

## Application

<b>Federal Award Identification Number (FAIN)</b>	CA-2022-166-00
<b>Temporary Application Number</b>	1677-2022-2
<b>Application Name</b>	City of Santa Rosa FFY21 CRRSAA - Transit Mall Roadbed Rehab
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A
<b>Original Period of Performance End Date</b>	6/30/2025
<b>Current Period of Performance End Date</b>	N/A Revision #: N/A

### Part 1: Recipient Information

**Name: SANTA ROSA, CITY OF**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1677	City	Santa Rosa, City of		071879464

Location Type	Address	City	State	Zip
Headquarters	45 STONY POINT RD	SANTA ROSA	CA	954014446
Physical Address	100 SANTA ROSA AVE	SANTA ROSA	CA	95404
Mailing Address	100 SANTA ROSA AVE	SANTA ROSA	CA	95404

### Union Information

<b>Union Name</b>	<b>Service Employees International Union 1021 (SEIU 1021)</b>
Address 1	100 Oak Street
Address 2	
City	Oakland
State	CA

Zipcode	94607
Contact Name	Joseph Bryant
Telephone	(877) 687-1021
Fax	
E-mail	joseph.bryant@seiu1021.org
Website	

## Part 2: Application Information

### Title: City of Santa Rosa FFY21 CRRSAA - Transit Mall Roadbed Rehab

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CA-2022-166-00	Final Concurrence / Reservation	Grant	12/16/2021	12/16/2021	No

#### Application Executive Summary

This application is to request FY 2021 FHWA STP-CRRSAA funds apportioned to UZA #062250 in the amount of \$868,000.

This represents an 88.53% federal share of the total eligible amount.

This application utilizes CRRSAA funding to prevent, prepare for, and respond to coronavirus.

This funding was transferred from FHWA by Caltrans to FTA on 2/11/22 as referenced in the attached document.

#### PURPOSE:

-The purpose of this award is to rehabilitate the roadbed at the Downtown Santa Rosa Transit Mall

#### ACTIVITIES TO BE PERFORMED:

-Funds will cover costs associated with the rehabilitation of the 500, two-lane roadbed in the Downtown Santa Rosa Transit Mall

#### EXPECTED OUTCOMES:

-Funding will allow the rehabilitation of the 500', two-lane roadbed in the Downtown Santa Rosa Transit Mall to address service disruptions; prevent operational safety issues and pedestrian hazards resulting from failing pavement that has exceeded its useful life; and re-establish safe and accessible crossing facilities for pedestrian circulation.

#### INTENDED BENEFICIARIES:

-Population of the 51 square mile service area, and transit riders using the Transit Mall, a regional hub providing connections among five transit systems operating local, intercity, and regional services which is located with a Priority Development Area, Community of Concern, and Transit Priority Area. Over 3,300 bus trips serve the Santa Rosa Transit Mall weekly at pre-COVID service levels

#### SUBRECIPIENT ACTIVITIES:

-None

The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency

Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

**Frequency of Milestone Progress Reports (MPR)**

Quarterly

**Frequency of Federal Financial Reports (FFR)**

Quarterly

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is suballocated these apportioned funds and can apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Jean	Mazur	Transportation Program Specialist	Jean.Mazur@dot.gov	(415) 734-9456
Lucinda	Eagle	Community Planner	Lucinda.Eagle@dot.gov	(415) 734-9457
Shawn	Sosa	Administrative Analyst	ssosa@srcity.org	(707) 543-3334

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 FHWA Xfers (CRRSAA)	5311-10F	20509	\$868,000
Local			\$0
Local/In-Kind			\$0
State			\$122,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

<b>Total Eligible Cost</b>	<b>\$990,000</b>
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## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-166-01-00	112-00 (112-A1) BUS TRANSITWAYS/LINES	\$868,000.00	\$122,000.00	\$990,000.00	2
CA-2022-166-01-00	11.21.02 ENG/ DESIGN - TRANSIT MALL	\$144,705.00	\$20,295.00	\$165,000.00	1
CA-2022-166-01-00	11.24.02 REHAB/ RENOVATE - TRANSIT MALL	\$723,295.00	\$101,705.00	\$825,000.00	1

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Roadbed Rehabilitation

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-166-01-00	1677-2022-2-P1	6/17/2022	10/1/2022	12/31/2024

#### Project Description

Rehabilitation of the 500', two-lane roadbed in the Downtown Santa Rosa Transit Mall.

#### Project Benefits

Address service disruptions; prevent operational safety issues and pedestrian hazards resulting from failing pavement that has exceeded its useful life; and re-establish safe and accessible crossing facilities for pedestrian circulation.

#### Additional Information

Location of project is 2nd Street (Transit Mall) between Santa Rosa Avenue and B Street.

#### Location Description

Santa Rosa is located 52 miles north of San Francisco and is the fifth largest Bay Area city.

## Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California
062250	Santa Rosa, CA

## Congressional District Information

State	District	Representative
California	5	Mike Thompson

## Program Plan Information

### STIP/TIP

Date: 1/5/2022

Description: Pages 8 & 88

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: 10/29/2021

Description: Pages 59, 81

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 FHWA Xfers (CRRSAA)	5311-10F	20509	\$868,000
Local			\$0
Local/In-Kind			\$0
State			\$122,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$990,000</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-166-01-00	112-00 (112-A1) BUS TRANSITWAYS/LINES	\$868,000.00	\$122,000.00	\$990,000.00	2
CA-2022-166-01-00	11.21.02 ENG/DESIGN - TRANSIT	\$144,705.00	\$20,295.00	\$165,000.00	1

CA-2022-166-01-00	11.24.02	MALL REHAB/ RENOVATE - TRANSIT MALL	\$723,295.00	\$101,705.00	\$825,000.00	1
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## Project Budget Activity Line Items

### Budget Activity Line Item: 11.24.02 - REHAB/RENOVATE - TRANSIT MALL

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS TRANSITWAYS/LINES (112-00)	11.24.02	REHAB/ RENOVATE - TRANSIT MALL	REHABILITATION/RENOVATION - BUS TRANSITWAY LINES	1

#### Extended Budget Description

FY 2021 FHWA STP-CRRSAA funds apportioned to UZA #062250 in the amount of \$723,295 (88.53% Federal Share).

Local Match: \$101,705 using TDA IV (11.47% Local Share)

Total Project Cost: \$825,000

This is to assist in the rehabilitation of existing roadway in the downtown Santa Rosa Transit Mall on 2nd Street (between Santa Rosa Avenue and B Street). This rehab should have a useful life of 30 years.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 FHWA Xfers (CRRSAA)	5311-10F	20509	\$723,295
Local			\$0
Local/In-Kind			\$0
State			\$101,705
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$825,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2024	Begin construction
End Date	12/31/2024	Complete construction

### Budget Activity Line Item: 11.21.02 - ENG/DESIGN - TRANSIT MALL

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS TRANSITWAYS/LINES (112-00)	11.21.02	ENG/DESIGN - TRANSIT MALL	ENGINEERING & DESIGN - BUS TRANSITWAY LINES	1

**Extended Budget Description**

FY 2021 FHWA STP-CRRSAA funds apportioned to UZA #062250 in the amount of \$144,705 (88.53% Federal Share).

Local Match: \$20,295 using TDA IV (11.47% Local Share)

Total Project Cost: \$165,000

This is to assist in the engineering/design of the rehabilitation of existing roadway in the downtown Santa Rosa Transit Mall on 2nd Street (between Santa Rosa Avenue and B Street).

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 FHWA Xfers (CRRSAA)	5311-10F	20509	\$144,705
Local			\$0
Local/In-Kind			\$0
State			\$20,295
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$165,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2022	Begin RFP process
Contract Award	7/1/2023	Award contract
End Date	12/31/2023	Design/engineering delivery

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges,

tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description	Date
Class IIc CE Approved	6/30/2022

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Lucinda Eagle

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Comment Type DOL Review for Certification

Date 8/4/2022

Comment Please Certify.

### Application Review Comments

**Comment By** Lucinda Eagle

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Comment Type Pre-Award Manager Returns Application

Date 7/27/2022

Comment Please upload an MPO Split Letter and Concurrence Letter.

**Comment By** Lucinda Eagle

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Comment Type Pre-Award Manager Returns Application

Date 8/2/2022

Comment The City picked "49 USC 5307 FHWA Xfers (CRRSAA)" in the Funding Source in the drop-down menu. Please select the Correct Option: Funding Source Short Description: 49 USC 5311 FHWA Xfers (CRRSAA). Account Class Code Description: FHWA Xfers to 5311 - CRRSAA ER. Please pick either option in the dropdown menu when selecting a funding source. You should send this application back to the grantee to fix it as "49 USC 5307 FHWA Xfers (CRRSAA)" will not allow the grant to be funded.

### Application Review Comments

**Comment By** Shawn Sosa

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Comment Type Application Details

Date 7/27/2022

Comment Prior to submitting the MPO (MTC) let me know no Split/Concurrence since this is CRRSAA funding I reconfirmed after receiving comment that MTC letter not required/ provided. I attached email train of correspondence.

**Comment By Shawn Sosa**

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Comment Type Application Details

Date 8/3/2022

Comment Per FTA request, modified funding source from: "49 USC 5307 FHWA Xfers (CRRSAA)" to requested correct option: "49 USC 5311 FHWA Xfers (CRRSAA)"