

Application

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|---|--|
| Federal Award Identification Number (FAIN) | AZ-2023-016-00 |
| Temporary Application Number | 6837-2023-3 |
| Award Name | San Carlos Apache Tribe FY2021 ADOT 5311 Rural Area Formula ARP Grant - Operating Assistance |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 9/30/2024 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: SAN CARLOS APACHE TRIBAL COUNCIL

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|----------------------------------|--------------|-----------|
| 6837 | Indian Tribe | San Carlos Apache Tribal Council | MSRJNX85HTV3 | 035940881 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------|------------|-------|-------|
| Headquarters | 3A SAN CARLOS AVE | SAN CARLOS | AZ | 85550 |
| Physical Address | 3A SAN CARLOS AVE | SAN CARLOS | AZ | 85550 |
| Mailing Address | 3A SAN CARLOS AVE. | SAN CARLOS | AZ | 85550 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: San Carlos Apache Tribe FY2021 ADOT 5311 Rural Area Formula ARP Grant - Operating Assistance

| FAIN | Application Status | Award Type | Application Cost Center | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|-------------------|--------------------------------|---------------------|--------------------------|-------------------|
| AZ-2023-016-00 | Final Concurrence / Reservation | Grant | Region 9 | 5/1/2023 | 5/1/2023 | No |

Application Executive Summary

This application requests FY2021 Section 5311 Rural Area Formula ARP funds from the Arizona Department of Transportation (ADOT) suballocated to the San Carlos Apache Tribe, Arizona, in the amount of \$82,247. This application utilizes ARPA funding to prevent, prepare for, and respond to coronavirus. Funding is needed for operations to continue to service a larger geographic area due to pandemic related service needs. Additional tire expenses have increased due to the change in service. These funds were awarded by ADOT to the San Carlos Apache Tribe from the Federal Transit Administration. The tribe transfers grant responsibility and funds by request from ADOT annually. The application scope of work includes:

1. Operating funding to support public transit expenses for tires. Total amount allocated is \$82,247.00. This amount is being provided by the Tribe as of 10/1/2022, for fiscal year 2023 transit service expenses. The tribe will be reimbursed for applicable expenses from this grant once the grant is executed.

Operating Assistance for Tires, Federal Funds (100%):

FFY2021 ARP Section 5311-10 (Rural Area Formula Grants (ARPA) (UZA #40000): \$37,631
 FFY2021 ARP Section 5311-10D (5311(f) Intercity Bus (ARPA) Formula) (UZA #40000): \$44,616
 TOTAL FFY21 ARP Federal Section 5311 Funds: \$82,247
 Total Eligible Project Cost: \$82,247

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA, the projects in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Operations expenses incurred on pre-award authority have been provided in a month by month detail attached to this application. The level of detail requested by FTA is provided in the attachment, to include SCAT's general ledger line item detail of expenses and month of expense.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of the award is to support operating expenses for the period of October 1, 2022 through September 30, 2024.

Activities to be performed:

Funds will cover operating expenses such as tires having a useful life of less than one year.

Expected outcomes:

Funding will allow the continued operation of transit services.

Intended beneficiaries:

Population of the San Carlos Apache Tribe service area and area transit riders.

Subrecipient Activities:

None.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-------------------------------|----------------------------|----------------|
| Arianna | Valle | General Engineer | arianna.valle@dot.gov | (602) 382-8967 |
| Bernadette | Kniffin | Director | bkniffin@tanf.scot.nsn.gov | 928-475-5011 |
| Phil | Yue | Program Management Specialist | Phil.Yue@dot.gov | (415) 734-9476 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 (f) - Inter City Bus (ARPA) | 5311-10D | 20509 | \$44,616 |
| 5311 - Rural Area Formula (ARPA) | 5311-10 | 20509 | \$37,631 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$82,247 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|-------------|----------------|-----------------------|----------|
| AZ-2023-016-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$44,616.00 | \$0.00 | \$44,616.00 | 1 |
| AZ-2023-016-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$44,616.00 | \$0.00 | \$44,616.00 | 1 |
| AZ-2023-016-02-00 | 600-00 (600-A2) OTHER PROGRAM COSTS | \$37,631.00 | \$0.00 | \$37,631.00 | 1 |
| AZ-2023-016-02-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$37,631.00 | \$0.00 | \$37,631.00 | 1 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2021 ARP Section 5311-10D (5311(f) – Intercity Bus (ARPA) Formula)

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2023-016-01-00 | 6837-2023-3-P1 | 5/1/2023 | 10/1/2022 | 9/30/2024 |

Project Description

The application will reflect one project to support one scope of budget expenses, as these expenses fall under one overarching project to support SCAT’s existing transit program. The scope of the project start date is October 1, 2022 and ends September 30, 2024.

Operating Assistance for Tires, Federal Funds (100%):
 FFY2021 ARP Section 5311-10D (5311(f) – Intercity Bus (ARPA) Formula) (UZA #40000): \$44,616
 Total Eligible Project Cost: \$44,616

The budget for this grant is as follows:

Under the Operating scope:
 1. Tire expenses = \$44,616
 Total cost equals \$44,616

Indirect Costs will not be applied to this application.

Funding is needed for operations to continue to service a larger geographic area due to pandemic related service needs. Additional tire needs have increased due to the change in service.

Project Benefits

Transit operations will be able to continue and be sustained with the use of these dollars. Without the funding, the transit system would cease to operate at the scope and level it is at currently. Current service area covers portions of Gila, Graham, Pinal, Greenlee and Maricopa Counties. We provide public route deviation transportation to all counties listed through local and intercity bus transportation routes.

Additional Information

None provided.

Location Description

Service area covers portions of Gila, Graham, Greenlee, Maricopa and Pinal Counties.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 040000 | Arizona |

Congressional District Information

| State | District | Representative |
|---------|----------|----------------|
| Arizona | 1 | Tom O'Halleran |

Program Plan Information

STIP/TIP

Date: 2/5/2019

Description: AZ STIP <https://azdot.gov/planning/transportation-programming/state-transportation-improvement-program-stip> and attached in application documents.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 (f) - Inter City Bus (ARPA) | 5311-10D | 20509 | \$44,616 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$44,616 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|-------------|----------------|-----------------------|----------|
| AZ-2023-016-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$44,616.00 | \$0.00 | \$44,616.00 | 1 |
| AZ-2023-016-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$44,616.00 | \$0.00 | \$44,616.00 | 1 |

Project Budget Activity Line Items**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | OPERATING ASSISTANCE | 1 |

Extended Budget Description

Operating funds will cover expenses for existing service incurred beginning 10/1/2022 until 9/30/2024. This operating funding will be used in conjunction with other active SCAT grants to fund the complete budget for transit operations. Other active SCAT grants to cover all applicable operating expenses include FY20 and FY21 FTA 5311 formula funds awarded to SCAT by ADOT. The other available funding totals are insufficient to cover all eligible operating expenses within the period.

Federal Funding, Operating Assistance, FFY2021 ARP Section 5311-10D (5311(f) – Intercity Bus (ARPA) Formula) (UZA #40000): \$44,616. ADOT allocated fund to to the San Carlos Apache Tribe, Arizona in the amount of \$44,616.00 Local Match: \$0 Total Project Cost: \$44,616.00.

Operating:

1. Operating funding for tire costs = \$44,616.00

Total cost equals \$44,616.00

Operations expenses incurred on pre-award authority have been provided in a month by month detail attached to this application. The level of detail requested by FTA is provided in the attachment, to include SCAT's general ledger line item detail of expenses and month of expense.

Tire expenses are for the entire fleet of transit ADA accessible Ford vans and cutaway (body on chassis) buses. The quantity is four to six tires being replaced on each vehicle. The fleet size consists of 25 vehicles.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 (f) - Inter City Bus (ARPA) | 5311-10D | 20509 | \$44,616 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$44,616 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2022 | Expenses accrued starting with October 1, 2022. |
| End Date | 9/30/2024 | Funds to be fully expended by 9/30/24. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|----------|
| Class IIc CE Approved | 5/1/2023 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---|----------|-------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | 1 | \$44,616.00 | \$44,616.00 |

Project Title: 5311-10 – 5311 Rural Area Formula (ARPA)

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| AZ-2023-016-02-00 | 6837-2023-3-P2 | 6/1/2023 | 10/1/2022 | 9/30/2024 |

Project Description

The application will reflect one project to support one scope of budget expenses, as these expenses fall under one overarching project to support SCAT’s existing transit program. The scope of the project start date is October 1, 2022 and ends September 30, 2024.

Operating Assistance for Tires, Federal Funds (100%):
 FFY2021 ARP Section 5311-10 (Rural Area Formula Grants (ARPA) (UZA #40000): \$37,631
 Total Eligible Project Cost: \$37,631

The budget for this project is as follows:

Under the Operating scope:

1. Tire expenses = \$37,631

Total cost equals \$37,631

Indirect Costs will not be applied to this application.

Funding is needed for operations to continue to service a larger geographic area due to pandemic related service needs. Additional tire needs have increased due to the change in service.

Project Benefits

Transit operations will be able to continue and be sustained with the use of these dollars. Without the funding, the transit system would cease to operate at the scope and level it is at currently. Current service area covers portions of Gila, Graham, Pinal, Greenlee and Maricopa Counties. We provide public route deviation transportation to all counties listed through local and intercity bus transportation routes.

Additional Information

None provided.

Location Description

Service area covers portions of Gila, Graham, Greenlee, Maricopa and Pinal Counties.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
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| 040000 | Arizona |

Congressional District Information

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Program Plan Information**STIP/TIP**

Date: 2/5/2019

Description: AZ STIP <https://azdot.gov/planning/transportation-programming/state-transportation-improvement-program-stip> and attached in application documents.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Rural Area Formula (ARPA) | 5311-10 | 20509 | \$37,631 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$37,631 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|-------------|----------------|-----------------------|----------|
| AZ-2023-016-02-00 | 600-00 (600-A2) OTHER PROGRAM COSTS | \$37,631.00 | \$0.00 | \$37,631.00 | 1 |
| AZ-2023-016-02-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$37,631.00 | \$0.00 | \$37,631.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | OPERATING ASSISTANCE | 1 |

Extended Budget Description

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Operating:

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|----------------------------------|--------------------|-------------|----------|
| 5311 - Rural Area Formula (ARPA) | 5311-10 | 20509 | \$37,631 |

| | | |
|-----------------------------------|--|-----------------|
| Local | | \$0 |
| Local/In-Kind | | \$0 |
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$37,631 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2022 | Expenses accrued starting with October 1, 2022. |
| End Date | 9/30/2024 | Funds to be fully expended by 9/30/24. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|----------|
| Class IIc CE Approved | 6/1/2023 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---|----------|-------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | 1 | \$37,631.00 | \$37,631.00 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Arianna Valle

Comment Type DOL Review for Information

Date 6/2/2023

Comment This grant is COVID-19 funds only. Thank you.

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 5/2/2023

Comment return to continue to make the grant review.

Comment By Arianna Valle

Comment Type Pre-Award Manager Returns Application

Date 5/25/2023

Comment Please see comments sent via email on 5/24. Thank you

Comment By Arianna Valle

Comment Type Pre-Award Manager Returns Application

Date 5/31/2023

Comment Please see email on 5/31 for comments. Thank you.