

Application

Federal Award Identification Number (FAIN)	MN-2023-005-00
Temporary Application Number	6935-2023-1
Award Name	5311c Tribal Formula for Facility Renovations
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	3/30/2027
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: FOND DU LAC RESERVATION

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	J37EL8RWMHK6	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 HIGHWAY 210	CARLTON	MN	55718
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311c Tribal Formula for Facility Renovations

FAIN	Application Status	Award Type	Date Created	Last Updated Date	From TEAM?
MN-2023-005-00	Final Concurrence / Reservation	Grant	11/28/2022	11/28/2022	No

Application Executive Summary

This application is to request a total of Section 5311c Tribal Transit Formula funds apportioned to the Fond du Lac Band of Lake Superior Chippewa in the amount of \$851,808. This includes the following apportionments:

- \$394,245 of FY21 5311c Tribal Formula funds *THESE FUNDS ARE LAPSING*

- \$457,563 of FY22 5311c Tribal formula funds

These funds will be used towards the interior renovation of an existing transit garage, bus wash, and administration office. All renovations will be interior to existing structures. No new construction will be part of this grant. These funds are 100% Federal share, and no local match is required through program 5311(c)(1)(B). No STIP is required. Pre-award authority will be used to install new water pipes in an amount around \$100,000 depending on the timing of grant execution.

In addition to FTAs Buy America requirements under 49 U.S.C. 5323(j) and 49 CFR Part 661, which require that the steel, iron, and manufactured goods used in an FTA-funded project are produced in the United States, the Build America, Buy America Act (BABA) (Public Law 117-58, div. G 70914(a)) now requires that construction materials used in infrastructure projects are also produced in the United States. Refer to terms and conditions in FTAs Master Agreement, Section 15. The BABA requirements for construction materials applies to this grant, in addition to the Buy America requirements, except to the extent a waiver of either requirements may apply.

Attached documents include proof of funds for the FY21 and FY22 5311c Tribal Formula funds.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

Renovate Transit buildings to house training room and mechanic station, car wash to bus wash and administration building.

Activities to be performed:

Build second floor to training room, computer room and office. Elevator and stairway install, mechanic station.

Expected outcomes:

Service mechanics for buses in house, Training room for staff. Bus wash for maintenance.

Intended beneficiaries:

Employees access to training when needed, less time bus is out of service.

Subrecipient Activities:

none

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jason	Hollinday	Planning Director	jasonhollinday@fdlrez.com	(218) 878-2625
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	(312) 353-3853

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$851,808
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$851,808

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2023-005-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$394,245.00	\$0.00	\$394,245.00	1
MN-2023-005-01-00	11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$394,245.00	\$0.00	\$394,245.00	1
MN-2023-005-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$457,563.00	\$0.00	\$457,563.00	1
MN-2023-005-02-00	11.44.03 REHAB/RENOVATE - ADMIN/MAINT FACILITY	\$457,563.00	\$0.00	\$457,563.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY21 5311c Tribal Transit formula for facility renovation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2023-005-01-00	6935-2023-1-P1	11/28/2022	2/1/2023	9/30/2025

Project Description

This project seeks to use FY21 5311c Tribal formula funds \$394,245 towards the interior renovation of an existing transit garage, bus wash and administration office. All renovations will be interior to existing structures. No new construction will be part of this grant. These funds are 100% Federal share and no local match required. No STIP is required.

Project Benefits

Training access for employees and shorter bus out service time.

Additional Information

None provided.

Location Description

1659 Hwy 210 Carlton Mn. Service area Fond du Lac Reservation, Cloquet and Carlton with trips to Duluth MN

Project Location (Urbanized Areas)

UZA Code	Area Name
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270000

Minnesota

Congressional District Information

State	District	Representative
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$394,245
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$394,245

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2023-005-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$394,245.00	\$0.00	\$394,245.00	1
MN-2023-005-01-	11 44 03 REHAB/	\$394,245.00	\$0.00	\$394,245.00	1

00	RENOVATE - ADMIN/ MAINT FACILITY
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Project Budget Activity Line Items

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1

Extended Budget Description

This project seeks to use FY21 5311c Tribal formula funds \$394,245 toward the interior renovation of an existing Transit garage, bus wash, and administration office. All renovations will be interior to existing structures. No new construction will be part of this grant. These funds are 100% Federal share and no local match required. No STIP required.

Renovations of the existing transit garage, bus wash, and administration office located at 1659 Hwy 210 Carlton, MN will have a useful life of 15 years.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$394,245
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$394,245

Milestone Name	Est. Completion Date	Description
RFP release	2/1/2023	RFP will be posted.
Contract awarded	3/31/2023	Award contracted bidder.
Renovation begin	6/30/2023	Renovation to start.
Renovations complete	9/30/2025	Completion of renovations.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	11/28/2022

Project Title: FY22 5311c Tribal Transit Formula facility renovation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2023-005-02-00	6935-2023-1-P2	11/28/2022	2/1/2023	9/30/2025

Project Description

This Project seeks to use FY22 5311c Tribal formula funds \$457,563 toward the interior renovation of an existing Transit garage, bus wash and administration office. All renovations will be interior to existing structures. No new construction will be part of this grant. These funds are 100% Federal share and no local match required. No STIP required.

Project Benefits

Training room for employees, bus maintenance out of service shorter time.

Additional Information

None provided.

Location Description

1659 Hwy 210 Carlton MN. Service area Fond du Lac Reservation, Cloquet, Carlton and Duluth Mn runs.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

State	District	Representative
Minnesota	8	Pete Stauber

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$457,563
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$457,563

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2023-005-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$457,563.00	\$0.00	\$457,563.00	1
MN-2023-005-02-00	11.44.03 - ADMIN/REHAB/RENOVATE MAINT FACILITY	\$457,563.00	\$0.00	\$457,563.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY
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Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00)	11.44.03	REHAB/RENOVATE - ADMIN/MAINT FACILITY	REHAB/RENOVATE BUS SUPPORT FACIL/EQUIP	1
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Extended Budget Description

This project seeks to use FY22 5311c Tribal formula funds \$457,563 toward the interior renovation of an existing Transit garage, bus wash, and administration office. All renovations will be interior to existing structures. No new construction will be part of this grant. These funds are 100% Federal share and no local match required. No STIP required.

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5311 - Tribal Transit Program Formula	5311-1B	20509	\$457,563
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$457,563

Milestone Name	Est. Completion Date	Description
RFP Posted	2/1/2023	RFP posted
Contract award	3/31/2023	Contract awarded to bidder.
Renovation begin	6/30/2023	Renovations begin.
Renovation complete	9/30/2025	Renovations completed.

Project Environmental Findings**Finding: Class II(c) - Categorical Exclusions (C-List)****Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges,

tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	11/28/2022

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Colin Korst

Comment Type DOL Review for Information

Date 3/6/2023

Comment 5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.