funding and NYSDOT would pay the subrecipient with any eligible deficit for the year up to their allocated amount. The next year would repeat this process until all of the COVID funding is expended.

**Location Description**

Rural areas of upstate New York

**Project Location (Urbanized Areas)**

UZA Code	Area Name
360000	New York

**Congressional District Information**

State	District	Representative
New York	18	Sean P Maloney
New York	19	Antonio Delgado
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New York	22	Claudia Tenney
New York	23	Tom Reed
New York	24	John Katko
New York	25	Joseph Morelle
New York	26	Brian Higgins
New York	27	Chris Jacobs

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: Not Applicable

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$178,010
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$178,010</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2023-022-03-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$178,010.00	\$0.00	\$178,010.00	3
NY-2023-022-03-00	43.50.01 TRAINING	\$119,267.00	\$0.00	\$119,267.00	1
NY-2023-022-03-00	43.50.02 TECHNICAL ASSISTANCE	\$39,696.00	\$0.00	\$39,696.00	1
NY-2023-022-03-00	43.50.04 RELATED SUPPORT SERVICES	\$19,047.00	\$0.00	\$19,047.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

Equipment and supplies as needed, such as supplies to support training activities and conferences, web hosting, public outreach, schedule development, etc., as needed.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$19,047
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$19,047</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Continuation of RTAP Activity
End Date	12/31/2027	Project Funds Expended

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

Provide on-site technical assistance to sub-recipients as needed on specific areas, such as marketing, drug and alcohol program compliance, program development, financial management, etc.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$39,696
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$39,696</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Continuation of RTAP activity
End Date	12/31/2027	Project Funds Expended

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

Training activities and opportunities are provided to promote the safe and effective delivery of public transportation by all NYS 5311 sub-recipients on a first-come, first-serve basis. Rural sub-recipients of the 5310 program are permitted to participate in RTAP-funded training but they are a secondary audience for the training. Contractors may be utilized to deliver some of the training activities as needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Transit Assistance Program (ARPA)	5311-10A	20509	\$119,267
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$119,267</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	Continuation of RTAP Activity
End Date	12/31/2027	Activity funds expended and complete

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/17/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.04	RELATED SUPPORT SERVICES	1	\$19,047.00	\$19,047.00
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$39,696.00	\$39,696.00
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$119,267.00	\$119,267.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Ayla Schermer**

Comment Type DOL Review for Information

Date 6/2/2023

Comment This grant application is for operating assistance to sub-recipients in rural areas, along with 15% intercity support. Please review this application for labor certification in accordance with DOL Section 5333(b) Certification. The grantee contact is Thomas Vaughan, who may be reached at 518-457-7248 or thomas.vaughan@dot.ny.gov.