DOT FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	AZ-2023-011-00
Temporary Application Number	6837-2022-1
Award Name	San Carlos Apache Tribe FY2021 Tribal Transit Formula CRRSAA Act, Bus Replacement/Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: SAN CARLOS APACHE TRIBAL	. COUNCIL

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6837	Indian Tribe	San Carlos Apache Tribal Council	MSRJNX85HTV3	035940881

Location Type	Address	City	State	Zip
Headquarters	3A SAN CARLOS AVE	SAN CARLOS	AZ	85550
Physical Address	3A SAN CARLOS AVE	SAN CARLOS	AZ	85550
Mailing Address	3A SAN CARLOS AVE.	SAN CARLOS	AZ	85550

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: San Carlos Apache Tribe FY2021 Tribal Transit Formula CRRSAA Act, Bus Replacement/Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023- 011-00	Final Concurrence / Reservation	Grant	Region 9	6/23/2022	6/23/2022	No

Application Executive Summary

This application requests FY2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona, in the amount of \$614,174. This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus. These funds were apportioned directly to the San Carlos Apache Tribe from the Federal Transit Administration.

The application scope of work includes:

1. Operations funding to support public transit expenses. Total amount allocated is \$305,848.00. This amount is being drawn under pre-award authority. The tribe is currently providing the funds for this line item and once the grant is approved, this funding will reimburse the tribe for expenses starting 10/1/2022. 2. We will purchase two replacement ADA accessible cutaway (body on chassis) buses with 4x4 to replace to ADA accessible transit vans. The vehicles being replaced have met their useful life of 4 years and/or 100,000 miles and will be disposed of in accordance to Federal requirements. These buses will meet the Clean Air Act standards (CAA) and the Americans with Disabilities Act (ADA) requirements. Total amount allocated is \$308,326.00. This amount is being allocated and under purchase order with preaward authority. A purchase order was issued on 10/1/2022 for the purchase of these vehicles but the vehicles will not be delivered until the fall of 2023. The useful life of these two cutaway buses is 5 years / 150.000 miles.

San Carlos Apache Tribe certified that it, and its subrecipient and contractor that are provider of public transportation, has not furloughed any employees to use CARES funding for other purposes.

The operating and replacement bus project needs are a result of ongoing COVID-19 pandemic related service changes made. The tribe is servicing more rural areas and transporting riders from further distances, thus needing better vehicles with 4 wheel drive capacity. In addition, operating funds are needed as a result of the service area changes to support staff expenses and fuel to better accommodate our service area as a result of pandemic related changes to how we service riders.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the CRRSAA, the projects in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Federal Funding, Capital and Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$614,174 Local Match: \$0 Local Sales Tax

Total Project Cost: \$614,174

Operations expenses incurred on pre-award authority have been provided in a month by month detail attached to this application. The level of detail requested by FTA is provided in the attachment, to include SCAT's general ledger line item detail of expenses and month of expense.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

The de minimus 10% indirect cost rate is applied to this application.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of the award is to provide operating funds and provide two replacement vehicles for the period of October 1, 2022 through September 30, 2024.

Activities to be performed:

Funds will cover operating expenses such as fuel, supplies, and items having a useful life of less than one year. Funds will also cover the cost of the replacement vehicles.

Expected outcomes:

Funding will allow the continued operation of services and for the timely replacement of vehicles.

Intended beneficiaries:

Population of the San Carlos Apache Tribe service area and area transit riders.

Subrecipient Activities:

None.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Arianna	Valle	General Engineer	arianna.valle@dot.gov	(602) 382-8967
Bernadette	Kniffin	Director	bkniffin@tanf.scat-nsn.gov	928-475-5011
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$614,174
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$614,174

Application Budget

Project Number		Budget Item	FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
AZ-2023-011-01- 00	600-00 (600- A1)	OTHER PROGRAM COSTS	\$614,174.00	\$0.00	\$614,174.00	3
AZ-2023-011-01- 00		BUY 11.12.04 REPLACEMENT <30 FT BUS	\$308,326.00	\$0.00	\$308,326.00	2
AZ-2023-011-01- 00		EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$305,848.00	\$0.00	\$305,848.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: SCAT Transit Service - Operating and Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-011-01-00	6837-2022-1-P1	2/16/2023	3/17/2018	9/30/2024

Project Description

The application will reflect one project to support two scopes of budget expenses, as these expenses fall under one overarching project to support SCAT's existing transit program. The scope of the project start date is October 1, 2022 and ends September 30, 2024.

Federal Funding, Capital and Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$614,174

Local Match: \$0 Local Sales Tax Total Project Cost: \$614,174

The budget for this grant is as follows:

OPERATIONS:

Under the Operating scope:

1. Operations to include fuel and supplies for transit operations and overhead (indirect) costs = \$305,848.00

Total operating cost equals \$305,848.00

Indirect Costs will be applied to this application and apply to the operating ALI. San Carlos Apache Tribe has submitted FTA's certification and elected to use the de minimis indirect cost rate of 10%. The San Carlos Apache Tribe Finance Office Director has instructed the TANF-Transit office to follow the FTA C 9040.1G and elect 10% over the tribe's approved 29.79% rate in an effort to use more funds for transit service instead of tribal overhead costs. SCAT has attached the circular reference and approved tribal cost allocation plan (CAP) to this application.

CAPITAL: Bus Rolling Stock - Buy Replacements - Capital Bus:

1. Funds to purchase two (2) ADA accessible cutaway, body on chassis, 4 wheel drive buses. Total = \$308,326.00.

The operating and replacement bus project needs are a result of ongoing COVID-19 pandemic related service changes made. The tribe is servicing more rural areas and transporting riders from further distances, thus needing better vehicles with 4 wheel drive capacity. In addition, operating funds are needed as a result of the service area changes to support staff expenses and fuel to better accommodate our service area as a result of pandemic related changes to how we service riders.

Project Benefits

Transit operations will be able to continue and be sustained with the use of these dollars. Without the funding, the transit system would cease to operate at the scope and level it is at currently. Current service area covers portions of Gila, Graham, Pinal, Greenlee and Maricopa Counties. We provide public route deviation transportation to all counties listed through local and intercity bus transportation routes.

Additional Information

None provided.

Location Description

Service area covers portions of Gila, Graham, Greenlee, Maricopa and Pinal Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Tom O'Halleran

Program Plan Information

STIP/TIP

Date: 2/5/2019

Description: AZ STIP https://azdot.gov/planning/transportation-programming/state-transportation-

improvement-program-stip and attached in application documents.

UPWP

Date: N/A Description: N/A

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$614,174
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$614,174

Project Budget

Project Number	Project Number Budget Item		FTA Amount	Non- FTA Amount	Total Eligible Amount	Quantity
AZ-2023-011- 01-00	600- 00	OTHER PROGRAM COSTS	\$614,174.00	\$0.00	\$614,174.00	3

	(600- A1)						
AZ-2023-011- 01-00		11.12.04	BUY REPLACEMENT <30 FT BUS	\$308,326.00	\$0.00	\$308,326.00	2
AZ-2023-011- 01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$305,848.00	\$0.00	\$305,848.00	1

Project Budget Activity Line Items

Budget Activity Line item: 11.12.04 - BUT REPLACEMENT <30 FT BUS					
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity	
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	2	

Extended Budget Description

Federal Funding, Capital and Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$614,174

Local Match: \$0 Local Sales Tax Total Project Cost: \$614,174

Federal Funds FY 2021 5311(c) CRRSAA funds in the amount of \$308,326.00.

Local Match: \$0.00.

Total Project Cost: \$308,326.00

Nnee Bich'o Nii will be replacing Fleet ADA Van numbers 2866 and 2867 with the two new ADA accessible cutaway buses applied for in this application. These vehicles are ten years old, purchased in 2012, and have 349,980 and 370,642 miles respectively. These replacement vehicles have met their useful life of 4 years, 100,000 miles per FTA circular 5010.1E common useful life standards for light duty van vehicles.

According to our TAM plan, the SGR Performance Measure is the percentage of revenue vehicles within each asset class that have met or exceeded their useful life benchmark (ULB). In total, 15% of Nnee Bich'o Nii's total assets in the Rolling Stock category have met or exceeded the ULB for their asset class. 85% are currently considered to be in a SGR. And for the category of replacement for this application, 60% of assets in the Van (VN) class have met or exceeded their ULB. 40% are in a SGR. Out of 26 vehicles, this means that 4 vehicles need to be replaced. This number has reduced significantly in the last three years due to an aggressive effort by the Nnee Bich'o Nii to prioritize capital dollars in 2019 and 2020 (TTP formula and discretionary awards) to address eight needed replacements in 2019 and five needed replacements in 2020. The replacement of two of the four vehicles in FY23 will continue to improve Nnee Bich'o Nii's SGR targets and overall performance and safety of the fleet.

SCAT will be replacing the two Ford E-350 8 passenger ADA accessible transit vans with 14-18 passenger cutaway buses, 24-26 feet in length. 5 years / 150,000 miles useful life.

Under this ALI, the two vehicles to be purchased are 2022 Ford E-450 Chassis Forest River Elkhart Coach ECII models, gasoline fuel, rear lift, 14,500 lbs GVWR, with a 158-190 inch wheelbase, 14-18 fixed seats and two wheelchair positions in the rear of the vehicle. Finalized floorplan and weight calculation are

attached to the application documents. Optional features on the vehicle include four-wheel drive suspension, farebox, destination sign, public address system, specialized graphics, and ITS equipment compatible with existing communications system. Cost for the replacement vehicle is \$152,445 for the 14 passenger unit and \$155,881 for the 18 passenger unit (total = \$308,326), which includes appropriate seats, HVAC for long distance travel, and the addition COVID specific equipment such as driver and passenger barriers, anti-microbial coatings on seats and handrails, and a HEPA Air Filter System. The units also have appropriate ITS equipment added for safety, navigation, and ride tracking purposes.

The vehicles will be purchased using an existing and valid state price agreement approved for cooperative use and FTA funded purchases in the state of Arizona.

The two vehicles to be replaced with the three awarded vehicles are:

ADA Van #2866: VIN ending 2866, mileage approximately 349,980 miles.

ADA Van #2867: VIN ending 2866, mileage approximately 370,642 miles.

The vehicles to be replaced will be disposed of once the new vehicles are delivered and in service. For disposition, SCAT follows the tribe's disposition policy that is documented in their TAM plan and provided below. In this instance it is most likely that SCAT NNBN transit department will donate the vehicles.

Disposition

Vehicles that have met their useful service life and are slated for replacement, as well as vehicles or equipment that are prematurely withdrawn from service before their useful life has been reached, will be disposed of according to the requirements of FTA Circular 5010.1E, Chapter IV, Subsection 4.0.

Federally funded property acquired under award from ADOT directly will be disposed of in accordance with State useful life requirements and procedures, which follow FTA requirements highlighted in FTA Circular 5010.1E. NNBN Apache Transit staff must contact their respective ADOT Program Manager to request a lien release of the title and follow any current State disposal procedures ahead of scheduled disposal of an ADOT funded vehicle.

All other federally and locally funded vehicles and other equipment which have reached the end of their useful life, including assets acquired with FTA direct funding awards, will not be disposed of until the fair market value reaches \$5,000. In this instance, NNBN Apache Transit will follow the SCAT Finance Department's disposition procedures outlined in the Fixed Assets Inventory Procedures (9/28/12) and described below. Fair market value will be determined by the Transit Director in consultation with the original vehicle or equipment dealer.

Disposition of Surplus Property – Surplus equipment, furnishings, and non-hazardous material purchases shall be disposed of according to the following: Evaluate the item for potential use, either through repair or use by other departments within the San Carlos Apache Tribe. If the item cannot be successfully utilized within the San Carlos Apache Tribe, the Property Department will evaluate each item and a method of disposal will be selected from either of the following:

1. Transfers to Government Agency or Educational Institution – Equipment may be disposed of to a government agency or an educational institution in accordance with the aforementioned requisite approvals. The receiving agency or institution will reimburse the Property Department. The documented fair market value is determined by the General Manager or the Property Department Manager.

Note: There may be cases when the transfer of surplus equipment is of sufficient benefit to the San Carlos Apache Tribe that no payment by the receiving institution is required (i.e., Computer Recycling Program, Cellular Telephone Recycling Program, etc.).

2. Sale/Bid to Tribal Member or General Public – The item can be offered first to tribal members and then to the general public on a sale/bid basis. A documented fair market value (minimum bid) shall be determined by the General Manager or Property Department Manager. The San Carlos Apache Tribe has

the right to reject any or all bids or restrict payment methods to individual bidders. When an item has been sold a "Bill of Sale" must be completed. All "Bill of Sale" are to be logged into the "Daily Bill of Sale Log Form."

3. Donations to Tribal Members and Community Organizations – The item can be donated to Tribal Members and Community Organizations, written approval must be obtained from Tribal Chairman or Designee.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$308,326
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$308,326

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	3/17/2018	SCAT will purchase off an existing state contract that meets all federal requirements.
Contract Award Date	1/27/2023	State RFP process completed and contract awarded in mid September 2018. Valid for five years. SCAT reviewed and verified contract was FTA compliant. SCAT issued their subsequent contract and PO off the master contract in January 2023.
Initial Delivery Date	9/25/2023	Estimated build and delivery time is 8 months from award.
Final Delivery Date	11/30/2023	SCAT is ordering more than one vehicle and it may take the vendor longer to produce the vehicles and 4x4 and ITS requirements to be met.
Contract Completion Date	12/29/2023	Vendor to be paid and contract to be closed by the end of the calendar year.

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating funds will cover expenses for existing service incurred beginning 10/1/2022 until 9/30/2024. This operating funding will be used in conjunction with other active SCAT grants to fund the complete budget

for transit operations. Other active SCAT grants to cover all applicable operating expenses include FY21 FTA 5311c ARPA funds, and FY20 and FY21 FTA 5311 formula funds apportioned to SCAT by ADOT. The other available funding totals are insufficient to cover all eligible operating expenses within the period.

Federal Funding, Capital and Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$614,174 Local Match: \$0 Local Sales Tax

Total Project Cost: \$614,174

OPERATIONS:

1. Operations to include fuel and supplies for transit operations and overhead (indirect) costs = \$305.848.00

Total operating cost equals \$305,848.00

Operations expenses incurred on pre-award authority have been provided in a month by month detail attached to this application. The level of detail requested by FTA is provided in the attachment, to include SCAT's general ledger line item detail of expenses and month of expense.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal Formula (CRRSAA)	5311-9B	20509	\$305,848
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$305,848

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Operations costs accrued as of start of SCAT FY2023.
End Date	U/3(1/2(1/2))	All disbursements for expenses to be sought no later than September 30, 2024.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	2/16/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$305,848.00	\$305,848.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial offsite impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	5/1/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	2	\$308,326.00	\$308,326.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Arianna Valle

Comment Type

DOL Review for Information

Date

Date

5/29/2023

Comment

This grant is only COVID-19 funds. Thank you.

Application Review Comments

Comment By Phil Yue Comment Type Pre-Award Manager Returns Application

2/22/2023

- 1) Please change your application name to: San Carlos Apache Tribe FY2021 Tribal Transit Formula CRRSAA Act, Operating Assistance/Capital
- 2) On project budget, please change all the scope to 600-00 (other program cost)
- 3) In order to qualify for de minimum 10% Indirect Cost rate, please complete the de minimum rate notification letter and upload on recipient document (note: if Recipient elects de minimis in their first award for a FY, then all subsequent awards in that FY can only use de minimis)
- 4) On executive summary, first paragraph, please change the statement like this: This application requests FY2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona, in the amount of \$614,174.
- 5) On both executive summary and extended budget description, please update/enter

Comment

below statement.

Federal Funding, Capital and Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula CRRSAA Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$614,174

Local Match: \$0 Local Sales Tax Total Project Cost: \$614,174

- 6) On both executive summary and project description, please provide the justification why the replacement of the bus and operating assistance are related to the COVID 19/pandemic.
- 7) On operating assistance activity, extended budget description, please check yes on 3rd party contractor

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	5/2/2023
Comment	return to continue to make the grant review.

Application Review Comments

Comment By Karin Vosgueritchian

Comment Type	Application Details
Date	5/24/2023

Comment

Transit Vehicle Manufacturers (TVM) must have an approved FTA DBE Goal prior to bidding on contract. For a current listing, see https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list.

San Carlos Apache Tribe must ensure that all vehicles purchased are accessible and purchased from an FTA approved TVM. Questions or concerns should be directed to Karin Vosgueritchian at Karin.Vosgueritchian@dot.gov or 415-734-9475.