

Application

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|---|---|
| Federal Award Identification Number (FAIN) | SD-2020-007-01 |
| Temporary Application Number | 1160-2020-6 |
| Application Name | FFY20 Section 5311 Project Admin, ICB, Operating, RTAP & State Admin. |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|-------------------------|
| Period of Performance Start Date | 6/1/2020 |
| Original Period of Performance End Date | 6/30/2021 |
| Current Period of Performance End Date | 9/30/2022 Revision #: 2 |

Part 1: Recipient Information

Name: Transportation, South Dakota Department Of

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|---|--------------|-----------|
| 1160 | State Agency | South Dakota Department of Transportation | LWAMCP81LP14 | 809588098 |

| Location Type | Address | City | State | Zip |
|------------------|--------------------|--------|-------|-----------|
| Headquarters | 500 E CAPITOL AVE | PIERRE | SD | 575015007 |
| Physical Address | 700 E BROADWAY AVE | PIERRE | SD | 57501 |
| Mailing Address | 700 E BROADWAY AVE | PIERRE | SD | 57501 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY20 Section 5311 Project Admin, ICB, Operating, RTAP & State Admin.

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|-------------------------|---------------------|--------------------------|-------------------|
| SD-2020-007-01 | Final Concurrence / Reservation | Grant | 6/29/2022 | 6/29/2022 | No |

Application Executive Summary

Amendment #1 (July 2022)

This amendment deobligates a total of \$460,134 in FFY 2020 Sec 5311 formula funds. These will be reobligated in new pending application #1160-2022-10. Remaining activities in this award will be completed no later than 9/30/2022 and this award will be closed.

The total is composed of the two following amounts:

- 1) This amendment de-obligates \$10,976 from Intercity Bus Project Administration. The activities in this project are complete and finished under budget. The funds to be deobligated from this project are FY20 funds and are lapsing.. These funds are available to recover and reapply for in a new award until 9/30/2022. SDDOT intends to reapply for the FY20 funds in a new award before 9/30/2022. . In total, this application uses 1.2% of the FY20 apportionment for ICB projects. See Governors letter approving less than 15% to be used for ICB needs across the state.
- 2) This amendment de-obligates \$449,158 from Operating Assistance. The activity is complete, and this amendment will bring costs closer to actuals. Due to COVID relief funds operating assistance came in under budget. The funds to be deobligated from this project are FY20 funds and are lapsing. These funds are available to recover and reapply for in a new award until 9/30/2022. SDDOT intends to reapply for the FY20 funds in a new award before 9/30/2022.

Purpose of the Award: The SDDOT is deobligating a total of \$460,134 in FY20 5311 funds. These funds are from projects that came in under budget and are complete. FY20 funds that have not yet lapsed will be reprogrammed for eligible 5311 projects.

Activities to be performed: This amendment will deobligate \$460,134, with the following changes being made:

Project 1. This amendment will deobligate \$10,976 to reapply for in a new award.

Project 4: This amendment will deobligate \$449,158 to reflect actual operating costs. These funds will be used in a new award.

Expected Outcomes: This amendment will move funds into the correct categories to show actual costs. The projects remaining will allow agencies to acquire planning activities to benefit their agency and the State as a whole.

Intended beneficiaries: The patrons and employees of the following transit agencies throughout the state of South Dakota will benefit from this amendment: Aberdeen Rideline, Brookings Area Transit Authority, City of Brandon, Community Transit of Watertown/Sisseton, East Dakota Transit, Palace Transit, People's Transit, River Cities Public Transit, Rural Office of Community Service, Standing Rock, Vermillion Public Transit, West River (Prairie Hills Transit) and Yankton Transit.

Subrecipient activities: This grant will fund administrative and operating costs for the following subrecipients: Aberdeen Rideline, Brookings Area Transit Authority, Community Transit of Watertown/ Sisseton, Palace Transit, People's Transit, River Cities Public Transit, Rural Office of Community Service, Standing Rock, Vermillion Public Transit, West River (Prairie Hills Transit) and Yankton Transit.

Other Information: Required documentation including the Program of Projects (POP) and STIP documentation are attached. Local funds sources for each subrecipient is documented in the POP.

SDDOT uses the sliding scale percentages for Project Administration at 82.82% federal match and Operating at 51.76% federal match per SMP and Circular 9040.1G . RTAP and State Administration Funds are 100% Federal.

Budget Revision #3 (March 2022)

Significantly reduced ICB projects, moved funding to non-ICB provider project admin and operating expenses. Extended period of performance

Budget Revision #2 (Nov 2021)

Moved funds from Operating to Project Admin. No ICB changes in this budget revision. Extending period of performance end date to allow time for draws to be completed.

Budget Revision #1 (Sept 2021)

Update period of performance and extend subrecipient expenses to 12/31/2021. No funding was moved in this budget revision.

Original (June 2020)

SDDOT is coming in for FFY2020 5311 Apportionment of \$7,361,501 (federal). The remaining FFY2020 amount of \$248,316 (federal) will be for Rosebud Sioux Tribe to come in directly to FTA. See the attached letter in application documents. The award will consist of the following breakdown:

\$3,650,171 Operating Assistance for rural providers
\$121,188 RTAP (training)
\$2,900,141 Project Administration for rural providers
\$545,402 Intercity Bus (7% of 15% minimum for Capital, Operating and Project Administration)
\$144,599 State/Program Administration for SDDOT (2% of maximum allowable 10%)

Total FTA funds in the amount of \$7,361,501

\$7,488,629 (total FFY20 apportionment)
- \$248,316 5311 allocation to Rosebud Sioux Tribe
+ \$121,188 FFY20 RTAP

\$7,361,501 total federal amount in this grant

SDDOT will award to 5311 eligible subrecipients for projects throughout the state.

For specific details of each selected subrecipient refer to the attached Program of Projects (POP). Local funds sources for each subrecipient is documented in the POP.

SDDOT uses the sliding scale percentages for Project Administration at 82.82% federal match and Operating at 51.76% federal match.

SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without using 15% minimum of the 5311 funds. In total SDDOT meets ICB needs with about 7% of the apportionment.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|------------|-----------|-----------------------------------|-------------------------|----------------|
| Talbot | Hauffe | Transportation Program Specialist | talbot.hauffe@dot.gov | (303) 362-2402 |
| Monte | Meier | Transportation Specialist II | monte.meier@state.sd.us | (605) 773-4169 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|---------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$6,901,367 |
| Local | | | \$3,414,795 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$10,316,162 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|-------------|----------------|-----------------------|----------|
| SD-2020-007-01-01 | 634-00 INTERCITY BUS TRANSPORTATION | \$90,293.00 | \$18,730.00 | \$109,023.00 | 2 |

| | | | | | | | |
|-------------------|-----------------|----------|---|----------------|----------------|----------------|----|
| | (634-A1) | | | | | | |
| SD-2020-007-01-01 | | 11.13.08 | BUY INTERCITY BUS-EXPANSION | \$0.00 | \$0.00 | \$0.00 | 0 |
| SD-2020-007-01-01 | | 11.79.00 | PROJECT ADMINISTRATION | \$90,293.00 | \$18,730.00 | \$109,023.00 | 1 |
| SD-2020-007-01-01 | | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$0.00 | \$0.00 | \$0.00 | 1 |
| SD-2020-007-02-01 | 610-00 (610-A3) | | STATE / PROGRAMS ADMINISTRATION | \$144,599.00 | \$0.00 | \$144,599.00 | 1 |
| SD-2020-007-02-01 | | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | \$144,599.00 | \$0.00 | \$144,599.00 | 1 |
| SD-2020-007-02-01 | 620-00 (620-A4) | | PROJECT ADMINISTRATION | \$3,732,082.00 | \$774,175.00 | \$4,506,257.00 | 15 |
| SD-2020-007-02-01 | | 11.79.00 | PROJECT ADMINISTRATION | \$3,732,082.00 | \$774,175.00 | \$4,506,257.00 | 15 |
| SD-2020-007-03-01 | 635-00 (635-A2) | | RURAL TRANSIT ASSISTANCE PROGRAM | \$121,188.00 | \$0.00 | \$121,188.00 | 1 |
| SD-2020-007-03-01 | | 43.50.01 | TRAINING | \$121,188.00 | \$0.00 | \$121,188.00 | 1 |
| SD-2020-007-04-01 | 600-00 (600-A5) | | OTHER PROGRAM COSTS | \$2,813,205.00 | \$2,621,890.00 | \$5,435,095.00 | 1 |
| SD-2020-007-04-01 | | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$2,813,205.00 | \$2,621,890.00 | \$5,435,095.00 | 1 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Intercity Bus Assistance

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| SD-2020-007-01-01 | 1160-2020-6-P1 | 6/29/2022 | 8/17/2016 | 3/31/2021 |

Project Description

Amendment #1 (July 2022):

Further reduced Project Admin expenses for ICB projects by another \$10,976 (federal). In total this application uses a total of \$90,293 in federal funding, at approximately 1.2% of the FY2020 apportionment, for ICB projects and does not meet the 15% ICB minimum requirements. Please see the Governor's letter approving of less than 15% for ICB across the state.

Budget Revision #3 (March 2022): Removed all capital expenses to replace ICB Bus – zero'd out this ALI. Removed all expenses for ICB operating – zero'd out this ALI. Reduced ICB Project Admin expenses. In total removed \$444,133 (federal) from ICB projects. Moved funding to non-ICB project admin expenses for other non-ICB providers in this award.

Budget Revision #2 (Nov 2021): no changes to ICB projects.

Budget Revision #1 (Sept 2021): extend period of performance only.

Original

SDDOT is coming in for FFY2020 5311 funds in the amount of \$545,402, this is 7% of the minimum amount of 15%. SDDOT has a letter from the Governor certifying all of their Intercity Bus needs have been met. This letter is attached under application documents.

This project will provide administrative and operating funds for 1 ICB provider. This project also includes the purchase of one 35 foot bus for Jefferson lines.

Project Benefits

Funds will assist providers to continue to provide public transportation to the rural communities served.

Additional Information

None provided.

Location Description

South Dakota

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| State | District | Representative |
|--------------|----------|----------------|
| South Dakota | 1 | Dusty Johnson |

Program Plan Information

STIP/TIP

Date: 9/15/2019

Description: STIP pages 350 - 352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$90,293 |
| Local | | | \$18,730 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$109,023 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|-------------|----------------|-----------------------|----------|
| SD-2020-007-01-01 | 634-00 (634-A1) INTERCITY BUS TRANSPORTATION | \$90,293.00 | \$18,730.00 | \$109,023.00 | 2 |
| SD-2020-007-01-01 | 11.13.08 BUY INTERCITY BUS-EXPANSION | \$0.00 | \$0.00 | \$0.00 | 0 |
| SD-2020-007-01-01 | 11.79.00 PROJECT ADMINISTRATION | \$90,293.00 | \$18,730.00 | \$109,023.00 | 1 |
| SD-2020-007-01-01 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$0.00 | \$0.00 | \$0.00 | 1 |

Project Budget Activity Line Items**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|-------------------|-------------|----------------|----------|----------|
|-------------------|-------------|----------------|----------|----------|

INTERCITY BUS TRANSPORTATION (634-00) 11.79.00 PROJECT ADMINISTRATION OTHER CAPITAL ITEMS (BUS) 1

Extended Budget Description

Amendment #1 (July 2022)

Reducing the funding by \$10,976 federal, as activities in this activity was completed and under budget. The deobligated funds will be applied for in a new grant.

Budget Revision #3 (May 2022)

Reducing the funding by \$98,342 federal. ICB costs for the subrecipient will be paid for out of CARES and ARP funding.

Budget Revision #2 (Nov 2021) – no changes.

Budget Revision #1 (Sept 2021) – extend period of performance.

Original (June 2020)

Project Administration for intercity services, such as salaries, benefits, utilities, marketing, insurance. Local match is generated from revenue sources above and beyond the 5311 funds. The list of local match sources are included in the attached POP for each subrecipient. This ALI will cover administration services starting October 1, 2019 until December 31, 2020. SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without 15% minimum of the 5311 funds. 82.82% federal match rate.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$90,293 |
| Local | | | \$18,730 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$109,023 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2019 | Intercity eligible activity start date. |
| End Date | 12/31/2020 | Intercity final draw down. |

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|--|-------------|--|-------------------------|----------|
| INTERCITY BUS TRANSPORTATION (634-00) | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | OPERATING ASSISTANCE | 1 |

Extended Budget Description

Amendment #1 (July 2022)
No Change.

Budget Revision #3: (March 2022)

Removing funding. ICB costs for subrecipient will be paid through CARES and ARP grants.

Budget Revision #2 (Nov 2021): No changes.

Budget Revision #1 (Sept 2021): Extend period of performance only.

Original

Intercity operation such as fuel, driver wages & benefits, maintenance, garage utilities. In-kind match from revenue sources exceeding the amount of 5311 award. The list of local match sources are included in the attached POP for each subrecipient. SDDOT has on file the Governor letter stating that the intercity bus services is meeting the needs without 15% minimum of the 5311 funds. The timeframe to use the operating funds is from 10/1/2019 until 12/31/2020. Federal Match 51.76%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$0 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$0 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|---|
| Start Date | 10/1/2019 | Project operating eligible activities start date. |
| End Date | 12/31/2020 | Project operating final draw down. |

Budget Activity Line Item: 11.13.08 - BUY INTERCITY BUS-EXPANSION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|---------------------------------------|-------------|-----------------------------|-----------------------------|----------|
| INTERCITY BUS TRANSPORTATION (634-00) | 11.13.08 | BUY INTERCITY BUS-EXPANSION | BUY EXPANSION - CAPITAL BUS | 0 |

Extended Budget Description

Amendment #1 (July 2022)
No Change.

Budget Revision #3 (March 2022)
Removing all funding, zero-ing out this ALI. Vehicle was paid for under SD-2020-009.

Budget Revision #2 (Nov 2021) No changes.

Budget Revision #1 (Sept 2021): Extend period of performance only.

Original

ICB Intercity Jefferson over the road bus that will be ADA accessible. Useful life 12 years or 500,000 miles. This ALI is applying a federal match of 80%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$0 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$0 |

| Milestone Name | Est. Completion Date | Description |
|--------------------------|----------------------|--------------------------------|
| RFP Out for bid | 8/17/2016 | |
| Award Date | 10/16/2016 | |
| Vehicle Delivery | 12/31/2020 | One vehicle under this grant. |
| Final Delivery | 12/31/2020 | Only one vehicle in this grant |
| Contract Completion Date | 3/31/2021 | Final Draw down |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 7/27/2022 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|---------------------------------------|------------------|------------------------|----------|-------------|---------------------|
| INTERCITY BUS TRANSPORTATION (634-00) | 11.79.00 | PROJECT ADMINISTRATION | 1 | \$90,293.00 | \$109,023.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 7/27/2022 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|---------------------------------------|------------------|---|----------|------------|---------------------|
| INTERCITY BUS TRANSPORTATION (634-00) | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | 1 | \$0.00 | \$0.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 7/27/2022 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|---------------------------------------|------------------|-----------------------------|----------|------------|---------------------|
| INTERCITY BUS TRANSPORTATION (634-00) | 11.13.08 | BUY INTERCITY BUS-EXPANSION | 0 | \$0.00 | \$0.00 |

Project Title: Project & State Administration

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| SD-2020-007-02-01 | 1160-2020-6-P2 | 6/29/2022 | 10/1/2019 | 12/31/2020 |

Project Description

Amendment #1 (July 2022): No changes to project admin or state admin activities.

Budget Revision #3 (Mar 2022): Increase project admin expenses by \$227,943, moved funding from ICB project ALIs. Increasing funds to this ALI to more accurately reflect spending. No changes to State Admin ALI.

Budget Revision #2 (Nov 2021): Increase project admin expenses by \$604,000 (federal), moved funding from operating ALI. No changes to State Admin ALI.

Budget Revision #1 (Sept 2021): extend period of performance only

Original (June 2020):

SDDOT is coming in for FFY2020 5311 federal funds in the amount of \$3,044,740 for Project Administration (\$2,900,141) and State Administration (\$144,599).

Project Benefits

Project Administration funds will assist providers to continue to provide public transportation to the rural communities served. State Administration funds for SDDOT will provide ongoing training, technical support and monitoring of the 5311 Program.

Additional Information

None provided.

Location Description
 South Dakota

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| State | District | Representative |
|--------------|----------|----------------|
| South Dakota | 1 | Dusty Johnson |

Program Plan Information

STIP/TIP

Date: 9/15/2019
 Description: pages 350-352

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$3,876,681 |
| Local | | | \$774,175 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$4,650,856 |

Project Budget

| Project | Budget Item | FTA Amount | Non-FTA | Total Eligible | Quantity |
|---------|-------------|------------|---------|----------------|----------|
|---------|-------------|------------|---------|----------------|----------|

| Number | | | Amount | Amount | | |
|-------------------|--------------------|---------------------------------------|----------------|--------------|----------------|----|
| SD-2020-007-02-01 | 610-00 (610-A3) | STATE / PROGRAMS ADMINISTRATION | \$144,599.00 | \$0.00 | \$144,599.00 | 1 |
| SD-2020-007-02-01 | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | \$144,599.00 | \$0.00 | \$144,599.00 | 1 |
| SD-2020-007-02-01 | 620-00 (620-A4) | PROJECT ADMINISTRATION | \$3,732,082.00 | \$774,175.00 | \$4,506,257.00 | 15 |
| SD-2020-007-02-01 | 11.79.00 | PROJECT ADMINISTRATION | \$3,732,082.00 | \$774,175.00 | \$4,506,257.00 | 15 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|---|-------------|------------------------------------|-----------------------------------|----------|
| STATE / PROGRAMS ADMINISTRATION (610-00) | 11.80.00 | STATE OR PROGRAM ADMINISTRATION | STATE / PROGRAM ADMINISTRATION | 1 |

Extended Budget Description

Amendment #1 - (July 2022) No Changes

Budget Revision #3 (Mar 2022): no changes.

Budget Revision #2 (Nov 2021): no changes.

Budget Revision #1 (Sept 2021): extend period of performance only

Original (June 2020):

State administration activities for SDDOT to administer the 5311 program. No local match required. The 10% State Administration that is allowable is \$748,862, this application is requesting 2% for State Administration at \$144,599. This ALI will be from a period of 10/1/2019 until 12/31/2020.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------|--------------------|-------------|-----------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$144,599 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |

| | |
|-----------------------------------|------------------|
| State/In-Kind | \$0 |
| Other Federal | \$0 |
| Transportation Development Credit | \$0 |
| Adjustment | \$0 |
| Total Eligible Cost | \$144,599 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------------------------|
| Start Date | 10/1/2019 | Eligible activity start date. |
| End Date | 12/31/2020 | Final draw down. |

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|---------------------------------|-------------|------------------------|------------------------|----------|
| PROJECT ADMINISTRATION (620-00) | 11.79.00 | PROJECT ADMINISTRATION | PROJECT ADMINISTRATION | 15 |

Extended Budget Description
Amendment #1 - (July 2022) No Changes

Budget Revision #3 (Mar 2022): Increase project admin expenses by \$227,943, moved funding from ICB project ALIs. Increasing funds to this ALI to more accurately reflect spending.

Budget Revision #2 (Nov 2021): Increase project admin expenses by \$604,000 (federal), moved funding from operating ALI.

Budget Revision #1 (Sept 2021): extend period of performance only

Original (June 2020):

Project Administration for rural provider such as salaries, benefits, utilities, marketing and training. Local match consists of Medicaid, contracts, state funds, IIIB, city, health care organizations, churches, nursing homes, other. The timeframe to use the project administration funds is from 10/1/2019 until 12/31/2020. The list of the local match sources are included in the attached POP for each subrecipient. This ALI is using SDDOT sliding scale match rate of 82.82% federal.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------|--------------------|-------------|-------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$3,732,082 |
| Local | | | \$774,175 |
| Local/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|--------------------|
| State | | \$0 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$4,506,257 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------------------------|
| Start Date | 10/1/2019 | Eligible activity start date. |
| End Date | 12/31/2020 | Final draw down. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 7/27/2022 |

Project Title: RTAP

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| SD-2020-007-03-01 | 1160-2020-6-P3 | 6/29/2022 | 10/1/2019 | 12/31/2020 |

Project Description

SDDOT is coming in for FFY2020 RTAP funds in the amount of \$121,188 to be used for training, technical assistance, research and related services.

Project Benefits

The RTAP funds will provide ongoing training and technical support.

Additional Information

None provided.

Location Description

South Dakota

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| State | District | Representative |
|--------------|----------|----------------|
| South Dakota | 1 | Dusty Johnson |

Program Plan Information

STIP/TIP

Date: 9/15/2019

Description: pages 350-352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$121,188 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$121,188 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|----------------|-------------|------------|----------------|-----------------------|----------|
|----------------|-------------|------------|----------------|-----------------------|----------|

| | | | | | | |
|-------------------|--------------------|----------------------------------|--------------|--------|--------------|---|
| SD-2020-007-03-01 | 635-00 (635-A2) | RURAL TRANSIT ASSISTANCE PROGRAM | \$121,188.00 | \$0.00 | \$121,188.00 | 1 |
| SD-2020-007-03-01 | | 43.50.01 TRAINING | \$121,188.00 | \$0.00 | \$121,188.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|---|-------------|----------------|----------------------------|----------|
| RURAL TRANSIT ASSISTANCE PROGRAM (635-00) | 43.50.01 | TRAINING | RURAL TRANSIT ASST PROGRAM | 1 |

Extended Budget Description

Amendment #1 - (July 2022) No changes

Budget Revision #3 (Mar 2022): No changes.

Budget Revision #2 (Nov 2021): No changes.

Budget Revision #1 (Sept 2021): Extend period of performance only.

Original (June 2020):

RTAP funds for training, services, technical assistance. No local match required.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$121,188 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$121,188 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------------------------|
| Start Date | 10/1/2019 | Eligible activity start date. |

| | | |
|----------|------------|-----------------------|
| End Date | 12/31/2020 | Final draw down date. |
|----------|------------|-----------------------|

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 7/27/2022 |

Project Title: Operating Assistance

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| SD-2020-007-04-01 | 1160-2020-6-P4 | 6/29/2022 | 10/1/2019 | 12/31/2020 |

Project Description

Amendment #1 (July 2022)
 This amendment will decrease and deobligate funding by \$449,158 as projects have been completed and came in under budget due to utilizing CARES funding. The deobligated funds will be applied for in another grant.

 Budget Revision #3 (Mar 2022): Increase operating expenses by \$216,190 (federal), moved funding from ICB project ALIs. Increasing funds to this ALI to more accurately reflect spending.

 Budget Revision #2 (Nov 2021): Decrease operating expenses by \$604,000 (federal), moved funding to project admin ALI.

 Budget Revision #1 (Sept 2021): extend period of performance only. Extend to 12/31/2021.

 Original (June 2020):

SDDOT is coming in for FFY2020 5311 federal funds in the amount of \$3,650,171, this will provide operating funds for 16 rural public transit providers.

Project Benefits

Funds will assist providers to continue to provide public transportation to the rural communities served.

Additional Information

None provided.

Location Description
South Dakota

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| State | District | Representative |
|--------------|----------|----------------|
| South Dakota | 1 | Dusty Johnson |

Program Plan Information

STIP/TIP

Date: 9/15/2019

Description: pages 350-352

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$2,813,205 |
| Local | | | \$2,621,890 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$5,435,095 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|----------------|----------------|-----------------------|----------|
| SD-2020-007-04-01 | 600-00 (600-A5) OTHER PROGRAM COSTS | \$2,813,205.00 | \$2,621,890.00 | \$5,435,095.00 | 1 |
| SD-2020-007-04-01 | 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) | \$2,813,205.00 | \$2,621,890.00 | \$5,435,095.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.02 | SLIDING SCALE (5311 OR 5310 PILOT ONLY) | OPERATING ASSISTANCE | 1 |

Extended Budget Description

Amendment #1 (July 2022)

This amendment will decrease and deobligate funding by \$449,158 as projects have been completed and came in under budget due to utilizing CARES funding. The deobligated funds will be applied for in another grant.

Budget Revision #3 (Mar 2022): Increase operating expenses by \$216,190 (federal), moved funding from ICB project ALIs. Increasing funds to this ALI to more accurately reflect spending.

Budget Revision #2 (Nov 2021): Decrease operating expenses by \$604,000 (federal), moved funding to project admin ALI.

Budget Revision #1 (Sept 2021): extend period of performance only. Extend to 12/31/2021.

Original (June 2020):

Operating Assistance for rural providers such as fuel, driver wages & benefits, maintenance, garage utilities. Local match consists of Medicaid, contracts, state funds, IIIB, city health care organizations, churches, nursing homes, other. The list of local match sources are included in the attached POP for each subrecipient. The timeframe to use the operating funds is from 10/1/2019 until 12/31/2020. The SDDOT sliding scale federal match rate is 51.76%.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|--------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$2,813,205 |
| Local | | | \$2,621,890 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$5,435,095 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|-------------------------------|
| Start Date | 10/1/2019 | Eligible activity start date. |
| End Date | 12/31/2020 | Date of final draw down. |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 7/27/2022 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Talbot Hauffe

Comment Type DOL Review for Information

Date 8/8/2022

Comment Please contact:
Monte Meier
South Dakota Department of Transportation
605.773.4169
Monte.Meier@state.sd.us