

## Application

<b>Federal Award Identification Number (FAIN)</b>	IA-2022-009-01
<b>Temporary Application Number</b>	1812-2022-2
<b>Award Name</b>	Iowa DOT Section 5311 ARPA Funding
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	2/22/2022	
<b>Original Period of Performance End Date</b>	9/20/2028	
<b>Current Period of Performance End Date</b>	9/20/2028	Revision #: 0

### Part 1: Recipient Information

**Name: TRANSPORTATION, IOWA DEPT OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1812	State Agency	IOWA DEPARTMENT OF TRANSPORTATION	VAL7N8VS7L99	120527275

Location Type	Address	City	State	Zip
Headquarters	800 LINCOLN WAY	AMES	IA	500106915
Physical Address	800 LINCOLN WAY	AMES	IA	50010
Mailing Address	800 LINCOLN WAY	AMES	IA	50010

### Union Information

There are no union contacts for this application

### Part 2: Application Information

## Title: Iowa DOT Section 5311 ARPA Funding

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
IA-2022-009-01	Final Concurrence / Reservation	Grant	Region 7	5/25/2023	5/25/2023	No

### Application Executive Summary

This application utilizes the remaining \$1,500,000 of FY 21 5311 ARPA funds that was unapplied for and is being added as a supplement to the 5311 operating funding in this grant. FY 2021 Section 5311 American Rescue Plan Act (ARPA) funding to help respond to and recover from the COVID-19 emergency situation, in the amount of \$7,999,726.

#### FUNDING:

FUNDING SUMMARY for Project 1 (operating costs for rural areas):

This grant amendment includes the following funding:

GRAND TOTAL FEDERAL \$1,500,000 FY 21 ARPA 5311

LOCAL FUNDS \$0

TOTAL PROJECT COST: \$1,500,000

IDOT had previously been awarded \$6,499,726 of FY 21 ARPA 5311 funding in IA-2022-009-00. This amendment will bring the total federal funds to \$7,999,726 of FY 21 ARPA 5311 funds.

Per ARPA, this grant requests 100% (up to 100%) federal share. The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

The Iowa DOT agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA, the project(s) in this application is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program. This project(s) includes only operations and payroll projects. A POP and budget is included with this application.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

\$1,500,000 was unapplied for in ARPA funds and is being added as a supplement to the 5311 operating funding in this grant.

**Activities to be performed:**

The application scope of work includes operating assistance for eligible 5311 subrecipients.

**Expected outcomes:**

The expected outcome is efficient and timely operating of transportation services in the rural areas across Iowa.

**Intended beneficiaries:**

The intended beneficiaries are citizens across rural counties in the state of Iowa.

**Subrecipient Activities:**

The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Sree	Mitra	Program Manager	sreeparna.mitra@iowadot.us	515-239-1806

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$7,999,726

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$7,999,726</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2022-009-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$7,999,726.00	\$0.00	\$7,999,726.00	24
IA-2022-009-01-01	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$7,999,726.00	\$0.00	\$7,999,726.00	24

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Iowa DOT Section 5311 ARPA Formula Operating Projects

Project Number	Temporary Project Number	Date Created	Start Date	End Date
IA-2022-009-01-01	1812-2022-2-P1	5/25/2023	7/1/2021	6/30/2028

#### Project Description

This is an Section 5311 ARPA application in the amount of \$6,499,726

The application scope of work includes operating assistance for eligible 5311 subrecipients. Subrecipients in this application cover sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area.

Per ARPA regulations this grant requests 100% federal share. This application utilizes APRA funding to prevent, respond, and recover from the COVID-19 emergency situation.

Also per ARPA guidance, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is required for the projects included in this application.

#### Project Benefits

This application utilizes ARPA funding to help respond to and recover from the COVID-19 emergency situation and continue to provide transportation services to the citizens residing in rural areas of Iowa.

**Additional Information**

None provided.

**Location Description**

These projects will serve citizens across all counties in Iowa

**Project Location (Urbanized Areas)**

UZA Code	Area Name
190000	Iowa

**Congressional District Information**

State	District	Representative
Iowa	1	Ashley Hinson
Iowa	2	Mariannette Miller-Meeks
Iowa	3	Cynthia Axne
Iowa	4	Randy Feenstra

**Program Plan Information****STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$7,999,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,999,726</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
IA-2022-009-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$7,999,726.00	\$0.00	\$7,999,726.00	24
IA-2022-009-01-01	30.09.08 ARPA - OPERATING ASSIST - 100% Fed Share	\$7,999,726.00	\$0.00	\$7,999,726.00	24

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - ARPA - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	24

### Extended Budget Description

This line includes operating assistance for total of 24 subrecipients-- covering sixteen (16) regional areas, seven (7) small cities (populations under 50,000), and one (1) rural portion of an urban area. Operating funds are expected to cover expenses for existing service incurred from the beginning 7/1/2021 until 6/30/2028, including the recovery of the operational deficits, maintaining service and continuing to provide essential medical transportation services, hiring of additional staff to clean/sanitize vehicles, the general operating costs of maintaining and transit facility and fleet.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (ARPA)	5311-10	20509	\$7,999,726
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$7,999,726</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2028	

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	2/2/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	ARPA - OPERATING ASSIST - 100% Fed Share	24	\$7,999,726.00	\$7,999,726.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Jeremiah Shuler

Comment Type DOL Review for Information

Date 5/31/2023

Comment This application utilizes the remaining \$1,500,000 of FY 21 5311 ARPA funds that was unapplied for and is being added as a supplement to the 5311 operating funding in this grant. FY 2021 Section 5311 American Rescue Plan Act (ARPA) funding to help respond to and recover from the COVID-19 emergency situation

