

## Application

|   |   |
|---|---|
| <b>Federal Award Identification Number (FAIN)</b> | AZ-2023-024-00  |
| <b>Temporary Application Number</b>               | 7292-2022-1   |
| <b>Award Name</b>                                 | Hopi Tribe FY2021 Section 5311 Tribal Transit Formula, American Rescue Plan, Operating Assistance |
| <b>Application Status</b>                         | Final Concurrence / Reservation   |
| <b>Application Budget Number</b>                  | 0   |

|  |                     |
|--|---------------------|
| <b>Period of Performance Start Date</b>        | N/A                 |
| <b>Original Period of Performance End Date</b> | 8/31/2029           |
| <b>Current Period of Performance End Date</b>  | N/A Revision #: N/A |

### Part 1: Recipient Information

**Name: HOPI TRIBE**

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS      |
|--------------|--------------------|-----------------|-----|-----------|
| 7292         | Indian Tribe       | Hopi Tribe      |     | 116136961 |

| Location Type    | Address       | City               | State | Zip   |
|------------------|---------------|--------------------|-------|-------|
| Headquarters     | 1 MAIN ST     | KYKOTSMOVI VILLAGE | AZ    | 86039 |
| Physical Address | 123 MAIN ST   | KYKOTSMOVI VILLAGE | AZ    | 86039 |
| Mailing Address  | P. O. BOX 123 | KYKOTSMOVI         | AZ    | 86039 |

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Hopi Tribe FY2021 Section 5311 Tribal Transit Formula, American Rescue Plan, Operating Assistance**

| <b>FAIN</b>    | <b>Application Status</b>       | <b>Award Type</b> | <b>Application Cost Center</b> | <b>Date Created</b> | <b>Last Updated Date</b> | <b>From TEAM?</b> |
|----------------|---------------------------------|-------------------|--------------------------------|---------------------|--------------------------|-------------------|
| AZ-2023-024-00 | Final Concurrence / Reservation | Grant             | Region 9                       | 10/11/2021          | 10/11/2021               | No                |

**Application Executive Summary**

This is an FFY 2021 Section 5311 ARP funding application to serve the Hopi Reservation in northeastern Arizona in the amount of \$485,376, representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus and its effect on the Hopi Reservation. The application scope of work includes route expansion of the Winslow Route, a route to Turquoise Well, expansion of the Keams Canyon Route and increased customer service. The period of performance will be from January 20, 2020 to August 31, 2029.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. Operating funds will cover expenses for new and expanded service as defined in the National Transit Database until August 31, 2029.

Federal Funds: Operating Assistance, FY2021 5311 Tribal Formula ARP, Hopi Tribe, Arizona in the amount of \$485, 376.

Local match: \$0

Total Project Cost: \$485,376

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The Hopi Tribe will use \$485,376 in FY2021 ARP funds to support capital, operating and other expenses incurred beginning on January 20, 2020, to prevent, prepare for, and respond to COVID-19 through August 31, 2029.

**Activities to be performed:**

ARP funds will be used to expand existing transit routes in areas on the Hopi and Navajo reservations without transit service. Service will be provided for the general public to travel to a new tribal work site presently without transit service and to the new Dilkon Medical Facility using a route that will serve unserved areas.

Funding will be provided at a 100-percent federal share, with no local match required, and will be available to support capital, operating, and other expenses generally eligible under Section 5311 to prevent, prepare for, and respond to COVID-19.

**Expected outcomes:**

Expanded routes and increased transit services will increase the quality and frequency of service and will make our services more reliable to the Hopi Reservation and the general public. To support capital, operating, and other expenses generally eligible under Section 5311 to prevent, prepare for, and respond to COVID-19. This includes economic or other conditions caused by COVID-19 and are thus eligible under the ARP Act.

**Intended beneficiaries:**

Intended beneficiaries will be the residents of the Hopi Reservation, a remote federally-recognized Native American Tribe, and the general public of surrounding areas will benefit from expanded routes and increased transit services for employments, health care, education, shopping and essential and recreational travel.

**Subrecipient Activities:**

None

## Application Point of Contact Information

| First Name | Last Name | Title                         | E-mail Address        | Phone          |
|------------|-----------|-------------------------------|-----------------------|----------------|
| Michelle   | Ruan      | Community Planner             | michelle.ruan@dot.gov | 205-220-7954   |
| Phil       | Yue       | Program Management Specialist | Phil.Yue@dot.gov      | (415) 734-9476 |
| Donovan    | Gomez     | Transit Administrator         | dgomez@hopi.nsn.us    | 928-734-3231   |

## Application Budget Control Totals

| Funding Source                              | Section of Statute | CFDA Number | Amount           |
|---|--------------------|-------------|------------------|
| 5311 -Tribal Transit Program Formula (ARPA) | 5311-10C           | 20509       | \$485,376        |
| Local                                       |                    |             | \$0              |
| Local/In-Kind                               |                    |             | \$0              |
| State                                       |                    |             | \$0              |
| State/In-Kind                               |                    |             | \$0              |
| Other Federal                               |                    |             | \$0              |
| Transportation Development Credit           |                    |             | \$0              |
| Adjustment                                  |                    |             | \$0              |
| <b>Total Eligible Cost</b>                  |                    |             | <b>\$485,376</b> |

## Application Budget

| Project Number    | Budget Item  | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| AZ-2023-024-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS                      | \$485,376.00 | \$0.00         | \$485,376.00          | 1        |
| AZ-2023-024-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$485,376.00 | \$0.00         | \$485,376.00          | 1        |

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Hopi Senom Transit Route and Service Expansion

| Project Number    | Temporary Project Number | Date Created | Start Date | End Date  |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2023-024-01-00 | 7292-2022-1-P2           | 5/19/2023    | 1/20/2020  | 8/31/2029 |

### Project Description

This is a Section 5311 ARP application in the amount of \$485,376 representing 100% federal share of the total eligible amount. This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus. The application scope of work is Operating Assistance and will be used for assisting with various transit operating expenses related to the COVID-19 public health emergency and to assist with expenses for operating costs. The application scope of work includes expanding existing routes to provide service to unserved areas of the Hopi Reservation to provide access to employment, health care,

education and shopping.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per ARP, the project in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Operating funds will cover expenses for existing service incurred from January 20, 2020 until September 30, 2029.

Operating Assistance Federal Funds (100%):

FFY2021 ARP Section 5311-10C (Tribal Grants (ARPA) (UZA #40000): \$485,376

TOTAL FFY21 ARP Federal Section 5311 Funds/Eligible Project Cost: \$485,376

**Project Benefits**

Pursuant to FTA’s Emergency Relief rule at 49 CFR part 602, project benefits include emergency protective measures to eliminate or lessen threats to public health and safety such as performing enhanced cleaning and sanitizing of rolling stock, transit office and restrooms and providing personal protective equipment as appropriate.

**Additional Information**

Hopi Senom Transit provides transit services of a remote Indian Reservation of over 8,465 people over 2,531 square miles in Northern Arizona.

**Location Description**

The Hopi Tribe is a sovereign nation located in northeastern Arizona. The reservation occupies part of Coconino and Navajo counties, encompasses more than 1.5 million acres at an elevation of 5,800-6,000 feet and is made up of 12 villages on three mesas located in four regions referred to as First Mesa, Second Mesa, and Third Mesa. The Hopi trace their history back thousands of years, making them one of the oldest living cultures in the world with some of the Hopi villages among the oldest continuously occupied settlements in the North American continent. The reservation occupies over 2,400 square miles in east north-central Arizona, 60 miles north of Winslow in Navajo County and 90 miles northeast of Flagstaff, northern Arizona's largest city. Although the trade area population exceeds 23,000, the Hopi Reservation population of approximately 7,000 creates a small market and limited labor pool. The reservation's isolation is intensified by political and social factors since it is completely surrounded by the Navajo Reservation and most commercial functions are supplied by off-reservation communities. The remoteness and expanse of Hopitutskwa (Hopi land) has isolated the Hopi people from the outside world and has helped to preserve the culture.

**Project Location (Urbanized Areas)**

| UZA Code | Area Name |
|----------|-----------|
| 040000   | Arizona   |

**Congressional District Information**

| State   | District | Representative |
|---------|----------|----------------|
| Arizona | 2        | Elijah Crane   |

## Program Plan Information

### STIP/TIP

Date: Not Provided

Description: This project is exempt from the Hopi Tribe's STIP/TIP.

### UPWP

Date: Not Provided

Description: The Hopi Tribe is not required to submit a Unified Planning Work Program.

### Long Range Plan

Date: Not Provided

Description: This project is exempt from the Hopi Tribe's Long Range Plan.

## Project Control Totals

| Funding Source                              | Section of Statute | CFDA Number | Amount           |
|---|--------------------|-------------|------------------|
| 5311 -Tribal Transit Program Formula (ARPA) | 5311-10C           | 20509       | \$485,376        |
| Local                                       |                    |             | \$0              |
| Local/In-Kind                               |                    |             | \$0              |
| State                                       |                    |             | \$0              |
| State/In-Kind                               |                    |             | \$0              |
| Other Federal                               |                    |             | \$0              |
| Transportation Development Credit           |                    |             | \$0              |
| Adjustment                                  |                    |             | \$0              |
| <b>Total Eligible Cost</b>                  |                    |             | <b>\$485,376</b> |

## Project Budget

| Project Number    | Budget Item  | FTA Amount   | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| AZ-2023-024-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS                      | \$485,376.00 | \$0.00         | \$485,376.00          | 1        |
| AZ-2023-024-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$485,376.00 | \$0.00         | \$485,376.00          | 1        |

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

| Scope Name / Code            | Line Item # | Line Item Name                                  | Activity             | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08    | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | OPERATING ASSISTANCE | 1        |

**Extended Budget Description**

ARP funds in the amount of \$485,376 will be used for operating expenses necessary to operate, maintain and manage the Hopi Tribe's public transportation system. Operating expenses include drivers, staff and administrative salaries, fuel, tires and items having a useful life of less than one year, cleaning supplies and other necessary items such as personal protective equipment as per the Hopi Senom Transit Uniform, Personal Protective Equipment and Dress Code Policy.

ARP funds will be used to expand existing transit routes in areas on the Hopi and Navajo reservations without transit service. Service will be provided for the general public to travel to a new tribal work site presently without transit service and to the new Dilkon Medical Facility using a route that will serve unserved areas. This includes a route expansion of the Winslow Route, a route to Turquoise Well, expansion of the Keams Canyon Route and increased customer service.

Operating Assistance Federal Funds (100%):

FFY2021 ARP Section 5311-10C (Tribal Grants (ARPA) (UZA #40000): \$485,376

TOTAL FFY21 ARP Federal Section 5311 Funds/Eligible Project Cost: \$485,376

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source                              | Section of Statute | CFDA Number | Amount           |
|---|--------------------|-------------|------------------|
| 5311 -Tribal Transit Program Formula (ARPA) | 5311-10C           | 20509       | \$485,376        |
| Local                                       |                    |             | \$0              |
| Local/In-Kind                               |                    |             | \$0              |
| State                                       |                    |             | \$0              |
| State/In-Kind                               |                    |             | \$0              |
| Other Federal                               |                    |             | \$0              |
| Transportation Development Credit           |                    |             | \$0              |
| Adjustment                                  |                    |             | \$0              |
| <b>Total Eligible Cost</b>                  |                    |             | <b>\$485,376</b> |

| Milestone Name | Est. Completion Date | Description   |
|----------------|----------------------|---|
| Start Date     | 1/20/2020            | Requested funds will be used for Operating Assistance for the Hopi Tribe to operate its public transportation system, Hopi Senom Transit. Start Date is January 20, 2020. |
| End Date       | 8/31/2029            | Expenditures will be complete on 8/31/2029.   |

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description      | Date      |
|-----------------------|-----------|
| Class IIc CE Approved | 1/20/2023 |

| Scope Name / Code            | Line Item Number | Line Item Name                                  | Quantity | FTA Amount   | Total Eligible Cost |
|------------------------------|------------------|---|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08         | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | 1        | \$485,376.00 | \$485,376.00        |

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By **Michelle Ruan**

Comment Type DOL Review for Information

Date 6/28/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.

### Application Review Comments

Comment By **Michelle Ruan**

Comment Type Pre-Award Manager Returns Application

Date 5/22/2023

Comment



**Comment By Michelle Ruan**

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Comment Type Pre-Award Manager Returns Application

Date 6/26/2023

Comment Additional edit needed. - MR