

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

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|---|--|
| Federal Award Identification Number (FAIN) | AK-2023-007-00 |
| Temporary Application Number | 6803-2021-2 |
| Award Name | CARES Act - FY 2020 Sec 5311 TTP Operating Assistance, Vehicle Acquisition, and Indirect Administrative Expenses for the Kenaitze Indian Tribe |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|--------------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 12/30/2023 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: Kenaitze Indian Tribe

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|-----------------------|-----|-----------|
| 6803 | Indian Tribe | KENAITZE INDIAN TRIBE | | 149211364 |

| Location Type | Address | City | State | Zip |
|------------------|------------------------|-------|-------|-------|
| Headquarters | 150 N WILLOW ST STE 33 | KENAI | AK | 99611 |
| Physical Address | 150 N WILLOW ST | KENAI | AK | 99611 |
| Mailing Address | PO BOX 988 | KENAI | AK | 99611 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: CARES Act - FY 2020 Sec 5311 TTP Operating Assistance, Vehicle Acquisition, and Indirect Administrative Expenses for the Kenaitze Indian Tribe

| FAIN | Application Status | Award Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------|--------------|-------------------|------------|
| AK-2023-007-00 | Final Concurrence / Reservation | Grant | 2/25/2021 | 2/25/2021 | No |

Application Executive Summary

This grant application uses \$140,892 in FY 2020 CARES Act Section 5311 TTP formula funding at the 100% federal share and 100% of the amount available, for operating assistance, vehicle acquisition, and indirect administrative expenses to prevent, prepare for, and respond to the coronavirus pandemic for the provision of transportation services within the service area of Kenaitze Indian Tribe in the state of Alaska.

Furlough Certification:

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

Special Condition for Emergency Related Funding:

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The DOI approved the Kenaitze Indian Tribe indirect cost rate on 03/07/2022 and a copy of the approved indirect cost rate is on file with FTA in TrAMS. The approved rate of 17.94% or the most currently approved annual rate will be applied to the applicable activity line descriptions.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

This grant will provide vehicles, indirect administrative and operating assistance to expand public transit program throughout central Kenai Peninsula.

Activities to be performed:

Vehicle acquisition, operating assistance, and administrative activities to expand public transit program throughout central Kenai Peninsula.

Expected outcomes:

This project will provide increased passenger capacity to local Kenai peninsula service area ensuring continuation of service to Un'ina.

Intended beneficiaries:

Public transportation riders located along the Kenai peninsula.

Subrecipient Activities:

There are no subrecipients to this award.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|---|--------------------------|----------------|
| Aurora | Rogers | Grants Assistant | ahawkins@kenaitze.org | 907-335-7207 |
| Annette | McKelvey | Transportation Program Specialist | Annette.McKelvey@dot.gov | (206) 220-4461 |
| Scot | Rastelli | Supervisory Transportation Program Specialist | Scot.Rastelli@dot.gov | (206) 220-7965 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------|--------------------|-------------|-----------|
| 5311 Tribal formula (CARES Act) | 5311-7 | 20509 | \$140,892 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |

| | | | |
|-----------------------------------|--|--|------------------|
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$140,892 |

Application Budget

| Project Number | | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-----------------|--|--------------|----------------|-----------------------|----------|
| AK-2023-007-01-00 | 600-00 (600-A1) | OTHER PROGRAM COSTS | \$140,892.00 | \$0.00 | \$140,892.00 | 2 |
| AK-2023-007-01-00 | | 11.13.15 BUY VAN FOR SVC EXPANSION (FY 2020 CARES Act TTP formula 100:0)(00) | \$119,826.00 | \$0.00 | \$119,826.00 | 2 |
| AK-2023-007-01-00 | | 11.79.00 PROJECT ADMINISTRATION (FY 2020 CARES Act TTP formula 100:0)(00) | \$4,265.00 | \$0.00 | \$4,265.00 | 0 |
| AK-2023-007-01-00 | | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY 2020 CARES Act TTP formula 100:0)(00) | \$16,801.00 | \$0.00 | \$16,801.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: CARES Act - FY 2020 Sec 5311 TTP Operating Assistance, Vehicle Acquisition, and Indirect Administrative Expenses for the Kenaitze Indian Tribe

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| AK-2023-007-01-00 | 6803-2021-2-P1 | 2/25/2021 | 12/1/2020 | 9/30/2023 |

Project Description

This project uses \$140,892 in FY 2020 CARES Act Section 5311 TTP formula funding at the 100% federal share and 100% of the amount available, for operating assistance, vehicle acquisition, and indirect administrative expenses to prevent, prepare for, and respond to the coronavirus pandemic for the provision of transportation services within the service area of Kenaitze Indian Tribe in the state of Alaska.

Furlough Certification:

The Recipient certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

Special Condition for Emergency Related Funding:

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Project Benefits

This grant will allow public transportation system services throughout the service area of the Kenaitze Indian Tribe on the Kenai peninsula in the state of Alaska.

Additional Information

None provided.

Location Description

Kenaitze Service Area covers Cooper Landing to the North side of the Ninilchik River.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|-----------|
| 020000 | Alaska |

Congressional District Information

| State | District | Representative |
|--------|----------|----------------|
| Alaska | 1 | Don Young |

Program Plan Information**STIP/TIP**

Date: Not Provided

Description: Per the CARES Act, the project(s) in this application do not lead to a functional, location, or capacity change and is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A
Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 Tribal formula (CARES Act) | 5311-7 | 20509 | \$140,892 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$140,892 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| AK-2023-007-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$140,892.00 | \$0.00 | \$140,892.00 | 2 |
| AK-2023-007-01-00 | 11.13.15 BUY VAN FOR SVC EXPANSION (FY 2020 CARES Act TTP formula 100:0)(00) | \$119,826.00 | \$0.00 | \$119,826.00 | 2 |
| AK-2023-007-01-00 | 11.79.00 PROJECT ADMINISTRATION (FY 2020 CARES Act TTP formula 100:0)(00) | \$4,265.00 | \$0.00 | \$4,265.00 | 0 |
| AK-2023-007-01-00 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY 2020 CARES Act TTP formula 100:0)(00) | \$16,801.00 | \$0.00 | \$16,801.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION (FY 2020 CARES Act TTP formula 100:0)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 0 |

Extended Budget Description

This activity line item uses \$4,265 in FY 2020 CARES Act Section 5311 TTP formula funding for indirect administrative expenses. The federal-to-local match rate is 100:0 and the federal indirect cost allocation approval letter is attached to this grant application.

Per the CARES Act, the project(s) in this application do not lead to a functional, location, or capacity change and is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|----------------|
| 5311 Tribal formula (CARES Act) | 5311-7 | 20509 | \$4,265 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$4,265 |

| Milestone Name | Est. Completion Date | Description |
|-------------------------|----------------------|-------------|
| Start Indirect Expenses | 12/1/2020 | |
| End Indirect Expenses | 9/30/2023 | |

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY 2020 CARES Act TTP formula 100:0)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | OPERATING ASSISTANCE | 0 |

Extended Budget Description

This activity line item uses \$16,801 in FY 2020 CARES Act Section 5311 TTP formula funding for operating assistance to include program supplies such as tires, winter traction gear, absorbent powder, PPE & Emergency Supplies for vehicles. The federal-to-local match rate is 100:0.

Per the CARES Act, the project(s) in this application do not lead to a functional, location, or capacity

change and is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 Tribal formula (CARES Act) | 5311-7 | 20509 | \$16,801 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$16,801 |

| Milestone Name | Est. Completion Date | Description |
|----------------------------|----------------------|-------------|
| Start Operating Assistance | 1/1/2021 | |
| End Operating Assistance | 9/30/2023 | |

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION (FY 2020 CARES Act TTP formula 100:0)(00)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---------------------------|-----------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.13.15 | BUY VAN FOR SVC EXPANSION | BUY EXPANSION - CAPITAL BUS | 2 |

Extended Budget Description

This activity line item uses \$119,826 in FY 2020 CARES Act Section 5311 TTP formula funding to purchase van(s) for primarily youth services to utilize for expanded services to allow for socially distanced services. Youth services include Primary Care (Medical, Behavioral Health, Dental, Optometry) Family & Social Services, Tribal Court and any families that are involved in OCS, Head Start and Early Head Start, Youth that accompany elders to lunch and shopping etc. The federal-to-local match rate is 100:0. Useful life of the vans is at least four years or an accumulation of at least 100,000 miles.

Per the CARES Act, the project(s) in this application do not lead to a functional, location, or capacity change and is/are not required to be programmed in the Long-Range Transportation Plan, Statewide Transportation Plan, or Statewide Transportation Improvement Program.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------|--------------------|-------------|-----------|
| 5311 Tribal formula (CARES Act) | 5311-7 | 20509 | \$119,826 |

| | | |
|-----------------------------------|--|------------------|
| Local | | \$0 |
| Local/In-Kind | | \$0 |
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$119,826 |

| Milestone Name | Est. Completion Date | Description |
|-------------------------------------|----------------------|---|
| RFP Issue date | 12/1/2020 | post RFP/ source minimum of three quotes for vehicles that meet the requirements & needs of youth services department |
| Award contract for purchase of vans | 2/28/2021 | Award contract for purchase of vans |
| delivery date | 4/30/2021 | estimated delivery date for vehicles |
| End Date | 6/30/2021 | acceptance of vehicles & close out of contract |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.13.15 | BUY VAN FOR SVC EXPANSION (FY 2020 CARES Act TTP formula 100:0)(00) | 2 | \$119,826.00 | \$119,826.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---|----------|-------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share (FY 2020 CARES Act TTP formula 100:0)(00) | 0 | \$16,801.00 | \$16,801.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|--|----------|------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION (FY 2020 CARES Act TTP formula 100:0)(00) | 0 | \$4,265.00 | \$4,265.00 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Annette McKelvey

Comment Type DOL Review for Information

Date 3/21/2023

Comment The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

Application Review Comments

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 9/23/2021

Comment Returning for edits

Comment By Annette McKelvey

Comment Type Pre-Award Manager Returns Application

Date 1/23/2023

Comment returning to change funding source selection