

## Application

<b>Federal Award Identification Number (FAIN)</b>	ME-2023-021-00
<b>Temporary Application Number</b>	6722-2023-1
<b>Award Name</b>	HBMI CARES Grant
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A	
<b>Original Period of Performance End Date</b>	12/31/2026	
<b>Current Period of Performance End Date</b>	N/A	Revision #: N/A

### Part 1: Recipient Information

**Name: HOULTON BAND OF MALISEET INDIANS**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6722	Indian Tribe	HOULTON BAND OF MALISEET INDIANS	MEE7DX1FGAM5	118090216

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	88 BELL RD	LITTLETON	ME	04730
Mailing Address	88 BELL ROAD	LITTLETON	ME	04730

### Union Information

There are no union contacts for this application

### Part 2: Application Information

## Title: HBMI CARES Grant

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
ME-2023-021-00	Final Concurrence / Reservation	Grant	Region 1	5/19/2023	5/19/2023	No

### Application Executive Summary

This is an FFY 2020 Section 5311 CARES Act funding application (Maine Statewide, UZA) in the amount of \$46,828, representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus. The requested funds will be used for the following activities in continuing to provide transit service to the public during the COVID-19 pandemic:  
- Operating Assistance

In accordance with FTAs circulars 9040.1G and 9030.1E Preventative Maintenance activities are considered operating costs.

The Houlton Band of Maliseet Indians agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

FTA planning requirements tribes are not subject to federal planning requirements, and the FTA will not require tribes to attach tribal transportation plans to a STIP (Statewide Transportation Improvement Program).

Local share requirement the FTA does not require a non-federal matching share for Tribal Transit Program grants.

Federal labor protections because direct grants from the FTA to tribes do not involve a state-recipient relationship, the labor protective provisions of 49 U.S.C. 5333 (b) do not apply to this program.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The HBMI will utilize IDC of 38.61% (See attached approval from DOI).

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The HBMI Tribe will utilize this award to maintain existing transit services on the reservation.

**Activities to be performed:**

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year, and preventive maintenance.

**Expected outcomes:**

Funding will permit the HBMI Tribe to continue to provide service to tribal area.

**Intended beneficiaries:**

HBMI Tribe transit riders.

**Subrecipient Activities:**

None

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Burns	Transportation Program Specialist	michael.burns1@dot.gov	(617) 494-2484
Brian	Stewart	Tribal Administrative Planner	bstewart@maliseets.com	(207) 532-4273

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$46,828
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$46,828</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2023-021-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$46,828.00	\$0.00	\$46,828.00	0
ME-2023-021-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$46,828.00	\$0.00	\$46,828.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: HBMI CARES ACT - OPERATING

Project Number	Temporary Project Number	Date Created	Start Date	End Date
ME-2023-021-01-00	6722-2023-1-P1	5/19/2023	6/1/2023	12/31/2026

#### Project Description

This project funds the Houlton Band of Maliseet Indians operating expenses incurred June 1, 2023 forward in the amount of \$46,828.

#### Project Benefits

To assist the Houlton Band of Maliseet Indian tribe in continuing to provide transit service to the public during the COVID-19 pandemic.

#### Additional Information

*None provided.*

#### Location Description

Project will be located on HBMI Reservation in the Town of Houlton, Maine and through Aroostook County.

## Project Location (Urbanized Areas)

UZA Code	Area Name
230000	Maine

## Congressional District Information

State	District	Representative
Maine	2	Bruce Poliquin

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$46,828
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$46,828</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
ME-2023-021-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$46,828.00	\$0.00	\$46,828.00	0
ME-2023-021-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$46,828.00	\$0.00	\$46,828.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

In accordance with FTA’s circulars 9040.1G and 9030.1E Preventative Maintenance activities are considered operating costs.

Funds will be used for all operating activities (net fare revenues) incurred for demand response, ADA Paratransit, Fixed Route and Shuttle Services. Operating expenses include costs necessary to operate, maintain, and manage a public transportation system including driver salaries, fuel, personal protective equipment, cleaning supplies and administrative leave for employees put on leave due to reductions in service or leave required for a quarantined worker and leave for an employee to receive the vaccine and recover from potential side effects.

Preventive maintenance is considered an operating activity and includes activities for vehicles and facilities includes all activities, supplies, materials, labor, services, and associated costs required to preserve or extend the functionality and serviceability of the asset in a cost-effective manner, up to and including the current state of the art for maintaining such asset. Some examples of activities funded include but are not limited to: - Increase in daily cleaning of rolling stock and facilities; - Hand Sanitizers & Dispenser; - Public Service Announcements and signage related to the prevention of spreading COVID-19; - Increase in Cleaning of Bus Shelters

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$46,828
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$46,828</b>

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2023	Begin incurring expenses for CARES Act funds
End Date	12/31/2026	All activities completed.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Michael Burns

Comment Type	DOL Review for Information
Date	6/1/2023
	<p>This is an FFY 2020 Section 5311 CARES Act funding application (Maine Statewide, UZA) in the amount of \$46,828, representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus. The requested funds will be used for the following activities in continuing to provide transit service to the public during the COVID-19 pandemic:</p> <ul style="list-style-type: none"> <li>- Operating Assistance</li> </ul>
	<p>In accordance with FTAs circulars 9040.1G and 9030.1E Preventative Maintenance activities are considered operating costs.</p>
Comment	<p>The Houlton Band of Maliseet Indians agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.</p> <p>FTA planning requirements tribes are not subject to federal planning requirements, and the FTA will not require tribes to attach tribal transportation plans to a STIP (Statewide Transportation Improvement Program). Local share requirement the FTA does not require a non-federal matching share for</p>

Tribal Transit Program grants.

Federal labor protections because direct grants from the FTA to tribes do not involve a state-recipient relationship, the labor protective provisions of 49 U.S.C. 5333 (b) do not apply to this program.