

Application

Federal Award Identification Number (FAIN)	AZ-2023-017-00
Temporary Application Number	6717-2022-3
Award Name	Hualapai Tribal Council, FY2021 5311 Tribal Transit Formula CRRSAA, Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2025
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: HUALAPAI TRIBAL COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6717	Indian Tribe	Hualapai Tribal Council	QSKUU9K352J1	035883487

Location Type	Address	City	State	Zip
Headquarters	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Physical Address	941 HUALAPAI WAY	PEACH SPRINGS	AZ	86434
Mailing Address	PO BOX 179	PEACH SPRINGS	AZ	86434

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Hualapai Tribal Council, FY2021 5311 Tribal Transit Formula CRRSAA, Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-017-00	Final Concurrence / Reservation	Grant	Region 9	8/12/2022	8/12/2022	No

Application Executive Summary

This application to request FY2021 5311 Tribal Formula CRRSAA apportioned to Hualapai Tribal Council, Arizona, in the amount of \$381,006.

This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus and its effect on the Hualapai Tribal Council.

Hualapai Tribal Council agrees that if it received Federal funding from the Federal emergency Management Act (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Federal Funds: Operating Assistance, FY2021 5311 Tribal Formula CRRSAA, Hualapai Tribal Council, Arizona in the amount of \$381,006.

Local match: \$0

Total Project Cost: \$381,006.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

The de minimus 10% indirect cost rate is applied to this application.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

This application to request FY2021 5311 Tribal Formula CRRSAA apportioned to Hualapai Tribal Council, Arizona, in the amount of \$381,006. The purpose of this grant for \$381,006 in Section 5311 CRRSAA for the Hualapai Tribe is to provide operating funds for its Transit Bus Services for the period of January 1, 2021, through June 30, 2023.

The purpose of this application is to assist with operational funds that will include expenses directly related to system operations: fuel, oil, licenses, vehicle maintenance, salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager. \$200,000 Administration funds will be used for administration supplies/equipment, salaries for the project director, transit manager, and the administrative clerk. \$181,006 Marketing expenses, insurance premiums, or payments to a self-insurance reserve, office supplies, equipment rental and drug employee physicals, and alcohol test administration.

Activities to be performed:

Funds will be used to cover all eligible operating expenses such as fuel, items having a useful life of less than one year, preventative maintenance, salaries for 13 employees.

The activities that will be performed is to sustain the current transit employee positions, continue transit operations and maintain equipment.

The outcomes of having this grant application approved will be to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The inflated fuel rates have also tremendously affected the Tribe as the monthly fuel bill has doubled in costs from being \$6,921.84 in April 2021 to \$11,434.06 in the month of April, 2022.

Expected outcomes:

The outcomes of having this grant application approved will be to improve the Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. This transit service will benefit tribal community members on the remote reservation of the Hualapai Tribe (most of whom are low-income) providing them access to employment, medical appointments, educational training and other important meetings and tasks.

Intended beneficiaries:

The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions. The current wages that are in place are not competitive with jobs in this rural area. The job market with warehouse work, or other rural transit agencies pay higher wages thus making it difficult to recruit qualified drivers and dispatchers. The salaries for the current transit specialist/dispatcher and transit operators will be pay for a 25% increase upon approval of the job reclassification by the tribe.

Subrecipient Activities:

none

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
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Darlene	Wakayuta	Transportation Planner	dwakayuta@hualapai-nsn.gov	(928) 769-2216
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$381,006
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$381,006

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$381,006.00	\$0.00	\$381,006.00	1
AZ-2023-017-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$381,006.00	\$0.00	\$381,006.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-017-01-00	6717-2022-3-P1	3/23/2023	6/30/2023	9/30/2025

Project Description

Salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager. Administration funds will be used for administration supplies/equipment, salaries for the project director, transit manager, and the administrative clerk. Marketing expenses, insurance premiums, or payments to a self-insurance reserve, office supplies, equipment rental and drug employee physicals, alcohol test administration and fuel.

Project Benefits

The benefits of this grant award will improve the tribe Tribal Transit program by retaining employees and attracting qualified job applicants for open transit positions.

Additional Information

None provided.

Location Description

Hualapai Transit's hub is the community of Peach Springs, Arizona. Hualapai Transit operates 8 buses over 6 routes in daily commuter, local and tripper services for the communities of Peach Springs, Seligman, Truxton, Valentine, Hackberry, Valle Vista, Butler and Kingman in Northern Mohave County Arizona.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information**STIP/TIP**

Date: 10/1/2021

Description: Tribal TIP for the Hualapai Tribe approved FY22.

UPWP

Date: 5/6/2021

Description: upwp

Long Range Plan

Date: 12/1/2014

Description: lrtp

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$381,006
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$381,006

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$381,006.00	\$0.00	\$381,006.00	1
AZ-2023-017-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$381,006.00	\$0.00	\$381,006.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Salaries and fringe benefits for drivers, dispatchers and transit supervisor/operations manager. \$200,000 Administration funds will be used for administration supplies/equipment, salaries for the project director, transit manager, and the administrative clerk. \$181,006 Marketing expenses, insurance premiums, or payments to a self-insurance reserve, office supplies, equipment rental and drug employee physicals, alcohol test administration and fuel.

Federal Funds: FY2021 5311 Tribal Formula CRRSAA, operating assistance Hualapai Tribal Council, Arizona in the amount of \$381,006. Local match : \$0
Total Project Cost: \$381,006.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$381,006

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$381,006

Milestone Name	Est. Completion Date	Description
Start Date	6/30/2023	start date
End Date	9/30/2025	end date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	4/3/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$381,006.00	\$381,006.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 6/5/2023

Comment Department of Labor (DOL) certification is not required. It is for information purposes—as with regular Section 5311 Program applications.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 3/24/2023

- 1) Missing the FY2023 Certification and Assurance pinning on TRAMs. (make sure the category you selected matched the one from the hardcopies)
- 2) please change the application to: FY21 Rural Area Formula CRRSAA AZDOT transferred, Operating Assistance
- 3) Please upload the AZDOT transferred approval letter on the application document
- 4) Please upload the current year (2023) Indirect cost agreement on recipient document
- 5) If you checked yes on pre-awarded authority, please provide where the fund coming from (budget item), the amount and schedule on executive summary
- 6) On both executive summary and extended budget description, please add the scope/ budget information on the bottom of the screen. See sample below.

Federal Funds: FY2021 5311 Rural Area Formula CRRSAA AZDOT transferred, operating assistance Hualapai Tribal Council, Arizona in the amount of \$381,006.

Local Match: \$0 Local Funds

Total Project Cost: \$381,006

- Comment
- 7) Please check yes on 3rd party contractor
 - 8) on milestone, why the start and end date are the same date? Please provide more detail.
 - 9) On both executive summary and extended budget description, please provide the breakdown cost of the operating expense.
 - 10) on executive summary, please change the first sentence to This application to request FY 2021 5311 Rural Area Formula CRRSAA AZDOT transferred apportioned to Hualapai Tribal Council, Arizona, in the amount of \$381,006.
 - 11) Your budget item number is incorrect, please change from 30.09.02 to 30.09.08 (EMER RELIEF - OPERATING 100%)
 - 12) On executive summary, please add this statement: Hualapai Tribal Council agrees that if it received Federal funding from the Federal Emergency Management Act (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company