

Application

Federal Award Identification Number (FAIN)	KY-2023-007-00
Temporary Application Number	1003-2023-1
Award Name	KYTC/OTD RURAL Statewide Section 5311 FFY22 FFY23 Apportionments
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2034
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION CABINET, KENTUCKY

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	NDZ7SAY9UXB3	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CPTL 700 CPITOL AVE STATE CAPITOL	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union

Address 2	
City	No Union
State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: KYTC/OTD RURAL Statewide Section 5311 FFY22 FFY23 Apportionments

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
KY-2023-007-00	Final Concurrence / Reservation	Grant	Region 4	3/14/2023	3/14/2023	No

Application Executive Summary

The Kentucky Transportation Cabinet/Office of Transportation Delivery is making application for the Section 5311 FY22 and FY23 Apportionments
 FFY22 Non Urban Formula \$24,181,581
 RTAP FFY22 \$398,112
 Rural - Appalachian FFY22 \$2,316,016
 FFY22 Federal Total: \$26,895,709
 FFY23 Nonurban Formula \$24,519,960
 FFY20 \$190,000 published from the Federal Register
 RTAP FFY23 \$404,973
 Rural Appalachian FFY23 \$2,368,087

FFY23 Federal Total: \$27,483,020 (includes FFY20 \$190,000 federal)

Total Fed FY22/23 FEDERAL Apport> \$54,378,729

The purpose is to secure funding for Kentucky's Rural 5311 FFY 2022 & FFY2023 funding to provide support to all of KYTC/OTD Section 5311 agencies and that the Subrecipients can carry out the day to day activities of providing public transportation to the general public.

Expected Outcomes: To provide reliable, safe and clean service to the general public of Kentucky who do not have adequate transportation.

for Operating, RTAP, State Adm
 15% intercity Operating, Appalachian Operating
 and Appalachian Capital (13) expansion vans.

The Intercity (Scope 634-00): We certify the 15% Intercity requirement will be met with this grant

application and the monetary threshold for the FY22 Non Urban Formula is \$24,181,581 (does not include RTAP nor Appalachian). 15% of FY22 is \$3,627,238 for Intercity Bus. and FY23 \$24,709,960 (does not include RTAP nor Appalachian). 15% of FY23 is \$3,706,494 for Intercity Bus.

- a. All sub-recipients will follow the KYTC useful life standards as defined in the KYTC State Management Plan.
- b. Kentucky Transportation Cabinet will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).
- c. Kentucky Transportation Cabinet will ensure contractors procured will not be on the FTA Suspension and Debarments List.
- d. In addition to FTAs Buy America Act, which requires that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States (49 U.S.C. 5323(j)(1)), the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirement applies to this grant, in addition to the Buy America Act, except to the extent a waiver of either requirements may apply.

The dollar threshold of the FY2022 \$24,181,581 (not including RTAP/Appalachian), programmed is \$1,500,000 (6.20%) which is less than the 10% maximum and FY2023 apportionment \$24,709,960 (not including RTAP/Appalachian), programmed is also \$1,500,000 (6.07%) which is less than the 10% maximum.

11.13.15 new expansion vans useful life 4 years and/or 100,000 miles.
New vehicles for expansion in southeastern, Kentucky.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

KYTC/OTD application for Statewide Rural Apportionments for FFY22 and FFY23.

The purpose is to secure funding for Kentucky's Rural 5311

FFY 2022 & FFY2023 (and FFY20 remaining \$190,000) funding to provide support to all of KYTC/OTD Section 5311 agencies and that the Subrecipients can carry out the day to day activities of providing public transportation to the general public.

Expected Outcomes: To provide reliable, safe and clean service to the general public of Kentucky who do not have adequate transportation.

for Operating, RTAP, State Adm

15% intercity Operating, Appalachian Operating and Appalachian Capital (13) expansion vans.

The Intercity (Scope 634-00): We certify the 15% Intercity requirement will be met with this grant application and the monetary threshold for the FY22 Non Urban Formula is \$24,181,581 (does not include RTAP nor Appalachian). 15% of FY22 is \$3,627,238 for Intercity Bus.

and FY23 \$24,709,960 (does not include RTAP nor Appalachian). 15% of FY23 is \$3,706,494 for Intercity Bus.

a. All sub-recipients will follow the KYTC useful life standards as defined in the KYTC State Management Plan.

b. Kentucky Transportation Cabinet will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

c. Kentucky Transportation Cabinet will ensure contractors procured will not be on the FTA Suspension and Debarments List.

d. In addition to FTAs Buy America Act, which requires that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States (49 U.S.C. 5323(j)(1)), the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirement applies to this grant, in addition to the Buy America Act, except to the extent a waiver of either requirements may apply.

The dollar threshold of the FY2022 \$24,181,581 (not including RTAP/Appalachian), programmed is \$1,500,000 (6.20%) which is less than the 10% maximum and FY 20 \$190,000; FY2023 apportionment \$24,519,960 (not including RTAP/Appalachian), programmed is also \$1,500,000 (6.07%) which is less than the 10% maximum.

11.13.15 new expansion vans useful life 4 years and/or 100,000 miles.

New vehicles for expansion in southeastern, Kentucky.

Activities to be performed:

State Administration for KYTC/OTD staff that they may continue to oversee, monitor

contract, grant compliance and offer technical.

assistance to the subrecipients. To purchase

(13) expansion vans Appalachian capital.

Secure Operating dollars for the Section 5311 agencies.

Secure RTAP, Appalachian Operating/Capital,

and intercity Operating (15% of Apportionment not counting

RTAP nor Appalachian funding.)

Expected outcomes:

Continue rural transit operations, maintain fleets.

To purchase (13) vans/capital to expand services.

Expected Outcomes: To provide reliable, safe and clean service to the general public of Kentucky who do not have adequate transportation.

Intended beneficiaries:

The general public may continue to access transportation and able to be transported for various purposes, health care, job, education.

Subrecipient Activities:

Enable rural transit operators to pay for their drivers, dispatchers, etc salaries, fuel, insurance and other operating costs.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616
Vickie	Bourne	Executive Director	vickie.bourne@ky.gov	5025647433

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$54,378,729
Local			\$49,204,692
Local/In-Kind			\$0
State			\$342,738
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$103,926,159

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$7,333,732.00	\$7,333,732.00	\$14,667,464.00	0

KY-2023-007-01-00		30.09.01	UP TO 50% FEDERAL SHARE	\$7,333,732.00	\$7,333,732.00	\$14,667,464.00	0
KY-2023-007-02-00	300-00 (300-A1)		OPERATING ASSISTANCE	\$38,367,809.00	\$38,367,809.00	\$76,735,618.00	0
KY-2023-007-02-00		30.09.01	UP TO 50% FEDERAL SHARE	\$38,367,809.00	\$38,367,809.00	\$76,735,618.00	0
KY-2023-007-03-00	610-00 (610-A2)		STATE / PROGRAMS ADMINISTRATION	\$3,000,000.00	\$0.00	\$3,000,000.00	0
KY-2023-007-03-00		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,000,000.00	\$0.00	\$3,000,000.00	0
KY-2023-007-04-00	635-00 (635-A3)		RURAL TRANSIT ASSISTANCE PROGRAM	\$803,085.00	\$0.00	\$803,085.00	0
KY-2023-007-04-00		43.50.01	TRAINING	\$803,085.00	\$0.00	\$803,085.00	0
KY-2023-007-05-00	648-00 (648-A6)		APPALACHIAN DEV PUB TRANSIT ASSIST	\$3,313,151.00	\$3,313,151.00	\$6,626,302.00	0
KY-2023-007-05-00		30.09.01	UP TO 50% FEDERAL SHARE	\$3,313,151.00	\$3,313,151.00	\$6,626,302.00	0
KY-2023-007-06-00	648-00 (648-A5)		APPALACHIAN DEV PUB TRANSIT ASSIST	\$1,370,952.00	\$342,738.00	\$1,713,690.00	13
KY-2023-007-06-00		11.13.15	BUY VAN FOR SVC EXPANSION	\$1,370,952.00	\$342,738.00	\$1,713,690.00	13
KY-2023-007-07-00	300-00 (300-A7)		OPERATING ASSISTANCE	\$190,000.00	\$190,000.00	\$380,000.00	0
KY-2023-007-07-00		30.09.01	UP TO 50% FEDERAL SHARE	\$190,000.00	\$190,000.00	\$380,000.00	0

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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Intercity Bus Operating FFY22 FFY23

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-01-00	1003-2023-1-P1	3/15/2023	7/1/2023	6/30/2028

Project Description

The Kentucky Transportation Cabinet/OTD is applying for Intercity Bus Operating

Federal: \$7,333,732

Local: \$7,333,732

Total line item \$14,667,464

Project Benefits

To connect transportation city to city

Additional Information

None provided.

Location Description

Intercity bus statewide in rural areas

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	3	John A Yarmuth
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,333,732
Local			\$7,333,732
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,667,464

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-01-00	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$7,333,732.00	\$7,333,732.00	\$14,667,464.00	0
KY-2023-007-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$7,333,732.00	\$7,333,732.00	\$14,667,464.00	0

Project Budget Activity Line Items**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

INTERCITY BUS OPERATING

FEDERAL \$7,333,732
 LOCAL \$7,333,732
 TOTAL: \$14,667,464

Will 3rd Party contractors be used to fulfill this activity line item?
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,333,732
Local			\$7,333,732
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$14,667,464

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	START INTERCITY BUS OPERATING
End Date	6/30/2028	INTERCITY BUS ENDING

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-	30.09.01	UP TO 50% FEDERAL	0	\$7,333,732.00	\$14,667,464.00

00)

SHARE

Project Title: Section 5311 OPERATING FFY 22 FFY23

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-02-00	1003-2023-1-P2	3/15/2023	7/1/2023	6/30/2028

Project Description

OPERATING funds for statewide rural Kentucky FFY22 FFY23 APPORTIONMENTS

FEDERAL: \$38,557,809

LOCAL: \$38,557,809

TOTAL: \$77,115,618

Project Benefits

Continue transportation services funding operating expenses such as fuel, insurance, drivers salaries etc.

Additional Information

None provided.

Location Description

Statewide rural

Project Location (Urbanized Areas)

UZA Code	Area Name
211250	Lexington-Fayette, KY
212750	Owensboro, KY
213620	Elizabethtown--Radcliff, KY
213900	Bowling Green, KY

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$38,367,809
Local			\$38,367,809
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$76,735,618

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-02-00	300-00 OPERATING ASSISTANCE (300-A1)	\$38,367,809.00	\$38,367,809.00	\$76,735,618.00	0
KY-2023-007-02-00	30.09.01 UP TO 50% FEDERAL SHARE	\$38,367,809.00	\$38,367,809.00	\$76,735,618.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

SECTION 5311 OPERATING

Agency FFY22 Apportionment FFY23 Apportionment KY-2022-0xx-00

FEDERAL:

BGCAP \$4,563,692
 CCWT \$528,188
 CKCAC \$3,697,316
 DBCAA \$2,640,940
 FCTA \$2,112,752
 FKFT \$528,188
 GCSO \$528,188
 GTS \$528,188
 HCCAA \$528,188
 KRF \$2,112,752
 LVCAP \$2,112,752
 LWT \$3,169,128
 MCTA \$528,188
 MKCAP \$528,188
 MTS \$528,188
 NKCAA \$2,640,940
 OCPT \$1,584,564
 PACS \$4,753,692
 PTA \$1,584,620
 SCOT \$528,188
 SVTS \$2,640,969
 FEDERAL TOTAL LINE ITEM: \$38,367,809
 LOCAL LINE ITEM: \$38,367,809
 TOTAL LINE: \$76,735,618

Will 3rd Party contractors be used to fulfill this activity line item?
 No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$38,367,809
Local			\$38,367,809
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$76,735,618

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	START DATE OPERATING
End Date	6/30/2028	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$38,367,809.00	\$76,735,618.00

Project Title: KYTC/OTD State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-03-00	1003-2023-1-P3	3/15/2023	7/1/2023	6/30/2028

Project Description

KYTC/OTD funding for staff to maintain compliance and oversee transit operations.
 FEDERAL: \$3,000,000 FFY22 FFY23
 STATE: \$0
 TOTAL: \$3,000,000

Project Benefits

OVERSEE COMPLIANCE/MONITOR AND AUDIT AND PROCESS INVOICES

Additional Information

None provided.

Location Description

STATE ADMINISTRATION

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky
210340	Louisville/Jefferson County, KY--IN
211250	Lexington-Fayette, KY
212750	Owensboro, KY
213620	Elizabethtown--Radcliff, KY

213900

Bowling Green, KY

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,000,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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KY-2023-007-03-00	610-00 (610-A2)	STATE / PROGRAMS ADMINISTRATION	\$3,000,000.00	\$0.00	\$3,000,000.00	0
KY-2023-007-03-00	11.80.00	STATE OR PROGRAM ADMINISTRATION	\$3,000,000.00	\$0.00	\$3,000,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

STATE ADMINISTRATION
KYTC/OTD STAFF SALARIES

OVERSEE AND MONITOR TRANSIT AGENCIES

FEDERAL SHARE: \$3,000,000

STATE SHARE: \$0

TOTAL: \$3,000,000

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,000,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,000,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	START DATE FOR STATE ADM
End Date	6/30/2028	END DATE FOR STATE ADMINISTRATION

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,000,000.00	\$3,000,000.00

Project Title: RTAP TRAINING

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-04-00	1003-2023-1-P4	3/15/2023	7/1/2023	6/30/2028

Project Description

FUNDING FOR STATEWIDE RURAL TRAINING

FEDERAL: \$803,085

LOCAL: \$0

TOTAL: \$803,085

Project Benefits

PROVIDE DEFENSIVE DRIVER'S TRAINING, PUBLIC TRANSIT WORKSHOPS, PASSENGER ASSISTANCE, DRUG AND ALCOHOL, ETC.

Additional Information

None provided.

Location Description

VARIOUS TRAINING STATEWIDE RURAL

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

211250	Lexington-Fayette, KY
212750	Owensboro, KY
213620	Elizabethtown--Radcliff, KY
213900	Bowling Green, KY

Congressional District Information

State	District	Representative
Kentucky	1	Ed Whitfield
Kentucky	2	Brett Guthrie
Kentucky	4	Thomas Massie
Kentucky	5	Harold Rogers
Kentucky	6	Andy Barr

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$803,085
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$803,085

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-04-00	635-00 (635-A3) RURAL TRANSIT ASSISTANCE PROGRAM	\$803,085.00	\$0.00	\$803,085.00	0
KY-2023-007-04-00	43.50.01 TRAINING	\$803,085.00	\$0.00	\$803,085.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP STATEWIDE RURAL

FEDERAL: \$803,085

LOCAL: \$0

TOTAL: \$803,085

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$803,085
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$803,085

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	RTAP START DATE
End Date	6/30/2028	RTAP END DATE

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$803,085.00	\$803,085.00

Project Title: APPALACHIAN FFY22 FFY23 OPERATING

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-05-00	1003-2023-1-P5	3/15/2023	7/1/2023	6/30/2028

Project Description

APPALACHIAN OPERATING
 PROVIDE FUNDING FOR RURAL TRANSIT AGENCIES LOCATED IN THE APPALACHIAN KENTUCKY COUNTIES

Project Benefits

PROVIDE ONGOING FUNDING FOR TRANSIT FUEL, DRIVER SALARIES, INSURANCE AND OTHER OPERATING EXPENSES
 FEDERAL: \$3,313,151
 LOCAL: \$3,313,151
 TOTAL: \$6,626,302

Additional Information

None provided.

Location Description

APPALACHIAN COUNTIES OPERATING

Project Location (Urbanized Areas)

UZA Code	Area Name
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210000	Kentucky
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Congressional District Information

State	District	Representative
Kentucky	5	Harold Rogers

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,313,151
Local			\$3,313,151
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,626,302

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-05-00	648-00 APPALACHIAN DEV (648-A6) PUB TRANSIT ASSIST	\$3,313,151.00	\$3,313,151.00	\$6,626,302.00	0
KY-2023-007-	30.09.01 UP TO	\$3,313,151.00	\$3,313,151.00	\$6,626,302.00	0

05-00	50% FEDERAL SHARE
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Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE - APPALACHIAN	0

Extended Budget Description

PROVIDE ONGOING FUNDING FOR TRANSIT FUEL, DRIVER SALARIES, INSURANCE AND OTHER OPERATING EXPENSES

FEDERAL: \$3,313,151

LOCAL: \$3,313,151

TOTAL: \$6,626,302

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,313,151
Local			\$3,313,151
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$6,626,302

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	start date
End Date	6/30/2028	end date

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$3,313,151.00	\$6,626,302.00

Project Title: APPALACHIAN CAPITAL FFY22 FFY23

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-06-00	1003-2023-1-P6	3/15/2023	1/15/2024	12/30/2026

Project Description

APPALACHIAN CAPITAL EXPANSION VANS
 SOUTHEASTERN KENTUCKY
 FEDERAL: \$1,370,952
 LOCAL: \$342,738
 TOTAL: \$1,713,690
 Useful life 4 years and/or 100,000 miles
 New expansion vans for ridership increases after COVID.

Project Benefits

EXPAND SERVICES IN SOUTHEASTERN KY
 allow transportation services for the increase in utilization as COVID declines and ridership increases..

Additional Information

None provided.

Location Description

APPALACHIAN COUNTIES

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky
210340	Louisville/Jefferson County, KY--IN
211250	Lexington-Fayette, KY

212750	Owensboro, KY
213620	Elizabethtown--Radcliff, KY
213900	Bowling Green, KY

Congressional District Information

State	District	Representative
Kentucky	5	Harold Rogers

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,370,952
Local			\$0
Local/In-Kind			\$0
State			\$342,738
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,713,690

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-06-00	648-00 APPALACHIAN DEV 1648-PUB TRANSIT ASSIST	\$1,370,952.00	\$342,738.00	\$1,713,690.00	13

	A5)					
KY-2023-007-06-00		11.13.15	BUY VAN FOR SVC EXPANSION	\$1,370,952.00	\$342,738.00	\$1,713,690.00
						13

Project Budget Activity Line Items

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.13.15	BUY VAN FOR SVC EXPANSION	BUY EXPANSION - BUS ROLLING STOCK APPALACHIAN	13

Extended Budget Description

APP CAPITAL EXPANSION VANS FOR SOUTHEASTERN KY RTEC

FEDERAL: \$1,370,952

STATE: \$342,738

TOTAL: \$1,713,690

for New vans for increase in ridership after COVID.

Useful Life 4 years and/or 100,000 miles

a. All sub-recipients will follow the KYTC useful life standards as defined in the KYTC State Management Plan.

b. Kentucky Transportation Cabinet will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

c. Kentucky Transportation Cabinet will ensure contractors procured will not be on the FTA Suspension and Debarments List.

d. In addition to FTAs Buy America Act, which requires that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States (49 U.S.C. 5323(j)(1)), the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirement applies to this grant, in addition to the Buy America Act, except to the extent a waiver of either requirements may apply.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,370,952
Local			\$0
Local/In-Kind			\$0
State			\$342,738
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$1,713,690
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Milestone Name	Est. Completion Date	Description
Start Date	1/15/2024	out to bid
award date	5/15/2024	award
first delivery date	12/1/2024	first delivery date
final delivery	6/30/2025	final delivery projected
End Date	12/30/2026	completion

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	11.13.15	BUY VAN FOR SVC EXPANSION	13	\$1,370,952.00	\$1,713,690.00

Project Title: FY20 Operating \$190,000

Project Number	Temporary Project Number	Date Created	Start Date	End Date
KY-2023-007-07-00	1003-2023-1-P7	5/3/2023	7/1/2023	6/30/2025

Project Description

BGCAA Operating
FY20 Operating
\$190,000

Project Benefits

operating funds for continued services that will assist in paying drivers salaries, fuel, insurance etc.

Additional Information

None provided.

Location Description

Bluegrass Area

Project Location (Urbanized Areas)

UZA Code	Area Name
210000	Kentucky

Congressional District Information

State	District	Representative
Kentucky	6	Andy Barr

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$190,000
Local			\$190,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$380,000

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
KY-2023-007-07-00	300-00 (300-A7) OPERATING ASSISTANCE	\$190,000.00	\$190,000.00	\$380,000.00	0
KY-2023-007-07-00	30.09.01 UP TO 50% FEDERAL SHARE	\$190,000.00	\$190,000.00	\$380,000.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Operating federal funds \$190,000
 Operating local funds \$190,000
 Total line item \$380,000

BGCAA FY20 Federal funds \$190,000
 operating expenses for driver salaries,

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$190,000
Local			\$190,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$380,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2023	start date July 1 2023

End Date	6/30/2025	end date
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$190,000.00	\$380,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By	Michael Sherman
Comment Type	DOL Review for Information
Date	5/18/2023
Comment	Sent to DOL for information 5/18/2023.

Application Review Comments

Comment By	Michael Sherman
Comment Type	Pre-Award Manager Returns Application

Date	3/17/2023
Comment	<p>1) OMB Requirements Incorporate a sentence or two to describe the purpose of the funds. For example, see below from SCDOT: The Purpose of the Section 5311 FFY 2020 funding is to provide support to all of SCDOT Section 5311 Subrecipients: - Aiken Area COA, Bamberg COA, BCD RTMA, Central Midlands RTA, City of Anderson, City of Seneca, Edgefield Seniors, Fairfield County Transit, Generations Unlimited, Lancaster COA, Lowcountry RTA, McCormick County Transit, Newberry COA, Orangeburg, Pee Dee RTA, Santee Wateree RTA, Senior Services of Chester County, Spartanburg Regional Health Services, Waccamaw RTA, Williamsburg County Transit and York County COA so that the Subrecipients can carry out the day to day activities of providing public transportation to the general public.</p> <p>Expected Outcomes: To provide reliable, safe and clean service to the general public of Kentucky who do not have adequate transportation.</p> <p>2) ALI 11.13.15 Buy Van for Service Expansion: please go into the EBD and include justification for the expansion vehicles.</p> <p>3) ALI 11.13.15 are there vehicles being replaced or is this in reference to just procuring new expansion vehicles? Please clarify in the EBD and Executive Summary.</p> <p>4) ALI 11.13.15 Although the useful life is in the POP, go ahead and include it in the EBD as well.</p> <p>5) In the Executive Summary, please include the following statements: a. All sub-recipients will follow the KYTC useful life standards as defined in the KYTC State Management Plan. b. Kentucky Transportation Cabinet will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance). c. Kentucky Transportation Cabinet will ensure contractors procured will not be on the FTA Suspension and Debarments List. d. In addition to FTAs Buy America Act, which requires that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States (49 U.S.C. 5323(j)(1)), the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement Section 15. The BABA requirement applies to this grant, in addition to the Buy America Act, except to the extent a waiver of either requirements may apply.</p> <p>6) In the Executive Summary and the Intercity EBD (Scope 634-00), please include the statement certifying the 15% Intercity requirement will be met with this grant application and reference the monetary threshold for the FY22 and FY23 apportionments. This must be separated in the narrative for each fiscal year.</p> <p>7) In the Executive Summary and Scope 610-00 EBD, please include a statement referencing the dollar threshold of the FY2022 and FY2023 apportionments which must be separated in the narrative and certifying that the maximum 10% for State Admin has been programmed in the grant for each fiscal year.</p>

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 4/12/2023

Comment

Comment By Michael Sherman

Comment Type Pre-Award Manager Returns Application

Date 5/2/2023

Comment