

Application

Federal Award Identification Number (FAIN)	AZ-2023-023-00
Temporary Application Number	7292-2021-2
Award Name	Hopi Tribe, FY2021 5311 Tribal Transit Formula CRRSAA, Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	12/31/2029
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: HOPI TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7292	Indian Tribe	Hopi Tribe		116136961

Location Type	Address	City	State	Zip
Headquarters	1 MAIN ST	KYKOTSMOVI VILLAGE	AZ	86039
Physical Address	123 MAIN ST	KYKOTSMOVI VILLAGE	AZ	86039
Mailing Address	P. O. BOX 123	KYKOTSMOVI	AZ	86039

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hopi Tribe, FY2021 5311 Tribal Transit Formula CRRSAA, Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-023-00	Final Concurrence / Reservation	Grant	Region 9	6/9/2021	6/9/2021	No

Application Executive Summary

This is an FFY 2021 Section 5311 CRRSAA application in the amount of \$450,558 representing 100% federal share of the total eligible amount. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. The application scope of work is Operating Assistance and will be used for assisting with various transit operating expenses related to the COVID-19 public health emergency and to assist with operating costs associated with the pandemic. Funding will be provided at a 100-percent federal share, with no local match required, and will be available to support capital, operating, and other expenses generally eligible under Section 5311 to prevent, prepare for, and respond to COVID-19. The period for this funding will be from January 20, 2020 to December 31, 2029.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the project in this application is not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Federal Funds: Operating assistance, FY2021 5311 Tribal Formula CRRSAA, Hopi Tribe, Arizona in the amount of \$450,558.

Local Match: \$0

Total Project Cost: \$450,558.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Hopi Tribe will use \$450,558 in CRRSAA funds to support operating expenses of all five Hopi Senom Transit routes (Keams Canyon Route, Flagstaff Route, Winslow Route, Tuba City/Moencopi Route and the Midday Route) for expenses incurred beginning on January 20, 2020, to prevent, prepare for, and respond to COVID-19 through December 31, 2029. The November 2019 closure of the Navajo Generating Station power plant has resulted in the loss of an estimated 85 percent of the Hopi Tribe's source of income from coal sales from the Peabody Mine. With the Tribe now focusing on economic development due to the Mine closure and the effects of the COVID-19 pandemic, Hopi Senom Transit is an extremely valuable resource and important part of the Tribe's economic development effort by providing transportation for employment, seeking job opportunities, job training and education as well as medical services, social services and essential shopping.

Activities to be performed:

The Hopi Tribe will use \$450,558 in CRRSAA funds to operate public transportation services on the Hopi Reservation and surrounding areas to include operating and administrative wages, salaries and fringe benefits, wages and salaries for temporary employees, fuel and other needed vehicle fluids, tires, parts, uniforms, PPE, office supplies, administrative supplies, promotional items, marketing materials and costs, postage, printing, phones, internet, general liability insurance, Drug & Alcohol Program expenses, necessary and required travel for training, conferences.

Expected outcomes:

To maintain public transit service at a critical time for the Hopi Tribe on all five Hopi Senom Transit routes by supporting operating expenses eligible under Section 5311 and to rebuild our ridership base that went to zero as Hopi Senom Transit was shut down for 16 months due to the pandemic. This includes rebuilding our staffing with driver recruitment, mechanic recruitment, increasing wages and salaries to retain and recruit qualified, drug-free employees.

Intended beneficiaries:

As per the Hopi Tribal Consolidated Strategic Plan, Area of Transportation, Hopi Senom Transits will provide safe, efficient and dependable transportation and public road access to and within Hopi lands, to residents of the 12 Hopi and Tewa Villages, community members, visitors, recreational users, and others, while contributing to economic development, self-determination, and employment on Hopi lands. As public transportation, beneficiaries include Navajo Nation tribal members and members of the general public.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michelle	Ruan	Community Planner	michelle.ruan@dot.gov	205-220-7954
Donovan	Gomez	Transit Administrator	dgomez@hopi.nsn.us	928-734-3231

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$450,558
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$450,558

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-023-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$450,558.00	\$0.00	\$450,558.00	1
AZ-2023-023-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$450,558.00	\$0.00	\$450,558.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Hopi Tribe 5311 Supporting Public Transportation in Response to COVID-19 on the Hopi Reservation |CRRSAA

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-023-01-00	7292-2021-2-P1	6/9/2021	1/20/2020	12/31/2029

Project Description

CRRSAA funds will be used to maintain public transit service for all five Hopi Senom Transit routes at a critical time for the Hopi Tribe. The November 2019 closure of the coal-fired Navajo Generating Station power plant has resulted in the loss of an estimated 85 percent of the Hopi Tribe's source of income from coal sales from the Peabody Mine. And like many Native American Tribes, the Hopi Tribe has been disproportionately affected by COVID-19 with a 16-month closure of the Tribe, affecting the Tribe's small economic enterprise structure. With the Tribe focusing on economic development to replace rapidly decreasing funds, Hopi Senom Transit is a valuable resource and important part of the Tribe's economic development effort by providing transportation to employment, job opportunities, job training and education as well as medical services, social services and essential shopping. The period of performance will be from January 20, 2020 to December 31, 2029.

Operating Assistance Federal Funds (100%):

FFY2021 ARP Section 5311-9B Tribal Grants (ARPA) (UZA #40000): \$450,558

TOTAL FFY21 ARP Federal Section 5311 Funds/Eligible Project Cost: \$450,558

Project Benefits

Maintaining full transit service on five routes and bolstering Hopi Senom Transit to pre-pandemic levels and above in a difficult economic time and environment will benefit our ridership and is important to the Hopi Tribe. With the size and remoteness of the Hopi Reservation, US Census data shows that 17.9% of tribal residents do not have access to an automobile, and another 38% percent of households have only one vehicle available. With 34% of the Tribe's residents living below poverty level, many who have access to automobiles have difficulty keeping them maintained and fueled. Public transit services are vital to the Hopi Tribe and the continued operation of a Tribal Transit Program will have purpose and function to serve a traditional and cultural homeland with its own distinctive lifestyle and culture.

Additional Information

Hopi Senom Transit:

- Provides the Hopi Reservation and surrounding areas with affordable, accessible and reliable rural transportation.
- Address the mobility needs of the Hopi Tribe as well as Arizona's rural population in northeastern Arizona.
- Provides access to employment, education, health care, shopping, and recreation on the Hopi Reservation, Tuba City, Flagstaff and Winslow.
- Provides the general public and employees of local government and private sector agencies with fixed-route transportation services on the Hopi Reservation and surrounding areas.

Location Description

The Hopi Tribe is a sovereign nation located in northeastern Arizona. The reservation occupies part of Coconino and Navajo counties, encompasses more than 1.5 million acres at an elevation of 5,800-6,000 feet and is made up of 12 villages on three mesas located in four regions referred to as First Mesa, Second Mesa, and Third Mesa. The Hopi trace their history back thousands of years, making them one of the oldest living cultures in the world with some of the Hopi villages among the oldest continuously occupied settlements in the North American continent. The reservation occupies over 2,400 square miles in east north-central Arizona, 60 miles north of Winslow in Navajo County and 90 miles northeast of Flagstaff, northern Arizona's largest city. Although the trade area population exceeds 23,000, the Hopi Reservation population of approximately 7,000 creates a small market and limited labor pool. The reservation's isolation is intensified by political and social factors since it is completely surrounded by the Navajo Reservation and most commercial functions are supplied by off-reservation communities. The

remoteness and expanse of Hopitutskwa (Hopi land) has isolated the Hopi people from the outside world and has helped to preserve the culture.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	2	Elijah Crane

Program Plan Information

STIP/TIP

Date: Not Provided

Description: This project is exempt from the Hopi Tribe's STIP/TIP.

UPWP

Date: Not Provided

Description: The Hopi Tribe is not required to submit a Unified Planning Work Program.

Long Range Plan

Date: Not Provided

Description: This project is exempt from the Hopi Tribe's Long Range Plan.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$450,558
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$450,558

Project Budget

Project Number	Budget Item	FTA	Non-	Total	Quantity
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			Amount	FTA Amount	Eligible Amount	
AZ-2023-023-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$450,558.00	\$0.00	\$450,558.00	1
AZ-2023-023-01-00		30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$450,558.00	\$0.00	\$450,558.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

CRRSAA funds in the amount of \$450,558 will be used for operating expenses necessary to operate, maintain and manage the Hopi Tribe's public transportation system. Operating expenses include driver, staff and administrative salaries, fuel and items to include personal protective equipment as per the Hopi Senom Transit Uniform, Personal Protective Equipement and Dress Code Policy, cleaning supplies and other necessary items.

The November 2019 closure of the Navajo Generating Station power plant has resulted in the loss of an estimated 85 percent of the Hopi Tribe's source of income from coal sales from the Peabody Mine. With the Tribe now focusing on economic development due to the Mine closure and the effects of the COVID-19 pandemic, Hopi Senom Transit is an extremely valuable resource and important part of the Tribe's economic development effort by providing transportation for employment, seeking job opportunities, job training and education as well as medical services, social services and essential shopping. In addition, the Hopi Tribe has been disproportionately affected by COVID-19 with a 16-month closure of the Tribe affecting the Tribes small economic enterprise structure. With the Tribe focusing on economic development to replace rapidly decreasing funds, Hopi Senom Transit is a valuable resource and important part of the Tribes economic recovery.

Operating Assistance Federal Funds (100%):

FFY2021 ARP Section 5311-9B Tribal Grants (ARPA) (UZA #40000): \$450,558

TOTAL FFY21 ARP Federal Section 5311 Funds/Eligible Project Cost: \$450,558

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$450,558
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$450,558

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Requested funds will be used for Operating Assistance for the Hopi Tribe to operate Hopi Senom Transit.
End Date	12/31/2029	Expenditures will be complete on December 31, 2029.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	1/20/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$450,558.00	\$450,558.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michelle Ruan

Comment Type DOL Review for Information

Date 6/28/2023

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Michelle Ruan

Comment Type Pre-Award Manager Returns Application

Date 5/22/2023

Comment Please refer to 5/22/23 email.

Comment By Michelle Ruan

Comment Type Pre-Award Manager Returns Application

Date 6/1/2023

Comment

Comment By Michelle Ruan

Comment Type Pre-Award Manager Returns Application

Date 6/5/2023

Comment

Comment By Michelle Ruan

Comment Type Pre-Award Manager Returns Application

Date 6/12/2023

Comment