

## Application

<b>Federal Award Identification Number (FAIN)</b>	ND-2023-009-00
<b>Temporary Application Number</b>	1153-2023-1
<b>Award Name</b>	NDDOT FFY2022 Section 5311 Rural Transit Funds for Operating, State Admin, PM, ICB and RTAP
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/30/2026
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: NORTH DAKOTA DEPARTMENT OF TRANSPORTATION**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1153	State Agency	North Dakota Department of Transportation	KBD6WNGZKL17	802742742

Location Type	Address	City	State	Zip
Headquarters	600 E BOULEVARD AVE	BISMARCK	ND	585050601
Physical Address	608 E BOULEVARD AVE	BISMARCK	ND	58505
Mailing Address	608 E BOULEVARD AVE	BISMARCK	ND	58505

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: NDDOT FFY2022 Section 5311 Rural Transit Funds for Operating, State Admin, PM, ICB and RTAP**

<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
ND-2023-009-00	Final Concurrence / Reservation	Grant	Region 8	3/15/2023	3/15/2023	No

**Application Executive Summary**

NDDOT applies FFY2022 5311 rural apportionment in the partial amount of \$7,296,401. NDDOT has suballocated \$53,766 to Turtle Mountain to come in directly to FTA (suballocation letter attached under application documents). This award also includes the full FY2022 Section 5311 RTAP funds for NDDOT in the amount of \$117,492 (federal). Local match funding sources are state aid, mill levy and fundraising.

The scope of work includes the following:

Project 1: State or Program Administration for transit staff salaries, training, BlackCat Software Contract, Drug & Alcohol Consultant, and Vehicle/Facility Inspection Consultant. NDDOT has obligated several contracts under pre-award authority for NDDOT employees to continue managing the 5311 Program, Blackcat Software, Drug & Alcohol Consultant contract; Consultant contract to conduct on-site vehicle and facility inspections, monitor maintenance of assets, and performance to meet TAM requirements. In total, NDDOT has incurred \$707,000 in contractual obligations that will be reported as accrued expense in the initial FFR. These amounts will be reported in lines I, J, and K as unliquidated obligations, and a remark will be added to explain the pre-award authority commitments.

Project 2: RTAP for training and Technical Assistance for Rural Transit Agencies

Project 3: Operating Assistance for Rural Transit Agencies

Project 4: Project Administration for Rural Transit Agencies

Project 5: Intercity Bus - Operating Assistance, Project Administration/Preventive Maintenance

Project 6: Preventive Maintenance/Capital for Rural Transit Agencies

The following documents are attached under application documents: Program of Projects, STIP project listing & approval letter, & Suballocation letter for Turtle Mountain to come in directly to FTA.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

NDDOT applies FFY2022 5311 rural apportionment in the partial amount of \$7,296,401. NDDOT has suballocated \$53,766 to Turtle Mountain to come in directly to FTA (suballocation letter attached under application documents). This award also includes the full FY2022 Section 5311 RTAP funds for NDDOT in the amount of \$117,492 (federal). Local match funding sources are state aid, Tribal funds, mill levy and fundraising.

**Activities to be performed:**

Project 1: State or Program Administration for transit staff salaries, training, BlackCat Software Contract, Drug & Alcohol Consultant, and Vehicle/Facility Inspection Consultant.

Project 2: RTAP for training and Technical Assistance for Rural Transit Agencies

Project 3: Operating Assistance for Rural Transit Agencies

Project 4: Project Administration for Rural Transit Agencies

Project 5: Intercity Bus - Operating Assistance, Project Administration/Preventive Maintenance

Project 6: Preventive Maintenance/Capital for Rural Transit Agencies

**Expected outcomes:**

Funding to provide operating assistance to rural and intercity bus transit agencies who provide and coordinate with neighboring transit agencies to provide transportation in their communities. These funds will be used to cover general administrative expense such salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing. Funding will also provide for intercity bus preventive maintenance. Funding will be provided for rural transit agencies to attend in-state and out-of-state training. This request will also fund the Dakota Transit Association for planning and hosting the annual conference, technical assistance to transit agencies, website development, training and board member travel and administrative costs. Funding requested is for transit staff salaries, training, BlackCat Software Contract, a Drug & Alcohol Consultant, the Vehicle/Facilities Inspection Consultant.

**Intended beneficiaries:**

Rural and Intercity Bus transit agencies staff and rural transit riders throughout North Dakota.

**Subrecipient Activities:**

Rural and Intercity Bus transit agencies throughout North Dakota will receive funds for operating assistance, project administration expenses, preventive maintenance, and training.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Talbot	Hauffe	Transportation Program Specialist	talbot.hauffe@dot.gov	(303) 362-2402
Ranae	Tunison	Transportation Program Specialist	ranae.tunison@dot.gov	(303) 362-2397
Becky	Hanson	Transit Program Manager	bhanson@nd.gov	701-328-2542

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,413,893
Local			\$5,117,298
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$12,531,191</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$707,000.00	\$0.00	\$707,000.00	1
ND-2023-009-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$707,000.00	\$0.00	\$707,000.00	1
ND-2023-009-02-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$117,492.00	\$0.00	\$117,492.00	2
ND-2023-009-02-00	43.50.01 TRAINING	\$77,492.00	\$0.00	\$77,492.00	1
ND-2023-009-02-00	43.50.02 TECHNICAL ASSISTANCE	\$40,000.00	\$0.00	\$40,000.00	1
ND-2023-009-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$3,834,401.00	\$3,834,401.00	\$7,668,802.00	1
ND-2023-	30.09.01 UP TO 50%	\$3,834,401.00	\$3,834,401.00	\$7,668,802.00	1

009-03-00		FEDERAL SHARE				
ND-2023-009-04-00	620-00 (620-A4)	PROJECT ADMINISTRATION	\$1,400,000.00	\$350,001.00	\$1,750,001.00	1
ND-2023-009-04-00	11.79.00	PROJECT ADMINISTRATION	\$1,400,000.00	\$350,001.00	\$1,750,001.00	1
ND-2023-009-05-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$1,240,000.00	\$904,146.00	\$2,144,146.00	3
ND-2023-009-05-00	11.79.00	PROJECT ADMINISTRATION	\$427,806.00	\$106,952.00	\$534,758.00	1
ND-2023-009-05-00	11.7A.00	PREVENTIVE MAINTENANCE VEHICLES	\$20,000.00	\$5,000.00	\$25,000.00	1
ND-2023-009-05-00	30.09.01	UP TO 50% FEDERAL SHARE	\$792,194.00	\$792,194.00	\$1,584,388.00	1
ND-2023-009-06-00	600-00 (600-A6)	OTHER PROGRAM COSTS	\$115,000.00	\$28,750.00	\$143,750.00	1
ND-2023-009-06-00	11.7A.00	PREVENTIVE MAINTENANCE VEHICLES	\$115,000.00	\$28,750.00	\$143,750.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: State/Program Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-009-01-00	1153-2023-1-P1	3/27/2023	6/18/2020	9/30/2026

#### Project Description

The grant award applies FFY2022 Section 5311 rural funds for State or Program Administration funds.

#### Project Benefits

The funds allow NDDOT to continue to pay a Drug & Alcohol Consultant to conduct onsite compliance reviews, training, MIS report and monitor updates to federal requirements and transit agencies Drug & Alcohol plans. The initial contract started in 2020 and can be extended until 2025. The scope and cost are evaluated each year prior to the September 30th renewal date.

These funds will also allow the NDDOT to continue to pay a consultant to conduct onsite vehicle and facility inspections, monitor maintenance of assets and performance to meet TAM requirements. In addition, the NDDOT will use these funds for transit staff salaries and to pay for training and bring in speakers to present relevant topics to the transit agencies.

**Additional Information**

None provided.

**Location Description**

Statewide, ND

**Project Location (Urbanized Areas)**

UZA Code	Area Name
380000	North Dakota

**Congressional District Information**

State	District	Representative
North Dakota	1	Kelly Armstrong

**Program Plan Information****STIP/TIP**

Date: 12/23/2021

Description: Current 2022 - 2025 STIP approved on 12/23/2021. The Transit Projects are included in the attached documentation.

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$707,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$707,000</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-01-00	610-00 (610-A1) STATE / PROGRAMS ADMINISTRATION	\$707,000.00	\$0.00	\$707,000.00	1
ND-2023-009-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$707,000.00	\$0.00	\$707,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

#### Extended Budget Description

This ALI applies FFY2022 Section 5311 rural funds for state/program administration, which is below the 10% maximum amount. State or Program Administration funds are 100% federally funded with no local match required.

The following items are part of the ALI:

- NDDOT to continue to pay for staff salaries to those that directly work with the transit program. These funds will allow the staff to provide needed technical assistance through daily communication and on-site visits.
- NDDOT to continue to pay for a Drug & Alcohol Consultant to do onsite compliance reviews, training, MIS report and monitor updates to federal requirements and transit agencies Drug & Alcohol plans. RFP solicited in June 2020, contract start date of October 1, 2020, initial 1 year with options to extend for 4 years. Scope and fees reviewed annually prior to contract being extended. Contract end date is September 30, 2025, if all renewal options are used.
- NDDOT to continue to pay for a consultant to conduct on-site vehicle and facility inspections, monitor maintenance of assets, and performance to meet TAM requirements.
- NDDOT to continue to pay for BlackCat Software to help track grant applications, payments, funding, provide oversight, compliance, TAM, DBE, etc.
- NDDOT will use these funds to pay for training and bring in speakers because many smaller transit agencies are unable to travel to out-of-state conferences due to staffing challenges. This training allows agencies to stay current on FTA and State Requirements.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$707,000
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$707,000</b>

Milestone Name	Est. Completion Date	Description
RFP - D & A Consultant	6/18/2020	RFP Date
D & A Contract	10/1/2020	Start of Contract
End Date	9/30/2026	All funds expended for this contract. A new D&A RFP will be solicited for this service prior to the end of the contract on 9/30/2025.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$707,000.00	\$707,000.00

### Project Title: RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-009-02-00	1153-2023-1-P2	3/28/2023	7/1/2023	8/31/2026



**Project Description**

This project applies FFY2022 RTAP funds for rural transit agency training and technical services provided by Dakota Transit Association for the annual conference and planning activities.

**Project Benefits**

These funds allow ND rural transit agency employees to attend transit related training and conferences. Most of the transit agencies wouldn't be able to afford to attend conferences and training in and out-of-state without these funds. This request also funds the Dakota Transit Association to assist with costs related to managing their website, conducting PASS training, and the annual DTA Conference.

**Additional Information**

*None provided.*

**Location Description**

Statewide, ND

**Project Location (Urbanized Areas)**

UZA Code	Area Name
380000	North Dakota

**Congressional District Information**

State	District	Representative
North Dakota	1	Kelly Armstrong

**Program Plan Information****STIP/TIP**

Date: 12/23/2021

Description: Current approved 2022-2025 STIP - Transit pages are attached. STIP approve by FTA and FHWA on 12-23-2021.

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$117,492
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$117,492</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-02-00	635-00 (635-A2) RURAL TRANSIT ASSISTANCE PROGRAM	\$117,492.00	\$0.00	\$117,492.00	2
ND-2023-009-02-00	43.50.01 TRAINING	\$77,492.00	\$0.00	\$77,492.00	1
ND-2023-009-02-00	43.50.02 TECHNICAL ASSISTANCE	\$40,000.00	\$0.00	\$40,000.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

#### Extended Budget Description

This ALI applies FFY2022 RTAP funds at 100% federal funding to provide Dakota Transit Association planning and hosting of the annual conference, website development and technical assistance to transit agencies. Funding assists with speakers, training, board member travel and administrative costs.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$40,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$40,000</b>
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Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Start of Project.
End Date	8/31/2026	End of project

**Budget Activity Line Item: 43.50.01 - TRAINING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	1

**Extended Budget Description**

This ALI applies FFY2022 RTAP funds for training costs at 100% federal funding.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$77,492
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$77,492</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	Start of project
End Date	8/31/2026	End of project.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$40,000.00	\$40,000.00
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	1	\$77,492.00	\$77,492.00

### Project Title: Operating Assistance for Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-009-03-00	1153-2023-1-P3	3/28/2023	7/1/2023	8/31/2026

#### Project Description

NDDOT applies FFY2022 5311 Rural funds to provide operating assistance to ND rural transit agencies. Operating funds will cover expenses for existing services, including driver's salaries, fuel, supplies, and maintenance.

#### Project Benefits

Funding for operations is essential for transit agencies in rural communities. These rural communities provide transportation for the general public, elderly and individuals with disabilities to shopping, employment, medical, work, and social appointments. ND rural transit agencies also provide complementary and/or feeder services to other agencies in surrounding communities.

#### Additional Information

*None provided.*

#### Location Description

Statewide, ND

### Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

### Congressional District Information

State	District	Representative
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## Program Plan Information

### STIP/TIP

Date: 12/23/2021

Description: Current 2022-2025 - STIP approved on 12/23/2021. Transit projects are attached on these pages.

### UPWP

Date: Not Provided

Description: N/A

### Long Range Plan

Date: Not Provided

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,834,401
Local			\$3,834,401
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,668,802</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-03-00	600-00 (600-A3) OTHER PROGRAM COSTS	\$3,834,401.00	\$3,834,401.00	\$7,668,802.00	1
ND-2023-009-03-00	30.09.01 UP TO 50% FEDERAL SHARE	\$3,834,401.00	\$3,834,401.00	\$7,668,802.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

#### Extended Budget Description

This ALI applies FFY22 5311 rural funds at a 50/50 match rate, to provide operating assistance to rural transit agencies who provide transportation and coordinate with neighboring transit agencies to provide transportation in their communities. Operating funds will cover expenses for existing service incurred beginning 7/1/2023 until 8/31/2026. Local match funding sources are state aid, mill levy and fundraising.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,834,401
Local			\$3,834,401
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,668,802</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	NDDOT will use these funds to reimburse operating expenses for future contracts. Only eligible expenses will be reimbursed by the NDDOT
End Date	8/31/2026	NDDOT will close contracts and project once all invoicing is completed. This is an approximation of when funding will be expended and updates will be made on future milestones.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$3,834,401.00	\$7,668,802.00

### Project Title: Project Administration for Rural Transit Agencies

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-009-04-00	1153-2023-1-P4	3/28/2023	7/1/2023	8/31/2026

#### Project Description

NDDOT applies FFY2022 5311 rural funds to provide project administration to ND rural transit agencies.

#### Project Benefits

North Dakota rural transit agencies have been doing a very good job of keeping their agencies operating in their service areas. The Project Administration funds will allow them to provide transportation services in their communities and continue normal operations, look at expanding services/hours, and include updated safety procedures.

#### Additional Information

*None provided.*

#### Location Description

Statewide, ND

### Project Location (Urbanized Areas)

UZA Code	Area Name
380000	North Dakota

### Congressional District Information

State	District	Representative
North Dakota	1	Kelly Armstrong

### Program Plan Information

**STIP/TIP**

Date: 10/26/2021

Description: Current 2022-2025 was approved on 12/23/2021. The transit projects are attached on these pages.

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,400,000
Local			\$350,001
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,750,001</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-04-00	620-00 PROJECT ADMINISTRATION (620-A4)	\$1,400,000.00	\$350,001.00	\$1,750,001.00	1
ND-2023-009-04-00	11.79.00 PROJECT ADMINISTRATION	\$1,400,000.00	\$350,001.00	\$1,750,001.00	1

**Project Budget Activity Line Items****Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT	11.79.00	PROJECT	PROJECT	1



ADMINISTRATION (620-00)

ADMINISTRATION

ADMINISTRATION

**Extended Budget Description**

This ALI applies FFY2022 5311 rural funds an 80/20 match rate. This ALI provides project administration for rural transit agencies to provide transportation services in ND for a time period starting 07/01/2023 through 8/31/2026. These funds will be used to cover general administrative expense such as salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing. North Dakota rural transit agencies continue to be affected by the staffing shortages and supply chain issues, these funds will help them continue to operate their regular service. Local match funding sources are state aid, mill levy and fundraising.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,400,000
Local			\$350,001
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,750,001</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	NDDOT will contract with rural transit agencies for administration funds.
End Date	8/31/2026	NDDOT will close this project and associated contracts when all invoicing is complete. This is an approximation of when the funding will be expended, any updates will be made in the future milestones.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or

program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$1,400,000.00	\$1,750,001.00

**Project Title: Intercity Bus - Operating, Project Administration and Preventive Maintenance**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-009-05-00	1153-2023-1-P5	3/28/2023	7/1/2023	8/31/2026

**Project Description**

This project applies FFY2022 5311(f) Intercity Bus (ICB) funds for Operating, Project Administration, and Preventive Maintenance for Intercity Bus Operators in ND.

**Project Benefits**

The intercity bus program is essential in providing transportation throughout ND. This project allows people to move safely throughout the state and continue onto their destinations across the upper United States. ND continues to work with the Intercity providers and encourage coordination with the rural transit agencies to facilitate this program. Transportation is provided through the state with passengers able to coordinate rides with the rural transit agencies to get to the major cities that they intercity bus stops along 1-94. This will allow passengers to safely travel to their destinations by improving transportation reliability.

**Additional Information**

*None provided.*

**Location Description**

Statewide, ND

**Project Location (Urbanized Areas)**

UZA Code	Area Name
380000	North Dakota

**Congressional District Information**

State	District	Representative
North Dakota	1	Kelly Armstrong

**Program Plan Information**

**STIP/TIP**

Date: 10/26/2021

Description: Current 2022 - 2025 STIP was approved on 12/23/2021. Transit projects include on these pages.

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,240,000
Local			\$904,146
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,144,146</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-05-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$1,240,000.00	\$904,146.00	\$2,144,146.00	3
ND-2023-009-05-00	11.79.00 PROJECT ADMINISTRATION	\$427,806.00	\$106,952.00	\$534,758.00	1
ND-2023-009-05-00	11.7A.00 PREVENTIVE MAINTENANCE VEHICLES	\$20,000.00	\$5,000.00	\$25,000.00	1
ND-2023-009-05-00	30.09.01 UP TO 50% FEDERAL SHARE	\$792,194.00	\$792,194.00	\$1,584,388.00	1

**Project Budget Activity Line Items**

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

**Extended Budget Description**

This ALI applies FY2022 5311 rural funds at a 50/50 match rate for operating expenses for intercity bus services, including driver salaries, fuel, maintenance, and supplies. These funds will offset the cost of providing intercity service in the state of ND. Operating funds will cover expenses for existing service incurred beginning 7/1/2023 until 8/31/2026.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$792,194
Local			\$792,194
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,584,388</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	NDDOT will use these funds to reimburse operating expenses for future contracts. Only eligible expenses will be reimbursed by NDDOT.
End Date	8/31/2026	NDDOT will close this project and associated contracts when all invoicing is completed. This is an approximation for when funding will be expended and will be updated in future milestones as needed.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

This ALI applies FFY2022 5311(f) Intercity funds at an 80/20 match rate for Project Administration for Intercity Bus operators to provide transportation services in ND starting 7/1/2023 through 8/31/26. Project Administration funds will be used to cover general administrative expenses such as salaries, marketing expenses, insurance premiums or payments, office supplies, facilities and equipment rental, standard overhead rates, and cost of administering drug and alcohol testing. Local match will be provided by state

aid, mill levy, and fundraising.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$427,806
Local			\$106,952
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$534,758</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	NDDOT will reimburse funds for future contracts
End Date	8/31/2026	NDDOT will close this project and associated contracts when all invoicing is completed. This is an approximation of when the funding will be expended and updates will be made in future milestones.

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE VEHICLES**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

This ALI applies FFY2021 5311(f) Intercity funds at an 80/20 match rate for preventive maintenance and repair items for intercity bus service provider Standing Rock Public Transportation to maintain their vehicles. These funds offset the costs association with maintaining safe and reliable vehicles for intercity operations. Intercity Bus Preventive Maintenance funds will cover eligible expenses incurring beginning 7/1/2023 until 8/31/2026. Local match to be provided with state aid, mill levy or tribal funds. Any items purchased over \$5,000 will follow the manufacturers useful life and be included in the list of assets upon grant closeout.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,000
Local			\$5,000
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$25,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	NDDOT contract start date with intercity provider
End Date	8/31/2026	NDDOT will close this project and associated contracts when all invoicing is completed. This is an approximation of when the funding will be expended and updates made to future milestones.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
6/1/2023	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$792,194.00	\$1,584,388.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	1	\$427,806.00	\$534,758.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.7A.00	PREVENTIVE MAINTENANCE VEHICLES	1	\$20,000.00	\$25,000.00

**Project Title: Preventive Maintenance/Capital for Rural Transit Agencies**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ND-2023-009-06-00	1153-2023-1-P6	3/28/2023	7/1/2023	8/31/2026

**Project Description**

NDDOT is requesting FFY2022 5311 for rural agencies to fund small capital /maintenance expense needed for them to provider transportation services in their communities.

**Project Benefits**

Due to supply chain issues, agencies are forced to make more costly repairs on older fleet to keep them going until the new ADA vehicles arrive. These preventive maintenance funds will allow them to do repairs and make other small capital purchases.

**Additional Information**

*None provided.*

**Location Description**

Statewide, ND

**Project Location (Urbanized Areas)**

UZA Code	Area Name
380000	North Dakota

**Congressional District Information**

State	District	Representative
North Dakota	1	Kelly Armstrong

**Program Plan Information****STIP/TIP**

Date: 10/26/2021

Description: Current 2022 - 2025 STIP approved on 12/23/2021. The Transit pages are attached here.

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$115,000
Local			\$28,750
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0



Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$143,750</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ND-2023-009-06-00	600-00 (600-A6) OTHER PROGRAM COSTS	\$115,000.00	\$28,750.00	\$143,750.00	1
ND-2023-009-06-00	11.7A.00 PREVENTIVE MAINTENANCE VEHICLES	\$115,000.00	\$28,750.00	\$143,750.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE VEHICLES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

#### Extended Budget Description

NDDOT is requesting FFY2022 5311 rural funds for Preventive Maintenance at an 80/20 match rate for rural agencies to fund small capital /maintenance expense needed for them to provider transportation services in their communities. Due to supply chain issues, agencies are forced to make more costly repairs on older fleet to keep them going until the new ADA vehicles arrive. These preventive maintenance funds will allow them to do repairs and make other small capital purchases. Any items purchased over \$5,000 will follow the manufacturers useful life and be included in the list of assets upon grant closeout. Local match funding sources are state aid, mill levy and fundraising.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$115,000
Local			\$28,750
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$143,750</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	NDDOT will contract for preventive maintenance funds.
End Date	8/31/2026	NDDOT will close this project and associated contracts when all invoicing is complete. This is an approximation of when the funding will be expended, any updates will be made in the future milestones.

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE VEHICLES	1	\$115,000.00	\$143,750.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By Ranae Tunison**

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Comment Type DOL Review for Information

Date 6/20/2023

Comment Becky Hanson  
Transit Program Manager

701.328.2542 • bhanson@nd.gov

## Application Review Comments

**Comment By Ranae Tunison**

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Comment Type Pre-Award Manager Returns Application

Date 4/11/2023

Comment