

## Application

<b>Federal Award Identification Number (FAIN)</b>	AK-2023-018-00
<b>Temporary Application Number</b>	6669-2023-1
<b>Award Name</b>	CARES Act - FY 2020 Section 5311 TTP Formula - Administrative Vehicle Purchase, Operating Assistance, and Indirect Costs for the Sitka Tribe of Alaska
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	3/31/2024
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: SITKA TRIBE OF ALASKA**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6669	Indian Tribe	SITKA TRIBE OF ALASKA	RSHJU9JW4G59	067348383

Location Type	Address	City	State	Zip
Headquarters	204 SIGINAKA WAY	SITKA	AK	99835
Physical Address	204 SIGINAKA WAY, SUITE 300	SITKA	AK	99835
Mailing Address	204 SIGINAKA WAY	SITKA	AK	99835

### Union Information

<b>Union Name</b>	NONE
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Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	-
Telephone	
Fax	
E-mail	
Website	

## Part 2: Application Information

**Title: CARES Act - FY 2020 Section 5311 TTP Formula - Administrative Vehicle Purchase, Operating Assistance, and Indirect Costs for the Sitka Tribe of Alaska**

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AK-2023-018-00	Final Concurrence / Reservation	Grant	Region 10	11/21/2022	11/21/2022	No

### Application Executive Summary

This grant includes \$88,840 in CARES Act - FY 2020 Section 5311 TTP formula funds, which is the full amount apportioned to the Sitka Tribe of Alaska. These funds will be used at 100% federal share for the purchase of approximately one (1) administrative support vehicle, one (1) year of operating assistance, and eligible indirect costs, to assist "the RIDE," Sitka Tribe of Alaska's transit program in preventing, preparing for, and responding to the coronavirus pandemic. Operating assistance costs include, but are not limited to, driver and other operation workers' wages, salaries and benefits, fuel, items having a useful life of less than one-year, personal protective equipment, and disinfecting supplies and equipment.

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

### \*\* Special Condition \*\*

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Department of Interior (DOI) accepted the Indirect Cost Negotiation Agreement with Sitka Tribe of Alaska on 11/1/2022, which covers 1/1/2022 through 12/31/2022. The currently approved indirect cost rate is 36.50% and will be applied to this grant. Once the 2023 rate and all future rates are approved, they will then be applied to this grant.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

This funding will provide the purchase of approximately one (1) administrative support vehicle, one (1) year of operating assistance, and eligible indirect costs, to maintain safe and reliable operations for the RIDE, Sitka Tribe of Alaska's transit program.

**Activities to be performed:**

The funds will be used to cover the purchase of one (1) administrative support vehicle, eligible indirect costs, and operating expenses, including, but not limited to, driver and other operation workers' wages, salaries and benefits, fuel, items having a useful life of less than one-year, personal protective equipment, and disinfecting supplies and equipment. The funds will help to maintain safe and reliable transit operations and will assist the RIDE, Sitka Tribe of Alaska's transit program, in preventing, preparing for, and responding to the coronavirus pandemic.

**Expected outcomes:**

The funds will assist in maintaining safe and reliable transit service, providing access to medical services, social services, education, employment, recreation, and cultural activities

**Intended beneficiaries:**

Tribal citizens, and other citizens of the community (general public), in and around the community of Sitka, Alaska.

**Subrecipient Activities:**

n/a

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Mark	Stojak	Transportation Program Specialist	mark.stojak@dot.gov	(206) 220-7501
Gerry	Hope	Transportation Director	gerry.hope@sitkatriben-sn.gov	907-747-5910
Ryan	Hammon	Transportation Specialist	Ryan.Hammon@dot.gov	(206) 220-7961

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$88,840
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$88,840</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2023-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$88,840.00	\$0.00	\$88,840.00	1
AK-2023-018-01-00	11.42.11 Maintenance and Operations Administrative Vehicle (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$60,422.00	\$0.00	\$60,422.00	1
AK-2023-018-01-	11.79.00 PROJECT	\$6,041.00	\$0.00	\$6,041.00	0

00			ADMINISTRATION - Indirect Costs for Admin. Vehicle Purchase (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)				
AK-2023-018-01-00	11.79.00		PROJECT ADMINISTRATION - Operating and Other Indirect Costs (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$5,984.00	\$0.00	\$5,984.00	0
AK-2023-018-01-00	30.09.08		EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$16,393.00	\$0.00	\$16,393.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

**Project Title: CARES Act - FY 2020 Section 5311 TTP Formula - Administrative Vehicle Purchase, Operating Assistance, and Indirect Costs for the Sitka Tribe of Alaska**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AK-2023-018-01-00	6669-2023-1-P1	11/22/2022	10/1/2020	12/31/2023

### Project Description

This project includes \$88,840 in CARES Act - FY 2020 Section 5311 TTP formula funds, which is the full amount apportioned to the Sitka Tribe of Alaska. These funds will be used at 100% federal share for the purchase of approximately one (1) administrative support vehicle, one (1) year of operating assistance, and eligible indirect costs, to assist "the RIDE," Sitka Tribe of Alaska's transit program in preventing, preparing for, and responding to the coronavirus pandemic. Operating assistance costs include, but are not limited to, driver and other operation workers' wages, salaries and benefits, fuel, items having a useful life of less than one-year, personal protective equipment, and disinfecting supplies and equipment.

### Project Benefits

The funds will assist in maintaining safe and reliable transit service, providing access to medical services, social services, education, employment, recreation, and cultural activities

### Additional Information

None provided.

**Location Description**

On Baranof Island, in the City & Borough of Sitka, Alaska

**Project Location (Urbanized Areas)**

UZA Code	Area Name
020000	Alaska

**Congressional District Information**

State	District	Representative
Alaska	1	Don Young

**Program Plan Information**

**STIP/TIP**

Date: Not Provided

Description: This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**UPWP**

Date: Not Provided

Description: N/A

**Long Range Plan**

Date: Not Provided

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$88,840
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$88,840</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AK-2023-018-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$88,840.00	\$0.00	\$88,840.00	1
AK-2023-018-01-00	11.42.11 Maintenance and Operations Administrative Vehicle (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$60,422.00	\$0.00	\$60,422.00	1
AK-2023-018-01-00	11.79.00 PROJECT ADMINISTRATION - Indirect Costs for Admin. Vehicle Purchase (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$6,041.00	\$0.00	\$6,041.00	0
AK-2023-018-01-00	11.79.00 PROJECT ADMINISTRATION - Operating and Other Indirect Costs (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$5,984.00	\$0.00	\$5,984.00	0
AK-2023-018-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	\$16,393.00	\$0.00	\$16,393.00	0

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Operating and Other Indirect Costs (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00) 11.79.00 PROJECT ADMINISTRATION OTHER CAPITAL ITEMS (BUS) 0

**Extended Budget Description**

This activity includes \$5,984 in CARES Act - FY 2020 Section 5311 TTP formula funds for project administration, by using a federally approved indirect cost rate, for the time-period of 1/1/2023 to 12/31/2023 for the RIDE's operating related and other indirect costs.

**MATCH**

The federal to non-federal match ratio is 100:00.

**STIP**

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$5,984
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$5,984</b>

Milestone Name	Est. Completion Date	Description
Operating and Other Indirect Costs Start Date	1/1/2023	
Operating and Other Indirect Costs End Date	12/31/2023	

**Budget Activity Line Item: 11.42.11 - Maintenance and Operations Administrative Vehicle (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.11	ACQUIRE - SUPPORT VEHICLES	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	1

**Extended Budget Description**

This activity includes \$60,422 in CARES Act - FY 2020 Section 5311 TTP formula funds for the purchase of approximately one (1) non-revenue service administrative vehicle for the support of the RIDE's maintenance and operations transit staff. The administrative vehicle purchase will be a gasoline powered



Ford F-150 pick-up truck, or similar style vehicle.

**Match**

The federal to non-federal match ratio is 100:00.

**STIP**

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Useful Life**

According to FTA C 5010.1E, Page IV-25(b), the minimum useful life for a light-duty vehicle is 4 years or 100,000 miles.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$60,422
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$60,422</b>

Milestone Name	Est. Completion Date	Description
RFP/RFQ Date	10/1/2020	
Contract Award Date	10/21/2020	
Initial Delivery Date	12/31/2020	
Final Delivery Date	1/15/2021	
Contract Closeout Date	1/22/2021	

**Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity includes \$16,393 in CARES Act - FY 2020 Section 5311 TTP formula funds for operating assistance, for "the RIDE" - fixed-route transit service, for the time-period of 1/1/2023 to 12/31/2023. Operating assistance costs include, but are not limited to, driver and other operation workers' wages, salaries and benefits, fuel, items having a useful life of less than one-year, personal protective equipment,

and disinfecting supplies and equipment.

**MATCH**

The federal to non-federal match ratio is 100:00.

**STIP**

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$16,393
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$16,393</b>

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	1/1/2023	
Operating Assistance End Date	12/31/2023	

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION - Indirect Costs for Admin. Vehicle Purchase (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity includes \$6,041 in CARES Act - FY 2020 Section 5311 TTP formula funds for project administration, by using a federally approved indirect cost rate, for the time-period of 10/1/2020 to 1/22/2021, related to the purchase of the RIDE's non-revenue administrative support vehicle in this grant.

**MATCH**

The federal to non-federal match ratio is 100:00.

**STIP**

This project(s) includes only operations, planning and/or capital projects, with no substantial functional, location, or capacity change. Therefore, per CARES Act requirements, no Statewide Transportation

Improvement Program (STIP) or Transportation Improvement Plan (TIP) documentation is needed.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$6,041
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$6,041</b>

Milestone Name	Est. Completion Date	Description
Administrative Vehicle Purchase Indirect Costs Start Date	10/1/2020	
Administrative Vehicle Purchase Indirect Costs Start Date	1/22/2021	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible
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					Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - Indirect Costs for Admin. Vehicle Purchase (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	0	\$6,041.00	\$6,041.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	0	\$16,393.00	\$16,393.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.11	Maintenance and Operations Administrative Vehicle (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	1	\$60,422.00	\$60,422.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION - Operating and Other Indirect Costs (CARES Act - FY 2020 Section 5311 TTP Formula 100:00)(00)	0	\$5,984.00	\$5,984.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Mark Stojak

**Comment Type** DOL Review for Information

**Date** 5/23/2023

**Comment** The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application.

FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

## Application Review Comments

**Comment By** Mark Stojak

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Comment Type Pre-Award Manager Returns Application

Date 5/18/2023

Comment Returning to recipient for edits and revisions.