

## Application

<b>Federal Award Identification Number (FAIN)</b>	SD-2022-016-00
<b>Temporary Application Number</b>	6881-2021-2
<b>Application Name</b>	Rosebud Sioux Tribe CARES Section 5311 Statewide Suballocation and 5311 TTP - Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	12/30/2024
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: ROSEBUD SIOUX TRIBE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6881	Indian Tribe	ROSEBUD SIOUX TRIBE		105151518

Location Type	Address	City	State	Zip
Headquarters	11 LEGION AVE	ROSEBUD	SD	57570
Physical Address	11 LEGION AVE	ROSEBUD	SD	57570
Mailing Address	PO BOX 430	ROSEBUD	SD	57570

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: Rosebud Sioux Tribe CARES Section 5311 Statewide**

## Suballocation and 5311 TTP - Operating

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
SD-2022-016-00	Final Concurrence / Reservation	Grant	4/26/2021	4/26/2021	No

### Application Executive Summary

This application requests FY20 CARES Section 5311 Tribal Transit Program (TTP) funds apportioned to the Rosebud Sioux Tribe for the amount of \$567,566 (full apportionment) and FY20 CARES Section 5311 funds apportioned to the State of South Dakota and suballocated to the Rosebud Sioux Tribe in the amount of \$628,548, representing 100% federal share of the total eligible amount. This application utilizes CARES funding to prevent, prepare for and respond to coronavirus.

Purpose: Rosebud Sioux Tribe will utilize CARES funds to fund operating expenses.

Activities to be Performed: This application includes one project for operating assistance.

Expected Outcomes: Continued provision of rural transit services to the residents of the Rosebud Sioux Tribe and assist the tribal transit program to recover from the impacts of the COVID-19 pandemic.

Intended Beneficiaries: Transit riders in the Rosebud Sioux Tribe Reservation.

Subrecipient Activities: N/A

The Rosebud Sioux Tribe agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

The Rosebud Sioux Tribe certifies that it, and its subrecipients and contractors that are providers of public transportation, have not furloughed any employees since March 27, 2020 (the enactment date of the CARES Act).

This grant does not use pre-award authority.

### Frequency of Milestone Progress Reports (MPR)

Annual

### Frequency of Federal Financial Reports (FFR)

Annual

### Does this application include funds for research and/or development activities?

This award does not include research and development activities.

### Pre-Award Authority

This award is not using Pre-Award Authority.

### Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

### Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

### Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* TBD

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	(303) 362-2392
John Charles	Arcoren	Director	johncharles.arcoren@rst-nsn.gov	(605) 747-2718

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$567,566
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$628,548
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$1,196,114</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$567,566.00	\$0.00	\$567,566.00	4
SD-2022-016-01-00	11.79.00 PROJECT ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1
SD-2022-016-01-00	11.7A.00 PREVENTIVE MAINTENANCE -	\$40,000.00	\$0.00	\$40,000.00	1

			Vehicles				
SD-2022-016-01-00		11.7A.00	PREVENTIVE MAINTENANCE - Facility	\$12,000.00	\$0.00	\$12,000.00	1
SD-2022-016-01-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$315,566.00	\$0.00	\$315,566.00	1
SD-2022-016-02-00	600-00 (600-A2)		OTHER PROGRAM COSTS	\$628,548.00	\$0.00	\$628,548.00	1
SD-2022-016-02-00		30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$628,548.00	\$0.00	\$628,548.00	1

### Discretionary Allocations

This application does not contain discretionary allocations.

### Part 3: Project Information

#### Project Title: 5311 CARES TTP Funds

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-016-01-00	6881-2021-2-P1	4/26/2021	4/1/2023	9/30/2024

#### Project Description

This project will use FY20 5311 CARES Tribal Transit Program funds for the following activities: Admin Expenses, Preventive Maintenance for Vehicles and Facilities and Operating Expenses. These activities will all utilize 100% Federal Share.

Activities qualify for CE C-list Type 7, 8 and 4 as noted in the Environmental Determination section.

#### Project Benefits

These funds are essential for the Tribe to continue to operate and maintain transit service throughout the Reservation.

#### Additional Information

*None provided.*

#### Location Description

Rosebud Sioux Tribe Reservation.

### Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

### Congressional District Information

State	District	Representative
South Dakota	1	Dusty Johnson

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$567,566
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$567,566</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$567,566.00	\$0.00	\$567,566.00	4
SD-2022-016-01-00	11.79.00 PROJECT ADMINISTRATION	\$200,000.00	\$0.00	\$200,000.00	1
SD-2022-016-01-00	11.7A.00 PREVENTIVE MAINTENANCE - Vehicles	\$40,000.00	\$0.00	\$40,000.00	1

SD-2022-016-01-00	11.7A.00	PREVENTIVE MAINTENANCE - Facility	\$12,000.00	\$0.00	\$12,000.00	1
SD-2022-016-01-00	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$315,566.00	\$0.00	\$315,566.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

#### Extended Budget Description

Operating expenses include, but are not limited to the following: fuel, oil, antifreeze, drivers' salaries and fringe benefits, dispatcher salary and fringe benefits, mechanic/maintenance staff salaries and fringe benefits, and licenses.

Funds are for operating expenses for the time period of 2/1/2024 - 9/30/2024. The federal share is 100%.

#### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$315,566
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$315,566</b>

Milestone Name	Est. Completion Date	Description
Start Date	2/1/2024	Begin incurring expenses
End Date	9/30/2024	End incurring expenses

### Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item	Line Item Name	Activity	Quantity
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#				
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Funds will be used for general administrative expenses, including, but not limited to the following: salaries and fringe benefits of director, secretary, admin and bookkeeping staff; marketing/advertising expenses; insurance; office supplies; facilities/equipment rental; standard overhead rates; costs of administering drug and alcohol testing; utilities; and consultant support for admin type activities including but not limited to NTD reporting.

This activity utilizes 100% federal funding.

Admin expenses are for the time period of 4/1/2023 - 9/30/2024.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$200,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$200,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2023	Begin incurring expenses
End Date	9/30/2024	End incurring expenses

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Vehicles**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Funds for preventive maintenance of vehicles. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit program vehicles.

This activity utilizes the 100% Federal Funding.

This is for the time period of 4/1/2023 - 9/30/2024.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$40,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$40,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2023	Begin incurring expenses
End Date	9/30/2024	End Incurring expenses

**Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Facility**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

**Extended Budget Description**

Funds for preventive maintenance of the transit facility. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit facility.

This activity utilizes 100% Federal Share.

This is for the time period of 4/1/2023 - 9/30/2024.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 Tribal formula (CARES Act)	5311-7	20509	\$12,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0



Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$12,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	4/1/2023	Begin incurring expenses
End Date	9/30/2024	End incurring expenses

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$200,000.00	\$200,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Vehicles	1	\$40,000.00	\$40,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE - Facility	1	\$12,000.00	\$12,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$315,566.00	\$315,566.00

<b>Project Title: State Sub-Allocation</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-016-02-00	6881-2021-2-P2	4/8/2022	8/1/2022	1/31/2024

**Project Description**

FY20 State apportioned CARES Act funds in the amount of \$628,548 will be used for funding the transit operations.

**Project Benefits**

Continuation of transit services throughout the Rosebud Sioux Tribe reservation.

**Additional Information**

*None provided.*

**Location Description**

Rosebud Sioux Tribe reservation in South Central South Dakota.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$628,548
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$628,548</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-016-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$628,548.00	\$0.00	\$628,548.00	1
SD-2022-016-02-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$628,548.00	\$0.00	\$628,548.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

### Extended Budget Description

Operating expenses include, but are not limited to the following: fuel, oil, antifreeze, drivers' salaries and fringe benefits, dispatcher salary and fringe benefits, mechanic/maintenance staff salaries and fringe benefits, and licenses.

Funds are for operating expenses for the time period of 8/1/2022 - 1/31/2024. The federal share is 100%.

### Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$628,548
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$628,548</b>

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2022	Begin Operating Period
End Date	1/31/2024	End Operating Period

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	7/27/2022

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By Emma Belmont**

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Comment Type DOL Review for Information

Date 8/10/2022

Grant uses CARES Act funds for Operating expenses. Grantee contact is:

Comment John Charles Arcoren, Director  
Rosebud Sioux Tribe  
Transit Services  
PO Box 430  
Rosebud, South Dakota 57570  
Phone: (605)747-2718  
Fax: (605)747-2471

**Application Review Comments****Comment By Emma Belmont**

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Comment Type Pre-Award Manager Returns Application

Date 7/14/2022

Comment

**Application Review Comments****Comment By Robyn Kullas**

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Comment Type Environmental Findings

Date 7/27/2022

Project Title State Sub-Allocation

Project Number SD-2022-016-02-00

Comment Project meets requirements of CE list C type 4; no additional documentation is required for NEPA approval