

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NY-2023-017-00
Temporary Application Number	1791-2023-5
Award Name	NYSDOT FY2023 Section 5311 Rural Area CRRSAA Program
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	2/11/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: DEPARTMENT OF TRANSPORTATION NEW YORK

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1791	State Agency	NEW YORK STATE DEPARTMENT OF TRANSPORTATION	LFQHKAJ9Q2H8	835422064

Location Type	Address	City	State	Zip
Headquarters	EXECUTIVE CHAMBER CAPITOL	ALBANY	NY	12224
Physical Address	50 WOLF RD	ALBANY	NY	12205
Mailing Address	50 WOLF RD STE 5	ALBANY	NY	12205

Union Information

Union Name	PUBLIC EMPLOYEE'S FEDERATION
Address 1	P.O. Box 12414

Address 2	
City	Albany
State	New York
Zipcode	12212
Contact Name	Wayne Spence
Telephone	518-785-1900
Fax	518-785-1814
E-mail	WSpence@pef.org
Website	www.pef.org

Part 2: Application Information

Title: NYSDOT FY2023 Section 5311 Rural Area CRRSAA Program

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NY-2023-017-00	Final Concurrence / Reservation	Grant	Region 2	5/5/2023	5/5/2023	No

Application Executive Summary

This is an FFY 2023 Section 5311 CRRSAA Act application.

This Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) Application is for \$6,493,500. Per the CRRSAA Act, the grant requests 100 percent federal share. This application utilizes CRRSAA Act funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Operating Assistance to the Sub-recipients in rural areas. The attached Program of Projects lists the agencies and allocations for each TrAMS project.

NYS was originally apportioned \$6,493,500 (See the published FTA CRRSSA table), this grant requests the full amount.

NYSDOT fairly and equitably allocated the supplemental CRRSAA Act funding, including to the SUZA, using an equal percentage of each agency's latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

The only project type is Operating Assistance.

NYSDOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSSA Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

This grant seeks Pre-award authority back to 1/1/21 as allowed in the CRRSAA legislation. The start date

for each subrecipient is based on how quickly they expended the CARES funding. Some of the agencies documented in their 2021 Annual report that they expended their CARES and ended up increasing their local share that makes them eligible for these funds to supplement their service. No part (0%) of the states CARES Act allocation will be used for state administration.

The funding in this CRRSAA grant (FAIN to be finalized) coordinates with the CARES (NY-2020-026) ARPA and Core 5311 (FAIN to be finalized) for Operating assistance in the following manner: The 2022 5311 Application required the subrecipients to fill out the budget with their annual Core allocation and include their remaining CARES if applicable and their allocated CRRSAA and ARPA funds. The Core 5311 funding is reimbursed on an annual basis from the available eligible Net Operating deficit, after COVID funds are expended, in the 5311 Annual Report. Once the CARES funding is expended, Agencies can submit billing over the course of the year with the COVID payment request and documentation of the expenses and other financial support available for the time-period. The COVID payment is based on the eligible amount as detailed in the COVID Payment form and will use CRRSAA funding until it is fully expended. Once CRRSAA is expended, the ARPA funding would be used. At the end of the year, the annual report would include all the COVID funding and NYSDOT would pay the subrecipient with any eligible deficit for the year up to their allocated amount. The next year would repeat this process until all of the COVID funding is expended.

The Recipient certifies that it and any subrecipient or contractor that is a provider of public transportation: (a) are currently not furloughing any employees; (b) have, to the maximum extent possible, brought back any employees previously furloughed as a direct result of financial challenges caused by the COVID-19 public health emergency; and (c) have rehired, or posted to rehire, any positions of employees who were laid off as a result of financial challenges caused by the COVID-19 public health emergency

Frequency of Milestone Progress Reports (MPR)

Quarterly

Frequency of Federal Financial Reports (FFR)

Quarterly

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide the awarded subrecipients operating assistance funding to provide transportation services for people in the non-urbanized areas of the state.

Activities to be performed:

Funds will be used to cover eligible operating expenses such as driver salaries, fuel, items having a useful life of less than one year to continue service for people with mobility needs in the rural areas. This COVID funding (CRRSAA) is awarded along with ARPA funding and CORE Operating assistance in other grants to support the performance of providing operating services to address the impacts of the Pandemic on these services.

Expected outcomes:

Funding will permit NYSDOT and its subrecipients to continue to provide service despite the loss in ridership revenue and the increase costs of fuel, driver retention and capital assets.

Intended beneficiaries:

People with mobility needs in non-urbanized areas.

Subrecipient Activities:

People with mobility needs in non-urbanized areas.

Subrecipient Activities

This single project in this grant will provide operating assistance based on the available funding allocated to New York State. There are currently 48 awards in the rural area of New York State. This includes multiple awards to subrecipients to cover each year starting with 2021 and using the funding to cover the additional costs due to the pandemic. Each of these awards will provide funding to support the operating assistance to the sub-recipients to support the mobility and necessary support to the target population.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jun	Yan	General Engineer	jun.yan@dot.gov	(212) 668-2176
Thomas	Vaughan	Deputy Dir., Public Trans Bureau	thomas.vaughan@dot.ny.gov	518-457-7248
Adam	Klein	Community Planner	adam.klein@dot.gov	(212) 824-2426

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$6,493,500
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,493,500

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2023-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,493,500.00	\$0.00	\$6,493,500.00	48
NY-2023-017-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,493,500.00	\$0.00	\$6,493,500.00	48

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: NYSDOT CRRSSA Rural Program

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NY-2023-017-01-00	1791-2023-5-P1	5/5/2023	1/1/2021	12/31/2025

Project Description

This project uses Section 5311 funds at 100% Federal Share to support the CORE NYS 5311 Operating program in the amount of \$6,493,500. This project seeks Pre-award authority back to 1/1/21 as allowed in the CRRSSA legislation.

Project Benefits

The CRRSSA Act provides funds to prevent, prepare for, and respond to COVID-19. According to the FTA program eligibility description, the priority for the funding is operational expenses. FTA reimbursement of operational expenses will meet long-term customer demands and will meet the criteria of a safe and economical operation when economic or other conditions caused by COVID-19 have resulted in a significant decline in passenger fare revenue and other revenues while operating expenses continue and in certain cases such as fuel and driver training and retention increase.

Additional Information

The funding in this CRRSAA grant (FAIN to be finalized) coordinates with the CARES (NY-2020-026) ARPA and Core 5311 (FAIN to be finalized) for Operating assistance in the following manner: The 2022 5311 Application required the subrecipients to fill out the budget with their annual Core allocation and include their remaining CARES if applicable and their allocated CRRSAA and ARPA funds. The Core 5311

funding is reimbursed on an annual basis from the available eligible Net Operating deficit, after COVID funds are expended, in the 5311 Annual Report. Once the CARES funding is expended, Agencies can submit billing over the course of the year with the COVID payment request and documentation of the expenses and other financial support available for the time-period. The COVID payment is based on the eligible amount as detailed in the COVID Payment form and will use CRRSAA funding until it is fully expended. Once CRRSAA is expended, the ARPA funding would be used. At the end of the year, the annual report would include all the COVID funding and NYSDOT would pay the subrecipient with any eligible deficit for the year up to their allocated amount. The next year would repeat this process until all of the COVID funding is expended.

Location Description

Rural areas of Upstate New York

Project Location (Urbanized Areas)

UZA Code	Area Name
360000	New York

Congressional District Information

State	District	Representative
New York	18	Sean P Maloney
New York	19	Antonio Delgado
New York	20	Paul Tonko
New York	21	Elise M Stefanik
New York	22	Claudia Tenney
New York	23	Tom Reed
New York	24	John Katko
New York	25	Joseph Morelle
New York	26	Brian Higgins
New York	27	Chris Jacobs

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Not applicable

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$6,493,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,493,500

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NY-2023-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$6,493,500.00	\$0.00	\$6,493,500.00	48
NY-2023-017-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$6,493,500.00	\$0.00	\$6,493,500.00	48

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	48

Extended Budget Description

The following subrecipients, across the state of New York, will receive rural operating assistance in across Fiscal years 2021, 2022 and 2023 as necessary to continue the rural service to maintain bus transit services, pay for administrative leave for transit personnel due to reduced operations during an emergency and including prevention, preparation for, and response to COVID-19.

Subrecipient 5311 Funding – Time Period – Federal & Total Amount

ALLEGANY CO: CRRSAA for 5311 - CY 2022 -- Federal \$368,000 Total- \$368,000

BROOME CO: CRRSSA for 5311 - for CY 2022 -- Federal \$95,000 Total- \$95,000

CHAUTAUQUA CO: CRRSAA for 5311 - CY 2022 -- Federal \$885,093 Total- \$885,093
 CHAUTAUQUA CO: CRRSSA for 5311 - CY 2023 -- Federal \$84,907 Total- \$84,907
 CHEMUNG CO: CRRSSA for 5311 - for CY 2022 -- Federal \$61,000 Total- \$61,000
 CHENANGO CO: CRRSSA for 5311 - CY 2022 -- Federal \$727,849 Total- \$727,849
 CHENANGO CO: CRRSAA for 5311 - CY 2021 -- Federal \$50,151 Total- \$50,151
 CNYRTA - CAYUGA: CRRSAA for 5311 -SFY 2022-23 -- Federal \$208,000 Total- \$208,000
 CNYRTA - ONEIDA: CRRSAA for 5311 - SFY 2023-24 -- Federal \$50,000 Total- \$50,000
 CNYRTA - ONONDAGA: CRRSAA for 5311 - SFY 2022-23 -- Federal \$41,000 Total- \$41,000
 CNYRTA - OSWEGO: CRRSAA for 5311 - SFY 2022-23 -- Federal \$250,000 Total- \$250,000
 COLUMBIA CO: CRRSAA for 5311 - For CY 2022 -- Federal \$44,000 Total- \$44,000
 CORNING: CRRSSA for 5311 - For CY 2022 -- Federal \$3,000 Total- \$3,000
 CORNING: CRRSAA for 5311 - For CY 2021 -- Federal \$37,000 Total- \$37,000
 CORTLAND CO: CRRSAA for 5311 - For CY 2021 -- Federal \$176,991 Total- \$176,991
 CORTLAND CO: CRRSSA for 5311 - For CY 2022 -- Federal \$218,067 Total- \$218,067
 CORTLAND CO: CRRSSA For 5311 - For CY 2023 -- Federal \$57,942 Total- \$57,942
 DUTCHESS CO: CRRSAA for 5311 - For CY 2023 -- Federal \$150,000 Total- \$150,000
 FULTON CO: CRRSSA for 5311 - for CY 2023 -- Federal \$12,500 Total- \$12,500
 FULTON CO: CRRSAA for 5311 for CY 2022 -- Federal \$66,500 Total- \$66,500
 GLOVERSVILLE: CRRSAA for 5311 for CY 2023 -- Federal \$35,500 Total- \$35,500
 GLOVERSVILLE: CRRSSA for 5311 for CY 2022 -- Federal \$35,500 Total- \$35,500
 GREENE CO: CRRSAA for 5311 - for CY 2023 -- Federal \$59,000 Total- \$59,000
 HORNELL: CRRSAA for 5311 for CY 2023 -- Federal \$24,063 Total- \$24,063
 MADISON CO: CRRSSA for 5311 - For CY 2023 -- Federal \$34,334 Total- \$34,334
 NFTA - ERIE: CRRSAA for 5311 - for SFY 2022-23 -- Federal \$56,000 Total- \$56,000
 NIAGARA CO: CRRSAA for 5311 - for CY 2023 -- Federal \$50,000 Total- \$50,000
 OLEAN: CRRSAA for 5311 - For CY 2022 -- Federal \$100,000 Total- \$100,000
 PUTNAM CO: CRRSSA for 5311 - for CY 2022 -- Federal \$20,000 Total- \$20,000
 RENSSELAER CO: CRRSAA for 5311 - for CY 2021 -- Federal \$20,000 Total- \$20,000
 RGRTA - GENESSEE: CRRSAA for 5311 for CY 2022 -- Federal \$68,000 Total- \$68,000
 RGRTA - LIVINGSTON: CRRSAA for 5311 - for the SFY 2022-23 -- Federal \$88,000 Total- \$88,000
 RGRTA - MONROE: CRRSAA for 5311 - for SFY 2022-23 -- Federal \$20,000 Total- \$20,000
 RGRTA - ONTARIO: CRRSAA for 5311 - for SFY 2022-23 -- Federal \$170,000 Total- \$170,000
 RGRTA - ORLEANS: CRRSAA for 5311 - for SFY 2022-23 -- Federal \$62,000 Total- \$62,000
 RGRTA - SENECA: CRRSAA for 5311 - for CY 2022 -- Federal \$55,000 Total- \$55,000
 RGRTA - WAYNE: CRRSAA for 5311 for SFY 2022-23 -- Federal \$50,000 Total- \$50,000
 RGRTA - WYOMING: CRRSAA for 5311 - for SFY 2022-23 -- Federal \$88,000 Total- \$88,000
 SCHOHARIE CO: CRRSSA for 5311 - for CY 2023 -- Federal \$100,000 Total- \$100,000
 SCHUYLER CO: CRRSAA for 5311 - for CY 2022 -- Federal \$50,000 Total- \$50,000
 SENECA NATION of INDIANS: CRRSAA for 5311 for CY 2022 -- Federal \$57,000 Total- \$57,000
 ST. LAWRENCE CO: CRRSAA for 5311 for CY 2022 -- Federal \$5,463 Total- \$5,463
 ST. LAWRENCE CO: CRRSAA for 5311 - For CY 2021 -- Federal \$884,037 Total- \$884,037
 STEUBEN CO: CRRSSA for 5311 - for CY 2021 -- Federal \$58,000 Total- \$58,000
 SULLIVAN CO: CRRSAA for 5311 for CY 2021 -- Federal \$15,000 Total- \$15,000
 TOMPKINS CO: CRRSSA for 5311 - for CY 2022 -- Federal \$150,000 Total- \$150,000
 ULSTER COUNTY: CRRSSA for 5311 - for CY 2022 -- Federal \$50,000 Total- \$50,000
 UNASSIGNED: CRRSSA for 5311 - based on Needs -- Federal \$501,603 Total- \$501,603

Descriptions of operating expenses commonly incurred include, but may not be limited to:

- Salaries for Operations Manager, Drivers, Mechanics, and Dispatcher
- Fringe Benefits
- Contractual services
- Uniforms and Physicals
- Per Diem to attend training and statewide conference
- Shop Supplies, replacement parts and vehicle maintenance
- Fuel

- Administrative Leave
- Lost Revenue
- COVID-19 related cleaning/maintenance activities
- Personal Protective Equipment

NYSDOT fairly and equitably allocated the supplemental CRRSSA Act funding, using a review of annual report expenses and the combination of Federal ,state and local funding of each agency’s latest reported rural services annual Net Operating Deficit (NOD) as the basis for the distribution.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CRRSAA)	5311-9A	20509	\$6,493,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,493,500

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	the CRRSSA Funding will support backlog expenditures for the appropriate Fiscal year starting in 2021
End Date	12/31/2025	The CRRSSA funding be applied first to the payments once the CARES IS expended and so the expected completion will be in 2025.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
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Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Adam Klein

Comment Type DOL Review for Information

Date 5/25/2023

Comment