

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	SD-2022-013-00
<b>Temporary Application Number</b>	7331-2022-1
<b>Application Name</b>	FY20 Section 5311 Tribal Transit Competitive - Operating
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A	
<b>Original Period of Performance End Date</b>	3/30/2023	
<b>Current Period of Performance End Date</b>	N/A	Revision #: N/A

### Part 1: Recipient Information

**Name: SISSETON-WAHPETON OYATE**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7331	Indian Tribe	SISSETON-WAHPETON OYATE		050750470

Location Type	Address	City	State	Zip
Headquarters	12554 BIA HIGHWAY 711	AGENCY VILLAGE	SD	57262
Physical Address	12554 BIA HWY 711	AGENCY VILLAGE	SD	57262
Mailing Address	12554 BIA HWY 711	AGENCY VILLAGE	SD	57262

### Union Information

There are no union contacts for this application

### Part 2: Application Information

**Title: FY20 Section 5311 Tribal Transit Competitive - Operating**

<b>FAIN</b>	<b>Application Status</b>	<b>Application Type</b>	<b>Date Created</b>	<b>Last Updated Date</b>	<b>From TEAM?</b>
SD-2022-013-00	Final Concurrence / Reservation	Grant	12/1/2021	12/1/2021	No

**Application Executive Summary**

This application is to request FY20 Section 5311 Tribal Transit Competitive funds apportioned to the Sisseton Wahpeton Oyate Tribe in the amount of \$328,761 (full apportionment). The discretionary ID is D2021-TRTR-038.

Purpose: The Sisseton Wahpeton Oyate Tribe will utilize this award to maintain existing transit services on the reservation.

Activities to be performed: The grant scope of work is for Operating Assistance, per the competitive application, attached in Application Documents.

Expected Outcomes: Continued provision of rural transit services to the residents of the Sisseton Wahpeton Oyate Tribe.

Intended Beneficiaries: Transit users of the Sisseton Wahpeton Oyate Tribe.

Subrecipient Activities: None

Pre-award Authority is selected starting 1/1/2022 through approximately 7/30/2022. The estimated amount of funds expended are \$192,775.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

No, this Grant does not use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

<b>First Name</b>	<b>Last Name</b>	<b>Title</b>	<b>E-mail Address</b>	<b>Phone</b>
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Emma	Belmont	Transportation Program Specialist	emma.belmont@dot.gov	(303) 362-2392
Cliff	Eberhardt	SWO Dot Director	clifforde@swo-nsn.gov	(605) 698-8355

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive	5311-1C	20509	\$328,761
Local			\$36,529
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$365,290</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2022-013-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$328,761.00	\$36,529.00	\$365,290.00	1
SD-2022-013-01-00	30.09.01 90% FEDERAL SHARE	\$328,761.00	\$36,529.00	\$365,290.00	1

## Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN	Congressional Release Date
D2021-TRTR-038	SWO Transit Program Continuity Project	\$328,761	SD-2022-013-00	

## Part 3: Project Information

### Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2022-013-01-00	7331-2022-1-P1	12/1/2021	1/1/2022	12/31/2022

#### Project Description

FY20 Section 5311 TTP Competitive funds in the amount of \$328,761 will be used for funding the transit operations, as provided through a contracted service provider Community Transit and fund Tribal

administration of the program.

**Project Benefits**

Maintain transit services throughout the tribe and surrounding communities.

**Additional Information**

*None provided.*

**Location Description**

Lake Traverse Reservation / Northeast corner of South Dakota

**Project Location (Urbanized Areas)**

UZA Code	Area Name
460000	South Dakota

**Congressional District Information**

State	District	Representative
South Dakota	1	Dusty Johnson

**Program Plan Information**

**STIP/TIP**

Date: N/A

Description: N/A

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

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Adjustment		\$0
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## Project Budget

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SD-2022-013-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$328,761.00	\$36,529.00	\$365,290.00	1
SD-2022-013-01-00	90% 30.09.01 FEDERAL SHARE	\$328,761.00	\$36,529.00	\$365,290.00	1

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - 90% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

### Extended Budget Description

Operating expenses will initiate on 1/1/2022 through approximately 12/31/2022, or when funds are fully expended. The funds are for staff salaries and fringe benefits, fuel, oil, and licenses, less revenues. The transit services are provided by contractor, Community Transit, via a 5-year contract that was signed in 2017 but the 5-year clock did not start until vehicles were delivered and services started in 2018.

Per discretionary grant, the local matching portion is 10% and will be provided through Tribal Transportation Program (FHWA) funds. Federal share is 90%.

Early in 2022, Community Transit tried to end the contracted timeframe of service early, but after negotiations with the tribe they agreed to finish out the calendar year, which is consistent with this grant's operating timeframe.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

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State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$365,290</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2022	Begin incurring expenses for this grant
End Date	12/31/2022	End incurring expenses for this grant

## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	12/3/2021

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

Comment By Emma Belmont

Comment Type DOL Review for Certification

Date 8/29/2022

Lapsing funds for operating expenses only. Grantee contact is:

Comment Cliff Eberhardt  
 Director SWO DOT  
 Office Phone 605-698-8355  
 Cell Phone 605-268-1776

CliffordE@swo-nsn.gov

## Application Review Comments

**Comment By** Emma Belmont

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Comment Type Pre-Award Manager Returns Application

Date 2/4/2022

Comment  
Cliff,  
We need to change around the ALI's in this grant per the Planning Director's recommendation. I have to send it back to you to make the change. I'll reach out via email and if needed I can help you resubmit.  
Thanks,  
Emma