

## Application

<b>Federal Award Identification Number (FAIN)</b>	WA-2022-046-00
<b>Temporary Application Number</b>	6686-2022-1
<b>Application Name</b>	FY 2020 Section 5311 TTP Formula - Operating Assistance, Preventive Maintenance, and Indirect Costs
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2023
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: SQUAXIN ISLAND TRIBE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6686	Indian Tribe	SQUAXIN ISLAND TRIBE OF THE SQUAXIN ISLAND RESERVATION		606460475

Location Type	Address	City	State	Zip
Headquarters	10 SE SQUAXIN LN	SHELTON	WA	98584
Physical Address	10 SE SQUAXIN LN	SHELTON	WA	98584
Mailing Address	10 S.E. SQUAXIN LANE	SHELTON	WA	98584

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY 2020 Section 5311 TTP Formula - Operating Assistance, Preventive Maintenance, and Indirect Costs**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
WA-2022-046-00	Final Concurrence / Reservation	Grant	1/21/2022	1/21/2022	No

**Application Executive Summary**

This grant includes \$27,803 in FY 2020 Section 5311 TTP Formula funds for operating assistance, preventive maintenance, and project administration by using a federally approval indirect cost rate.

**Purpose:**

The purpose of this award is to provide funding for 1.5 years of operating assistance, preventive maintenance, and project administration by using a federally approval indirect cost rate, for the time-period of 10/1/2021 to 3/31/2023, to maintain existing transit services for Squaxin Island Transit.

**Activities to be performed:**

The funds will be used to cover eligible indirect costs, preventive maintenance, and operating expenses including, but not limited to, driver and other operation worker's salaries and benefits (including fringe benefits), fuel, and items having a useful life of less than one year, to maintain transit operations for Squaxin Island Transit.

**Expected Outcomes:**

The funds will assist in maintaining reliable transit service, providing access to medical services, social services, education, employment, recreation, and cultural activities.

**Intended Beneficiaries:**

Tribal members and members of the general public in and around Kamilche, Shelton, Steamboat Island, McCleary, and Elma in Mason, Grays Harbor and Thurston Counties.

**Subrecipient Activities:**

n/a

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* The Department of Interior (DOI) accepted the Indirect Cost Negotiation Agreement with Squaxin Island Tribe on 11/18/2021, which covers 10/1/2020 through 9/30/2021. The currently approved indirect cost rate is 38.17% and will be applied to this grant. The 2022 indirect cost rate proposal

has been submitted for DOI review and negotiation. Once the 2022 rate, and all future rates are approved, they will then be applied to this grant.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Mark	Stojak	Transportation Program Specialist	mark.stojak@dot.gov	(206) 220-7501
Scot	Rastelli	Transportation Program Specialist	Scot.Rastelli@dot.gov	(206) 220-7965
Monica	Nerney	Program Services Manager	mnerney@squaxin.us	(360) 426-9781 3966

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$27,803
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$27,803</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2022-046-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$27,803.00	\$0.00	\$27,803.00	0
WA-2022-046-01-00	11.79.00 Indirect Costs (FY 2020 Section 5311 TTP Formula 100:00)(00)	\$10,612.00	\$0.00	\$10,612.00	0
WA-2022-046-01-00	11.7A.00 Preventive Maintenance (FY 2020 Section 5311 TTP Formula	\$2,500.00	\$0.00	\$2,500.00	0

WA-2022-046-01-00	30.09.01	100:00)(00) Operating Assistance (FY 2020 Section 5311 TTP Formula 100:00)(00)	\$14,691.00	\$0.00	\$14,691.00	0
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## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: FY 2020 Section 5311 TTP Formula - Operating Assistance, Preventive Maintenance, and Indirect Costs

Project Number	Temporary Project Number	Date Created	Start Date	End Date
WA-2022-046-01-00	6686-2022-1-P1	1/21/2022	10/1/2021	3/31/2023

#### Project Description

This project includes \$27,803 in FY 2020 Section 5311 TTP Formula funds for operating assistance, preventive maintenance, and project administration by using a federally approved indirect cost rate.

#### Project Benefits

The funds will assist in maintaining reliable transit service, providing access to medical services, social services, education, employment, recreation, and cultural activities.

#### Additional Information

*None provided.*

#### Location Description

In and around Kamilche, Shelton, Steamboat Island, McCleary, and Elma in Mason, Grays Harbor and Thurston Counties.

## Project Location (Urbanized Areas)

UZA Code	Area Name
530000	Washington

## Congressional District Information

State	District	Representative
Washington	6	Derek Kilmer

## Program Plan Information

#### STIP/TIP

Date: Not Provided

Description: There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement for Section 5311 Tribal Transit Program (TTP) formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

**UPWP**

Date: Not Provided  
 Description: n/a

**Long Range Plan**

Date: Not Provided  
 Description: n/a

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$27,803
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$27,803</b>

**Project Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
WA-2022-046-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$27,803.00	\$0.00	\$27,803.00	0
WA-2022-046-01-00	11.79.00 Indirect Costs (FY 2020 Section 5311 TTP Formula 100:00)(00)	\$10,612.00	\$0.00	\$10,612.00	0
WA-2022-046-01-00	11.7A.00 Preventive Maintenance (FY 2020 Section 5311 TTP Formula 100:00)(00)	\$2,500.00	\$0.00	\$2,500.00	0
WA-2022-046-01-00	30.09.01 Operating Assistance	\$14,691.00	\$0.00	\$14,691.00	0

(FY 2020  
Section 5311  
TTP Formula  
100:00)(00)

## Project Budget Activity Line Items

**Budget Activity Line Item: 11.7A.00 - Preventive Maintenance (FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

### Extended Budget Description

This activity includes \$2,500 in FY 2020 Section 5311 TTP Formula funds, for preventive maintenance, for the Squaxin Island Transit fleet, for the time-period of 10/1/2021 to 3/31/2023.

### Match Ratio

The federal to non-federal match ratio is 100:00.

### STIP

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement for Section 5311 Tribal Transit Program (TTP) formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$2,500
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$2,500</b>

Milestone Name	Est. Completion Date	Description
Preventive Maintenance Start Date	10/1/2021	
Preventive Maintenance End Date	3/31/2023	

**Budget Activity Line Item: 11.79.00 - Indirect Costs (FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

**Extended Budget Description**

This activity includes \$10,612 in FY 2020 Section 5311 TTP Formula funds for project administration, using a federally approved indirect cost rate for the time-period of 10/1/2021 to 3/31/2023.

**Match Ratio**

The federal to non-federal match ratio is 100:00.

**STIP**

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement for Section 5311 Tribal Transit Program (TTP) formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$10,612
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$10,612</b>

Milestone Name	Est. Completion Date	Description
Indirect Costs Start Date	10/1/2021	
Indirect Costs End Date	3/31/2023	

**Budget Activity Line Item: 30.09.01 - Operating Assistance (FY 2020 Section 5311 TTP Formula 100:00)(00)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

This activity includes \$14,691 in FY 2020 Section 5311 TTP Formula funds, for operating assistance, for

Squaxin Island Transit, for the time-period of 10/1/2021 to 3/31/2023.

**Match Ratio**

The federal to non-federal match ratio is 100:00.

**STIP**

There is no Transportation Improvement Program (TIP) or Statewide Transportation Improvement Program (STIP) requirement for Section 5311 Tribal Transit Program (TTP) formula and discretionary funds (FTA C 9040.1G, Page X-3, #10).

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$14,691
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,691</b>

Milestone Name	Est. Completion Date	Description
Operating Assistance Start Date	10/1/2021	
Operating Assistance End Date	3/31/2023	

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	Operating Assistance (FY 2020 Section 5311 TTP Formula 100:00)(00)	0	\$14,691.00	\$14,691.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	Indirect Costs (FY 2020 Section 5311 TTP Formula 100:00)(00)	0	\$10,612.00	\$10,612.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	Preventive Maintenance (FY 2020 Section 5311 TTP Formula 100:00)(00)	0	\$2,500.00	\$2,500.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Mark Stojak

**Comment Type** DOL Review for Information

**Date** 8/15/2022

**Comment** The Federal Transit Administration (FTA) requests that the U.S. Department of Labor undertake all of the steps necessary to perform its certification for this grant application. FTA requests that DOL complete its referral and review within 30 days. Please advise us of any 40 U.S.C. Section 5333(b) terms and conditions to be included in the grant.

### Application Review Comments

**Comment By** Mark Stojak

**Comment Type** Pre-Award Manager Returns Application

**Date** 7/29/2022

**Comment** Returning to recipient for edits and revisions.