

Application

Federal Award Identification Number (FAIN)	AZ-2023-006-00
Temporary Application Number	7066-2022-4
Award Name	Kaibab Paiute, FFY21 5311(c)(1)(A) Tribal Transit Competitive Program - Capital, Replacement Vehicles
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/31/2024
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: KAIBAB BAND OF PAIUTE INDIANS

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7066	Indian Tribe	Kaibab Band Of Paiute Indians		145854212

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	1 N PIPESPRINGS RD	FREDONIA	AZ	86022
Mailing Address	HC 65 BOX 2	FREDONIA	AZ	86022

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Kaibab Paiute, FFY21 5311(c)(1)(A) Tribal Transit Competitive Program - Capital, Replacement Vehicles

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2023-006-00	Final Concurrence / Reservation	Grant	Region 9	2/23/2022	2/23/2022	No

Application Executive Summary

This application is to request FY2021 Tribal Transit Competitive program apportioned to the Kaibab Band of Paiute Indians, Arizona in the amount of \$76,900.

The Tribe is requesting pre-award authority to assist with the purchase of two new transit vehicles. In the current volatile marketplace, to hold the price and secure the vehicles, the Tribe will need to purchase the vehicles prior to the fully executed award and receive reimbursement. The pre-award cost breakdown per vehicle is below. Date of pre-award authority is January 1, 2023.

Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity	Date Authorized
600-00 (600-) OTHER PROGRAM COSTS	\$76,900.00	\$29,264.00	\$106,164.00		
11.12.15 BUY REPLACEMENT VAN	\$43,700.00	\$20,691.00	\$64,391.00	1	February 9, 2023
11.12.16 BUY REPL SUV	\$33,200.00	\$8,573.00	\$41,773.00	1	February 17, 2023

The funds will cover the cost of replacement vehicles.

Funding will allow for the timely replacement of vehicles. One vehicle will be placed under Tribal Administration for local use and the second vehicle will be sold per the Tribes Disposition Policy.

The first vehicle to be replaced is identified in our Fleet Inventory at RVI ID#343474 VIN# 5575 (last four) and is a 2013 Toyota Sienna minivan with 99,663 miles.

The second vehicle to be replaced is identified in our Fleet Inventory as RVI ID#368306 VIN# 0256 (last four) and is a 2011 Nissan Pathfinder with 85,171 miles.

The first vehicle to be purchased will be a 2023 Toyota Highlander LE 8-person seating capacity, 2- wheel drive gasoline engine new with 5 miles.

The second vehicle to be purchased will be a 2022 BraunAbility Chrysler Voyager Side Entry 4 passengers / 2 handicap positions, handicap manual ramp for side entry, 2-wheel drive gasoline engine new with 1 mile.

Federal Funds: FY 2021 5311 Tribal Transit Competitive Program, Capital/Vehicle Replacement, Kaibab Band of Paiute Indians, Arizona in the amount of \$76,900

Local Match: \$29,264

Total Project Cost: \$ 106,164

Note: The Kaibab Band of Paiute Indians added a local match to their grant application. This needs to be added to the application, so the information is consistent in TrAMS, the Initial Federal Financial Report, and the ECHO system for drawdowns and closeout. The original grant application (grant.gov) did not need a local match; however, since that time the vehicle costs have increased from nationwide inflation and the Tribe must include a local contribution to meet the current pricing. Per HQ email dated 4/5/2023, this change does not require TPM approval.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide two replacement transit vehicles.

Activities to be performed:

Purchase and put into service two new transit vehicles.

Expected outcomes:

The proposed Replacement Vehicles will greatly benefit the Kaibab Band of Paiute Indians by maintaining the mobility of our tribal members and to support the overall transit system with a safe, reliable vehicle. The Tribe services our members with group trips to shopping and routine care visits on a monthly basis. With these replacement vehicles, health care to our members will be maintained and quality of life to low income persons through our social services programs will be provided.

The Tribe will benefit by using more fuel efficient and cost effective vehicles. Lower long term maintenance and operating costs would be achieved by replacing the aging vehicles currently in use.

Intended beneficiaries:

The intended beneficiaries are tribal members and residents of the Kaibab Paiute Indian Reservation with an approximate population of 300 people. Services are demand response and mainly include transport for medical appointments. Transit services are provided on a regular basis on Monday-Friday from 8am to 5pm. Other service times can be arranged for special circumstances if needed.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Program Management Specialist	Phil.Yue@dot.gov	(415) 734-9476
Elisabeth	Whitlock	Transportation Coordinator	ewhitlock@highroadengineering.com	435-767-8481

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$76,900
Local			\$29,264
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$106,164

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$76,900.00	\$29,264.00	\$106,164.00	2
AZ-2023-006-01-00	BUY 11.12.15 REPLACEMENT VAN	\$43,700.00	\$20,691.00	\$64,391.00	1
AZ-2023-006-01-00	11.12.16 BUY REPL SUV	\$33,200.00	\$8,573.00	\$41,773.00	1

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2022-TRTR-014	Replacement Minivan and Sport Utility Vehicle (SUV)	\$76,900	AZ-2023-006-00	

Part 3: Project Information

Project Title: Replacement Vehicles

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2023-006-01-00	7066-2022-4-P1	2/23/2022	9/1/2022	3/31/2024

Project Description

The Kaibab Band of Paiute Indians currently operates transportation services through their Community Health Representative, Social Services, and Elderly Program offices. All services are provided "on-demand" and on an as needed basis. Transportation assistance is provided for general health and social services for all tribal members, residents within the reservation, and any members who live in the service area.

This project will provide two replacement vehicles for an aging van and sedan in our fleet. The replacement minivan and SUV are needed for reliable transportation for up to 8 passengers.

The first vehicle to be replaced is identified in our Fleet Inventory at RVI ID#343474 VIN# 5575 (last four) and is a 2013 Toyota Sienna minivan with 99,663 miles.

The second vehicle to be replaced is identified in our Fleet Inventory as RVI ID#368306 VIN# 0256 (last four) and is a 2011 Nissan Pathfinder with 85,171 miles.

Project Benefits

The proposed Replacement Vehicles will greatly benefit the Kaibab Band of Paiute Indians by maintaining the mobility of our tribal members and to support the overall transit system with a safe, reliable vehicle. The Tribe services our members with group trips to shopping and routine care visits on a monthly basis. With these replacement vehicles, health care to our members will be maintained and quality of life to low income persons through our social services programs will be provided.

The Tribe will benefit by using a more fuel efficient and cost effective vehicle. Lower long term maintenance and operating costs would be achieved by replacing the aging vehicle currently in use.

Additional Information

The two new vehicles will support the overall goals of the transportation services provided by the Tribe. The vehicles will not duplicate any services but will instead improve the reliability and cost effectiveness of our existing transit services. The Replacement Vehicles will be put in service for the Kaibab Indian Reservation through the Community Health Program. This Program coordinates human services to all members in and around the Reservation. The Community Health Program is well established and operates under Indian Health Services support. The transit vehicle will primarily support medical appointments and for trips requiring transport of people with limited mobility, but the vehicle will be part of the overall transit fleet and will be available across all tribal services as a coordinated effort to meet the human service needs of the Tribe.

The first vehicle to be replaced is identified in our Fleet Inventory at RVI ID#343474 VIN# 5575 (last four) and is a 2013 Toyota Sienna minivan.

The second vehicle to be replaced is identified in our Fleet Inventory as RVI ID#368306 VIN# 0256 (last four) and is a 2011 Nissan Pathfinder.

Location Description

The Kaibab Indian Reservation is in northern Mohave County and Coconino County, Arizona

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona

Congressional District Information

State	District	Representative
Arizona	1	Ann Kirkpatrick
Arizona	2	Martha McSally

Program Plan Information

STIP/TIP

Date: 6/3/2021

Description: The Kaibab Paiute Tribe participates in the Western Arizona Council of Governments' Coordinated Council for planning and transit services program assistance. The coordination and planning of human service programs through this regional transportation group was conducted to identify our transit needs. The proposed capital project is listed in the WACOG 2021-2024 Transportation Human Services Coordination Plan. The Tribe lists as its first priority the acquisition of a Replacement Minivan and SUV to update its aging fleet. See the WACOG Coordination Plan document (page 54) as an Attachment to this application for more details. The Tribal Council supports this capital request for a new minivan and SUV. Various staff meetings were held to discuss the transit needs of the members. The Administration and Community Health Representative offices also support this application. The staff members in those departments coordinate human services for all members, seniors, veterans, and youth on the Reservation, and participate in the planning efforts for transportation services. Furthermore, the Tribe established a Transportation Committee which meets monthly to discuss the transportation and transit needs of the Tribe and to explore funding opportunities to meet those needs. All staff and tribal members are invited to participate in the monthly meetings. The FTA FY 2021 Competitive Funding Opportunity was discussed by the Tribe's Transportation Committee, and a recommendation was made to the Tribal Council for replacement transit vehicles this year. A new minivan and SUV will support the overall goals of the transportation services provided by the Tribe. The vehicles will not duplicate any services but will instead improve the reliability and cost effectiveness of our existing transit services. The replacement minivan and SUV will be put in service for the Kaibab Indian Reservation through the Social Services and Community Health Programs. These Programs coordinate human services to all members in and around the Reservation. The Social Services and Community Health Programs are well established and operate under Indian Health Services support. The transit vehicles will primarily support medical appointments and for trips requiring transport of people with limited mobility, but the vehicles will be part of the overall transit fleet and will be available across all tribal services as a coordinated effort to meet the human service needs of the Tribe. The purchase of the vehicles can be implemented within six months by using the Tribe's procurement process with existing staff.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$76,900
Local			\$29,264
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$106,164

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2023-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$76,900.00	\$29,264.00	\$106,164.00	2
AZ-2023-006-01-00	BUY 11.12.15 REPLACEMENT VAN	\$43,700.00	\$20,691.00	\$64,391.00	1
AZ-2023-006-01-00	11.12.16 BUY REPL SUV	\$33,200.00	\$8,573.00	\$41,773.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.15	BUY REPLACEMENT VAN	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Federal Funds: FY 2021 5311 Tribal Transit Competitive Program, Capital/Vehicle Replacement, Kaibab Band of Paiute Indians, Arizona in the amount of \$76,900.

Local Match: \$29,264, Local match is from Tribal General Fund account.

Total Project Cost: \$106,164

Handicap Accessible Minivan Purchase \$43,700 and Sport Utility Vehicle Purchase \$33,200 for a total Federal project cost of \$76,900.

The Tribe is requesting pre-award authority to assist with the purchase of two new transit vehicles. In the current volatile marketplace, to hold the price and secure the vehicles, the Tribe will need to purchase the vehicles prior to the fully executed award and receive reimbursement. The cost breakdown per vehicle is below. Pre-award authority date is January 1, 2023 for all budget line items.

Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity	Date of Pre-award authority	Useful Life
600-00 (600-) OTHER PROGRAM COSTS	\$76,900.00	\$29,264.00	\$106,164.00			
11.12.15 BUY REPLACEMENT VAN	\$43,700.00	\$20,691.00	\$64,391.00	1	January 1, 2023	8 years or 100,000 miles
11.12.16 BUY REPL SUV	\$33,200.00	\$8,573.00	\$41,773.00	1	January 1, 2023	8 years or 100,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$43,700
Local			\$20,691
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$64,391

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/1/2022	RFP issued for vehicle bid
Contract Award Date	1/19/2023	Contract awarded by Tribal Council
Initial Delivery Date	2/10/2023	Vehicle delivered to Tribal Headquarters
Final Delivery Date	2/24/2023	Vehicle placed into service
Contract Completion Date	3/31/2024	Grant closed out

Budget Activity Line Item: 11.12.16 - BUY REPL SUV

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.16	BUY REPL SEDAN/ STATION WAGON	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Federal Funds: FY 2021 5311 Tribal Transit Competitive Program, Capital/Vehicle Replacement, Kaibab

Band of Paiute Indians, Arizona in the amount of \$76,900.
 Local Match: \$29,264, Local match is from Tribal General Fund account.
 Total Project Cost: \$106,164

Handicap Accessible Minivan Purchase \$43,700 and Sport Utility Vehicle Purchase \$33,200 for a total Federal project cost of \$76,900.

The Tribe is requesting pre-award authority to assist with the purchase of two new transit vehicles. In the current volatile marketplace, to hold the price and secure the vehicles, the Tribe will need to purchase the vehicles prior to the fully executed award and receive reimbursement. The cost breakdown per vehicle is below. Pre-award authority date is January 1, 2023 for all budget line items.

Budget Item FTA Amount Non-FTA Amount Total Eligible Amount Quantity Date of Pre-award authority Useful Life
 600-00 (600-) OTHER PROGRAM COSTS \$76,900.00 \$29,264.00 \$106,164.00
 11.12.15 BUY REPLACEMENT VAN \$43,700.00 \$20,691.00 \$64,391.00 1 January 1, 2023 8 years or 100,000 miles
 11.12.16 BUY REPL SUV \$33,200.00 \$8,573.00 \$41,773.00 1 January 1, 2023 8 years or 100,000 miles

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$33,200
Local			\$8,573
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$41,773

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/1/2022	RFP issued for vehicle bid
Contract Award Date	1/19/2023	Contract awarded by Tribal Council
Initial Delivery Date	2/17/2023	Vehicle delivered to Tribal Headquarters
Final Delivery Date	2/24/2023	Vehicle placed into service
Contract Completion Date	3/31/2024	Grant closed out

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or

natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	1/1/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type	DOL Review for Information
Date	4/20/2023
Comment	Department of Labor (DOL) certification is not required. It is for information purposes—as with Section 5311 Program application.

Application Review Comments

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	3/2/2022
Comment	<ol style="list-style-type: none"> 1. Please change the application name to Kaibab Paiute, FFY21 5311(c)(1)(A) Tribal Transit competitive program Capital, Replacement Vehicles 2. On executive summary, please add the statement on intended beneficiaries. 3. On executive summary, please indicate the breakdown like below. Scope 1 Capital/Replacement Vehicles Federal Funds: FY 2021 5311 (c) (1) (A) funds from Arizona UZA, \$76,900 Local Match: No Local share/Local Sales Tax Total Project Cost: \$ 76,900 4. Please complete the FY2022 certification and assurance, both pinning and uploading the signed/completed hardcopies on recipient document.

5. On project description, please add mileage info on the vehicles you will replace.
6. On executive summary, please provide the plan on how you will dispose the vehicles or they will be placed under contingency.
7. Please upload the route/map of the vehicle will service on application document
8. Please upload the photo and detail of the vehicle you will purchase
9. Please upload the Applicant and Proposal Profile/ Tribal Transit Program Supplemental Form (grant.gov) on application document
10. On project budget, please change the scope from 111-00 to 600-00 (other program)
11. Under executive summary, please provide the detail of the 2 new vehicles you will purchase, brand, size, year and what accessories will be included.
12. Under executive summary, please provide the detail of the vehicles you will replace: brand, year, mileage, VIN# and condition

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	3/27/2023
Comment	<p>1) FY2023 C&A hardcopies is incomplete, please re-upload the attached 3 pages of C&A on recipient document</p> <p>2) Please upload the Applicant and Proposal Profile/ Tribal Transit Program Supplemental Form (grant.gov) on application document (it mentioned on my email dated on 3/2/2023)</p> <p>Since there is no tribal transit program supplemental form on TRAMs, I cannot verify the rest of budget and description.</p>

Comment By Phil Yue

Comment Type	Pre-Award Manager Returns Application
Date	4/17/2023
Comment	<p>return for correction. 1. Executive Summary - Since TrAMS now includes a section in the Application Details module for grantees to enter the OMB required 5 elements, please remove the same information from the Executive Summary. 2. Extended Budget Details for both ALIs: Please ask the grantee to include the useful life information and the source of non-federal share.</p>