

## Application

<b>Federal Award Identification Number (FAIN)</b>	AZ-2023-009-00
<b>Temporary Application Number</b>	6837-2023-1
<b>Award Name</b>	San Carlos Apache Tribe FY2021 Tribal Transit Formula ARP Act, Operating Assistance
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	9/30/2024
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: SAN CARLOS APACHE TRIBAL COUNCIL**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6837	Indian Tribe	San Carlos Apache Tribal Council	MSRJNX85HTV3	035940881

Location Type	Address	City	State	Zip
Headquarters	3A SAN CARLOS AVE	SAN CARLOS	AZ	85550
Physical Address	3A SAN CARLOS AVE	SAN CARLOS	AZ	85550
Mailing Address	3A SAN CARLOS AVE.	SAN CARLOS	AZ	85550

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: San Carlos Apache Tribe FY2021 Tribal Transit Formula ARP Act, Operating Assistance**

<b>FAIN</b>	<b>Application Status</b>	<b>Award Type</b>	<b>Application Cost Center</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
AZ-2023-009-00	Final Concurrence / Reservation	Grant	Region 9	2/17/2023	2/17/2023	No

**Application Executive Summary**

This application requests FY2021 Section 5311 Tribal Transit Formula ARP Act apportioned to the San Carlos Apache Tribe, Arizona, in the amount of \$ 611,207. This application utilizes ARPA funding to prevent, prepare for, and respond to coronavirus. Funding is needed for operations to continue to service a larger geographic area due to pandemic related service needs. Additional fuel and vehicle expenses have increased due to the change in service. These funds were apportioned directly to the San Carlos Apache Tribe from the Federal Transit Administration. The application scope of work includes:

1. Operations funding to support public transit expenses. Total amount allocated is \$611,207.00. This amount is being provided by the Tribe as of 10/1/2022, for fiscal year 2023 transit service expenses. The tribe will be reimbursed for applicable expenses from this grant once the grant is executed. The following general ledger expense line items are allocated to the overall operations budget line item:
  - Utilities (gas and electric for transit buildings) = \$10,000.00
  - Driver Uniforms = \$18,000.00
  - Driver Meetings and Training = \$27,500.00
  - Travel (driver training and conferences) = \$30,000.00
  - Telephone (driver cell phones) = \$30,000.00
  - Indirect (10% of \$611,207 rounded) = \$61,121.00.
  - Fuel for Transit Service = \$434,586.00

San Carlos Apache Tribe certified that it, and its subrecipient and contractor that are provider of public transportation, has not furloughed any employees to use CARES funding for other purposes.

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Per the ARPA, the projects in this application are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Federal Funding, Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula ARP Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$611,207 Local Match: \$0 Local Sales Tax Total Project Cost: \$611,207

Operations expenses incurred on pre-award authority have been provided in a month by month detail attached to this application. The level of detail requested by FTA is provided in the attachment, to include SCAT's general ledger line item detail of expenses and month of expense.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

The de minimus 10% indirect cost rate is applied to this application.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The purpose of the award is to provide operating funds for the period of October 1, 2022 through September 30, 2024.

**Activities to be performed:**

Funds will cover operating expenses such as fuel, driver training expenses, and items having a useful life of less than one year.

**Expected outcomes:**

Funding will allow the continued operation of transit services.

**Intended beneficiaries:**

Population of the San Carlos Apache Tribe service area and area transit riders.

**Subrecipient Activities:**

None.

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Arianna	Valle	General Engineer	arianna.valle@dot.gov	(602) 382-8967
Bernadette	Kniffin	Director	bkniffin@tanf.scatsn.gov	928-475-5011

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$611,207
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$611,207</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$611,207.00	\$0.00	\$611,207.00	1
AZ-2023-009-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$611,207.00	\$0.00	\$611,207.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: SCAT Transit Service - Operating

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-009-01-00	6837-2023-1-P1	2/17/2023	10/1/2022	9/30/2024

#### Project Description

The application will reflect one project to support one scope of budget expenses, as these expenses fall under one overarching project to support SCAT's existing transit program. The scope of the project start date is October 1, 2022 and ends September 30, 2024.

Federal Funding, Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula ARP Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$611,207 Local Match: \$0 Local Sales Tax Total Project Cost: \$611,207

The budget for this grant is as follows:

**OPERATIONS:**

Under the Operating scope:

1. Operations to include fuel and supplies for transit operations and overhead (indirect) costs = \$611,207.00

Total operating cost equals \$611,207.00

Indirect Costs will be applied to this application and apply to the operating ALI. San Carlos Apache Tribe has submitted FTA's certification and elected to use the de minimis indirect cost rate of 10%. The San Carlos Apache Tribe Finance Office Director has instructed the TANF-Transit office to follow the FTA C 9040.1G and elect 10% over the tribe's approved 29.79% rate in an effort to use more funds for transit service instead of tribal overhead costs. SCAT has attached the circular reference and approved tribal cost allocation plan (CAP) to this application.

Funding is needed for operations to continue to service a larger geographic area due to pandemic related service needs. Additional fuel and vehicle expenses have increased due to the change in service.

**Project Benefits**

Transit operations will be able to continue and be sustained with the use of these dollars. Without the funding, the transit system would cease to operate at the scope and level it is at currently. Current service area covers portions of Gila, Graham, Pinal, Greenlee and Maricopa Counties. We provide public route deviation transportation to all counties listed through local and intercity bus transportation routes.

**Additional Information**

Service area covers portions of Gila, Graham, Greenlee, Maricopa and Pinal Counties.

**Location Description**

Service area covers portions of Gila, Graham, Greenlee, Maricopa and Pinal Counties.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
040000	Arizona

**Congressional District Information**

State	District	Representative
Arizona	1	Tom O'Halleran

**Program Plan Information**

**STIP/TIP**

Date: 2/5/2019

Description: AZ STIP <https://azdot.gov/planning/transportation-programming/state-transportation-improvement-program-stip> and attached in application documents.

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$611,207
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$611,207</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-009-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$611,207.00	\$0.00	\$611,207.00	1
AZ-2023-009-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$611,207.00	\$0.00	\$611,207.00	1

**Project Budget Activity Line Items****Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

**Extended Budget Description**

Operating funds will cover expenses for existing service incurred beginning 10/1/2022 until 9/30/2024. This operating funding will be used in conjunction with other active SCAT grants to fund the complete budget for transit operations. Other active SCAT grants to cover all applicable operating expenses include FY21 FTA 5311c CRRSAA funds, and FY20 and FY21 FTA 5311 formula funds apportioned to SCAT by ADOT. The other available funding totals are insufficient to cover all eligible operating expenses within the period.

Federal Funding, Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula ARP Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$611,207 Local Match: \$0 Local Sales Tax Total Project Cost: \$611,207

**OPERATIONS:**

- 1. Operations to include fuel and driver training expenses for transit operations and overhead (indirect) costs = \$611,207.00
- Total operating cost equals \$611,207.00
- The following general ledger expense line items are allocated to the overall operations budget line item:
- Utilities (gas and electric for transit buildings) = \$10,000.00
- Driver Uniforms = \$18,000.00
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- Telephone (driver cell phones) = \$30,000.00
- Indirect (10% of \$611,207 rounded) = \$61,121.00.
- Fuel for Transit Service = \$434,586.00

Operations expenses incurred on pre-award authority have been provided in a month by month detail attached to this application. The level of detail requested by FTA is provided in the attachment, to include SCAT's general ledger line item detail of expenses and month of expense.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$611,207
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$611,207</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Operations costs accrued as of start of SCAT FY2023.
End Date	9/30/2024	All disbursements for expenses to be sought no later than September 30, 2024.

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	2/17/2023

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Arianna Valle

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Comment Type	DOL Review for Information
Date	5/29/2023
Comment	This grant is only COVID-19 funds.

### Application Review Comments

**Comment By** Phil Yue

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Comment Type	Pre-Award Manager Returns Application
Date	2/22/2023
Comment	<p>1) Please change your application name to: San Carlos Apache Tribe FY2021 Tribal Transit Formula ARP Act, Operating Assistance</p> <p>2) In order to qualify for de minimum 10% Indirect Cost rate, please complete the attached de minimum rate notification letter and upload on recipient document (note: if Recipient elects de minimis in their first award for a FY, then all subsequent awards in that FY can only use de minimis)</p> <p>3) On executive summary, first paragraph, please change the statement like this: This application requests FY2021 Section 5311 Tribal Transit Formula ARP Act apportioned to the San Carlos Apache Tribe, Arizona, in the amount of \$ 611,207.</p>



4) On both executive summary and extended budget description, please update/enter below statement.

Federal Funding, Operating Assistance, FY 2021 Section 5311 Tribal Transit Formula  
ARP Act apportioned to the San Carlos Apache Tribe, Arizona in the amount of \$611,207  
Local Match: \$0 Local Sales Tax  
Total Project Cost: \$611,207

5) On both executive summary and project description, please provide the justification why the operating assistance are related to the COVID 19/pandemic.

6) On operating assistance activity, extended budget description, please check yes on 3rd party contractor

**Comment By Phil Yue**

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Comment Type Pre-Award Manager Returns Application

Date 5/2/2023

Comment return to continue to make the grant review.